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> PATENTS AAA-003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application

Applicants William K. Slate II et al.

09/990,402 Confirmation No.: 3669 Application No.:

Filed

Thods Segy For Office of Patent Publication

2161 Group Art Unit :

: Not yet assigned Examiner

Commissioner of Patents

New York, New York 10020 January 22, 2002

Olrector's Office

P.O. Box 2327 Arlington, Virginia 22202

Attention: BOX PGPUB DRAWINGS

PETITION UNDER 37 C.F.R. § 1.182 FOR ENTRY OF THE DRAWINGS

Sir:

Pursuant to 37 C.F.R. § 1.182, applicants hereby petition for entry of the enclosed drawings (216 sheets) in the publication of this patent application. A check in the amount of \$130.00, in payment of the fee set forth in 37 C.F.R. § 1.17(h), is enclosed herewith.

The Director of the United States Patent and Trademark Office is hereby authorized to charge any

listed item(s)

additional extension fee due, or credit any overpayment, in connection with this Petition, to Deposit Account

No. 06-1075. A duplicate copy of this Petition is enclosed herewith.

Respectfully submitted,

Philip R. Poh

Reg. No. P-51,176

Agent for Applicants

FISH & NEAVE

1251 Avenue of the Americas

New York, New York 10020-1104

Tel.: (212) 596-9000

Applicants

For

Agent

Application No.: 09/990,402

: November 21, 2001

: William K. Slate II et al.

: Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000

Confirmation No.: 3669

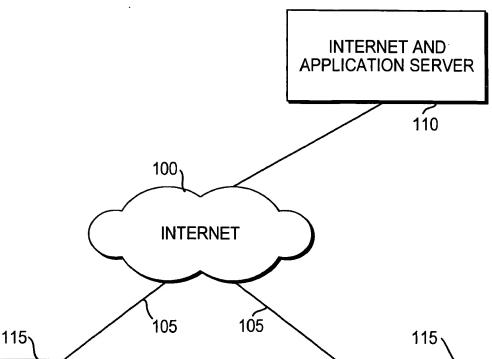
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ACCESS DEVICE (E.G., PERSONAL COMPUTER, PORTABLE COMPUTER, PALMTOP COMPUTER, HANDHELD PERSONAL COMPUTER, AUTOMOBILE PC, PERSONAL DIGITAL ASSISTANT (PDA), CELLULAR PHONE, CELLULAR PHONE/PDA COMBINATION, EBOOK, SET-TOP BOX, ETC.)

ACCESS DEVICE (E.G., PERSONAL COMPUTER, PORTABLE COMPUTER, PALMTOP COMPUTER, HANDHELD PERSONAL COMPUTER, AUTOMOBILE PC, PERSONAL DIGITAL ASSISTANT (PDA), CELLULAR PHONE, CELLULAR PHONE/PDA COMBINATION, EBOOK, **SET-TOP BOX, ETC.)**

: William K. Slate II et al. Applicants

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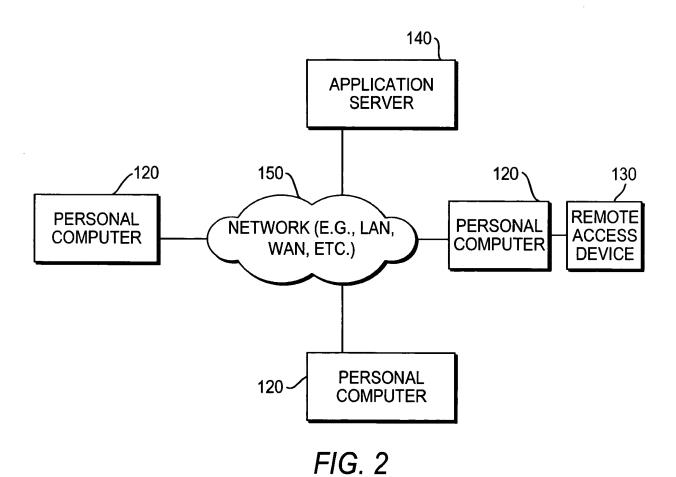
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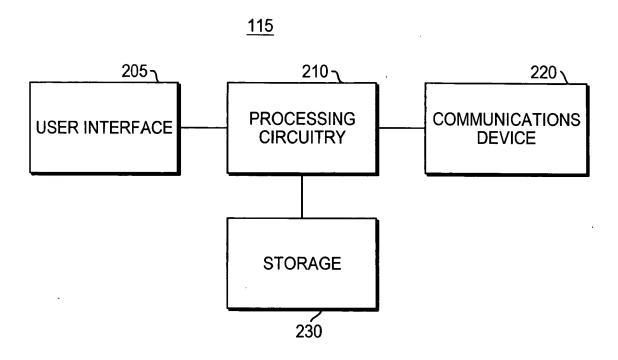
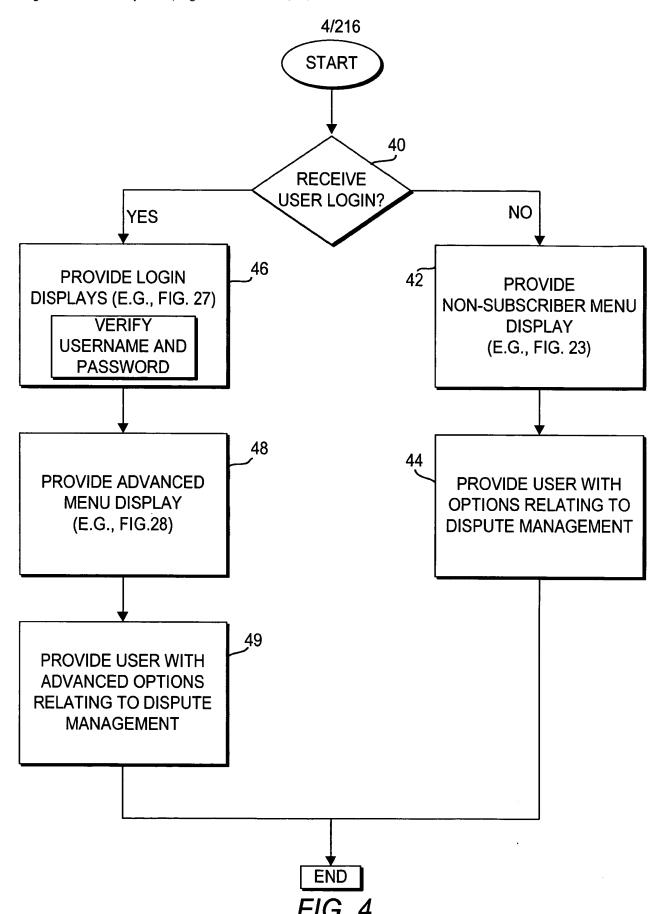


FIG. 3

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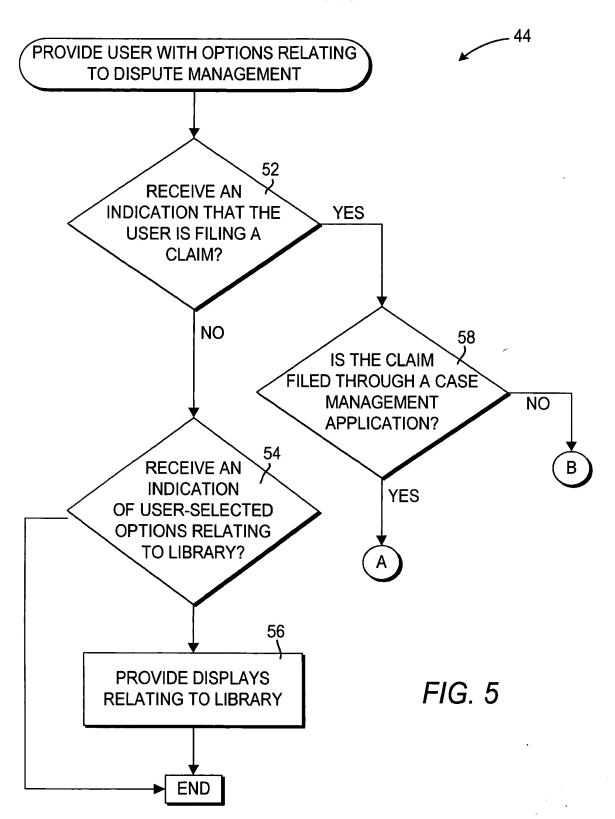
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Agent : Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000 Sheet 6 of 210

6/216 PROVIDE USER WITH A CASE FILING APPLICATION (E.G., PRE-CASE) PROVIDE USER WITH A DISPLAY FOR INPUTTING - 61 "PRE-FILING" INFORMATION (E.G., TYPE, CLASS, RULE IDENTIFICATION CONFIRMATION NUMBER, ETC.) - 62 RECEIVE AN INDICATION THAT THE USER HAS INPUTTED PRE-FILING INFORMATION PROVIDE USER WITH A DISPLAY FOR INPUTTING -63 PARTY INFORMATION (E.G., NAME, COMPANY, ADDRESS, PHONE NUMBER, CLAIMANT INFORMATION. RESPONDENT INFORMATION, ETC.) 64 RECEIVE AN INDICATION THAT THE USER HAS INPUTTED PARTY INFORMATION PROVIDE USER WITH A DISPLAY FOR INPUTTING - 65 CLAIM INFORMATION (E.G., CLAIM AMOUNT, CLAIM TYPE, PAYMENT INFORMATION, ETC.) RECEIVE AN INDICATION THAT THE USER HAS 66 INPUTTED CLAIM INFORMATION COMMUNICATE INPUTTED INFORMATION TO DISPUTE MANAGEMENT APPLICATION (E.G., UPLOAD INFORMATION FROM PRE-CASE TO PORTAL) FIG. 6

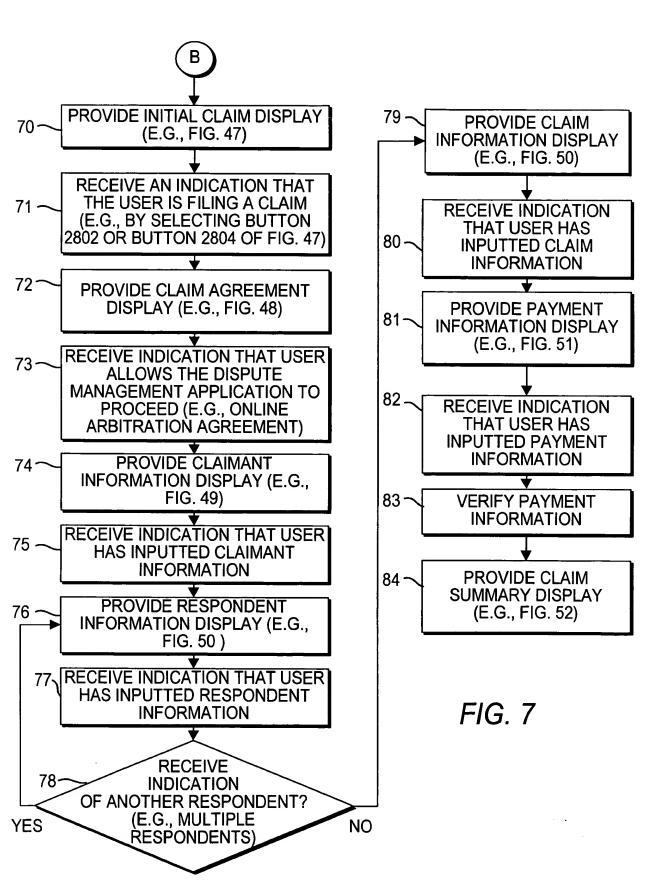
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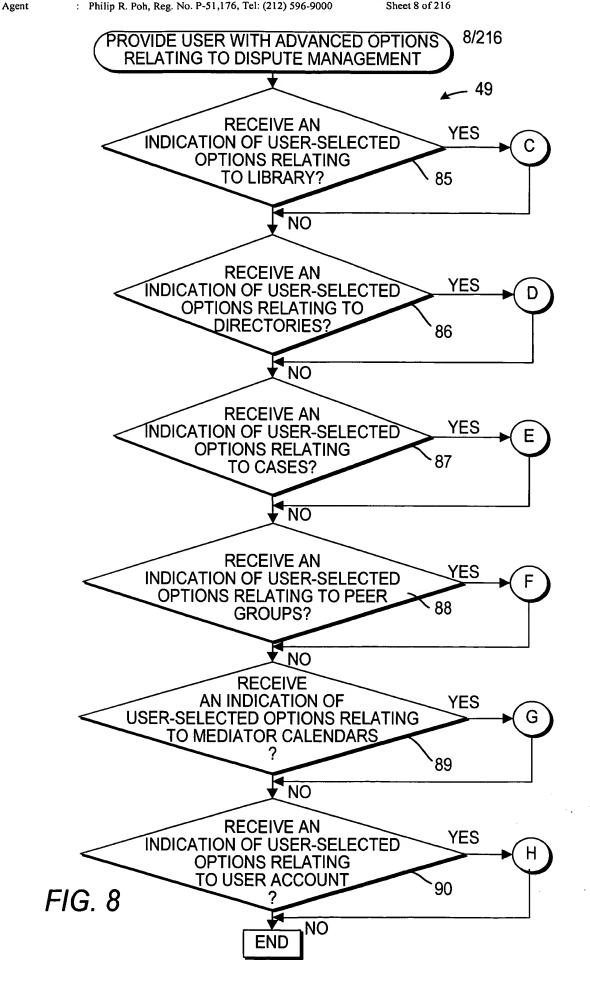


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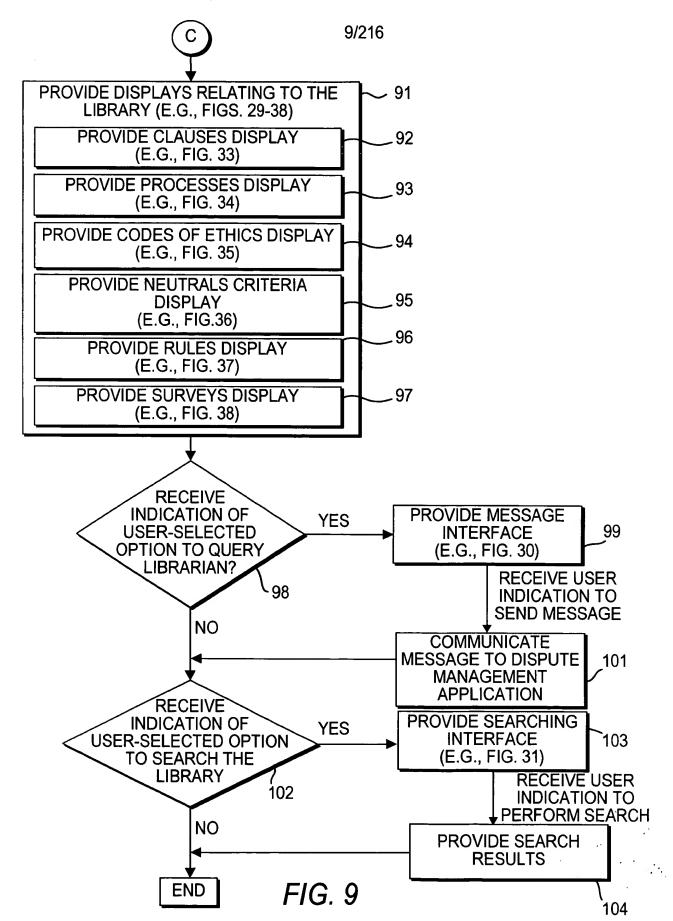


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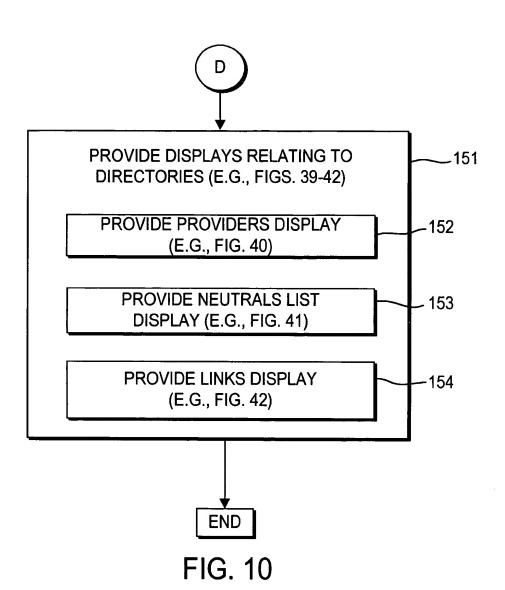
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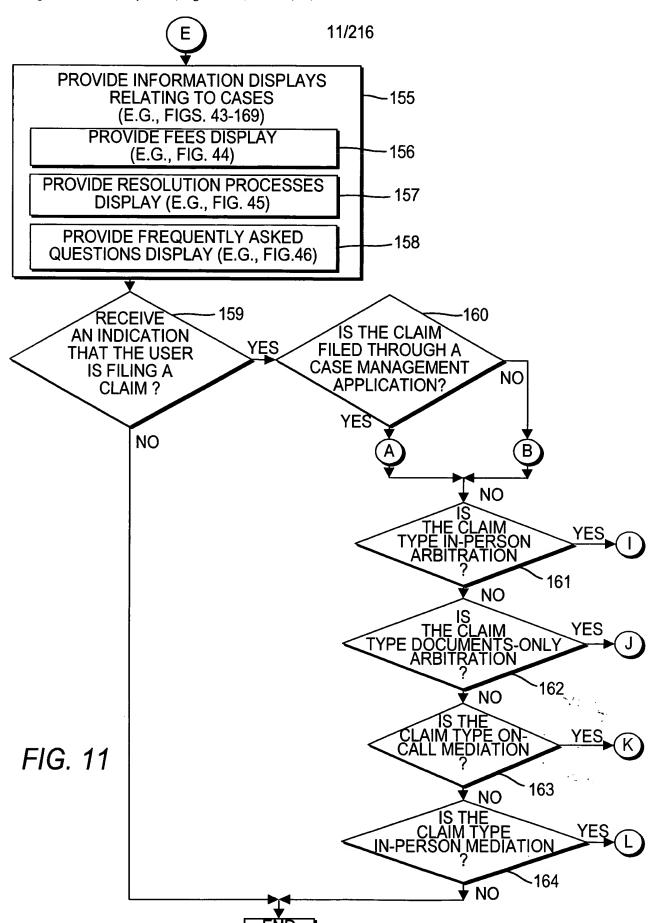


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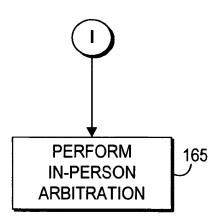


FIG. 12

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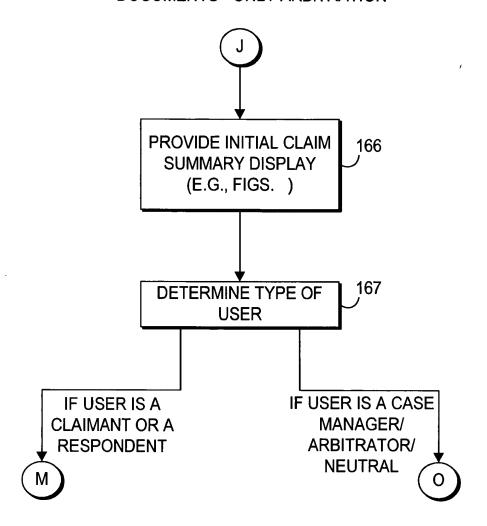


FIG. 13

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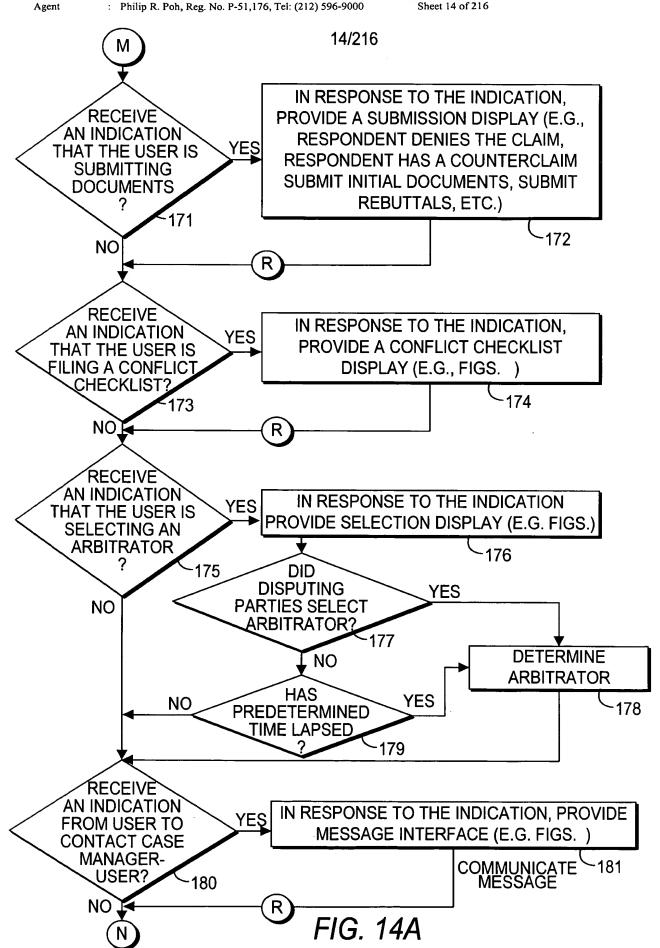
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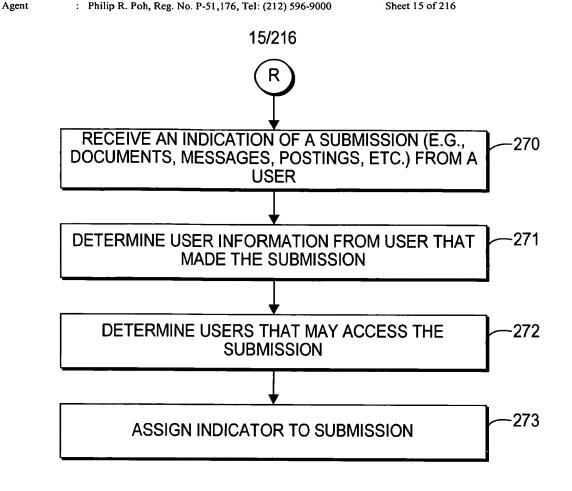


FIG. 14B

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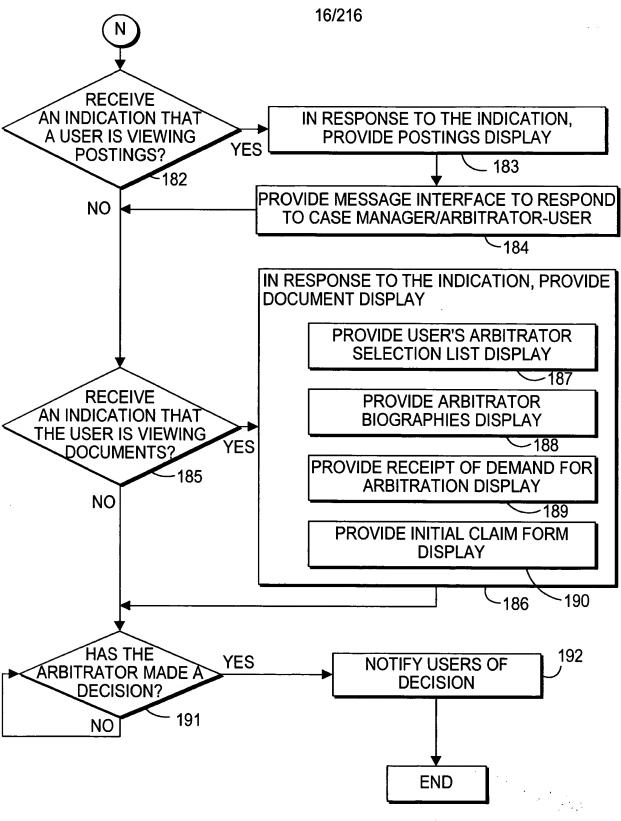


FIG. 14C

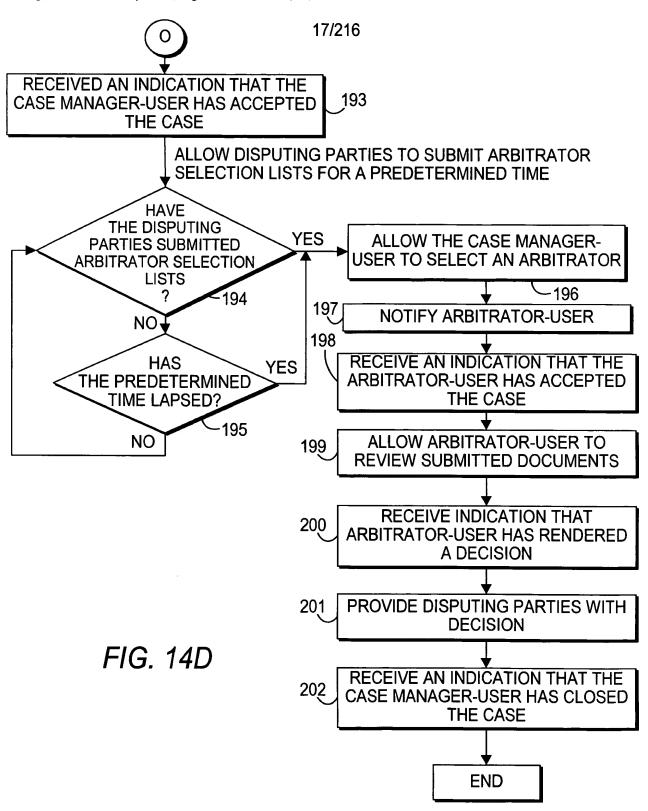
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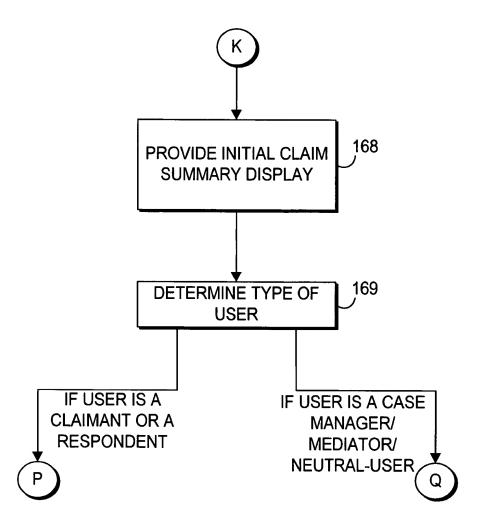


FIG. 15A

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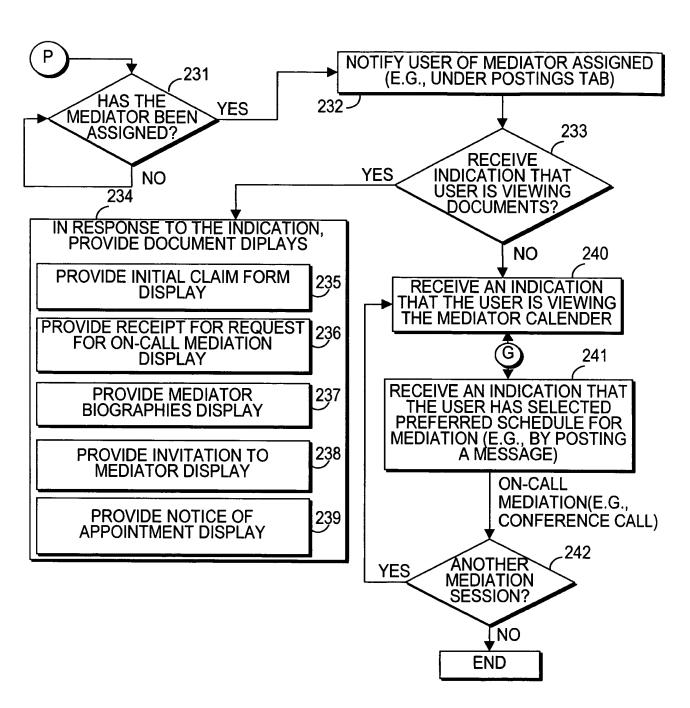


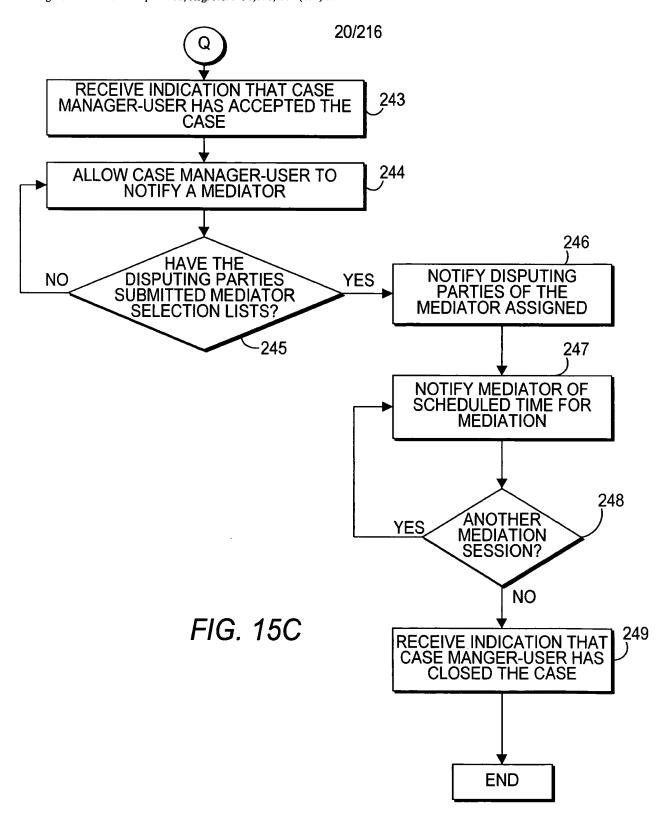
FIG. 15B

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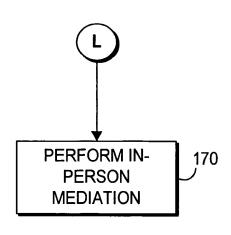


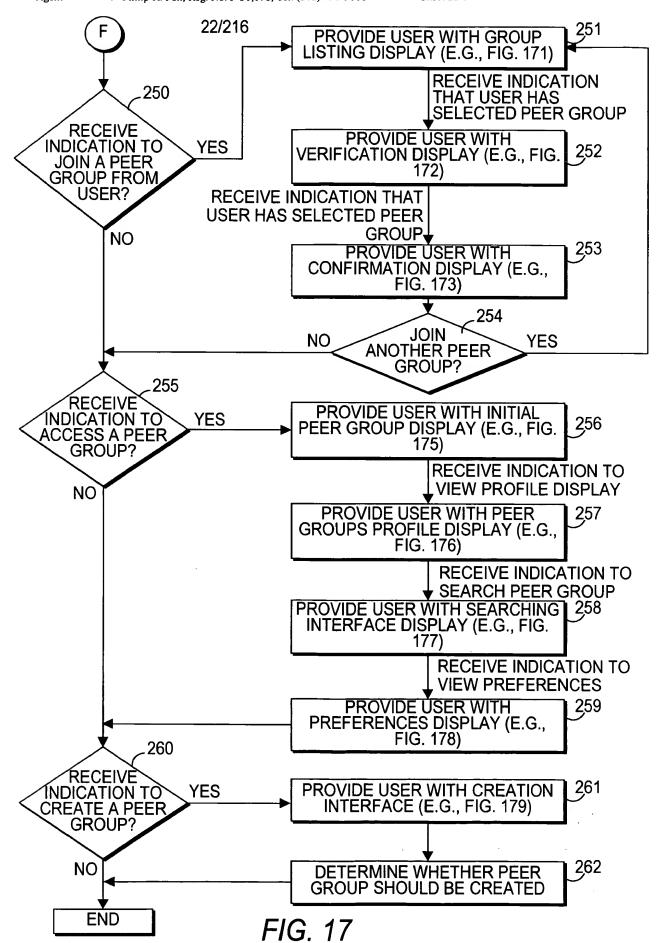
FIG. 16

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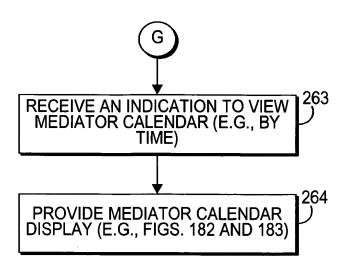


FIG. 18

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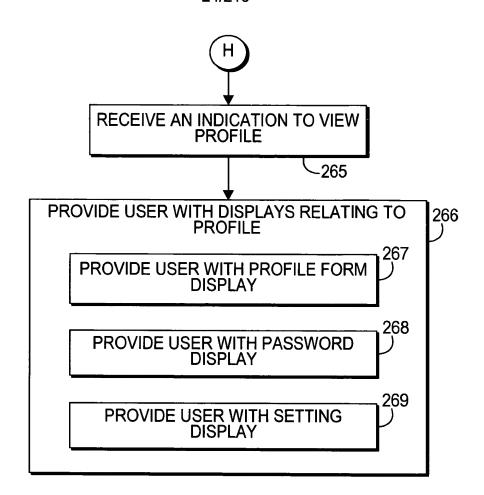


FIG.19

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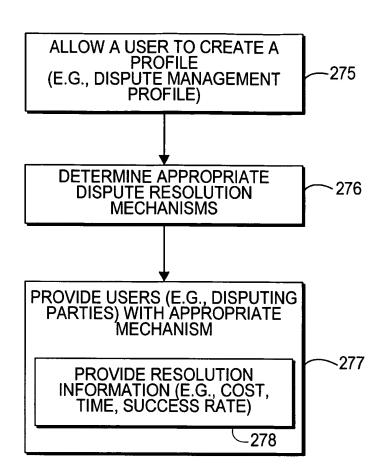


FIG. 20

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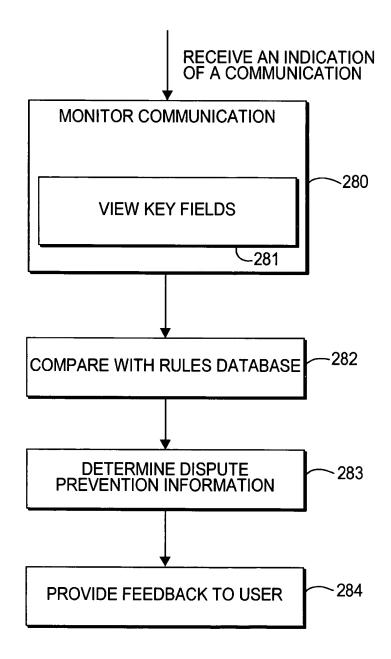


FIG. 21

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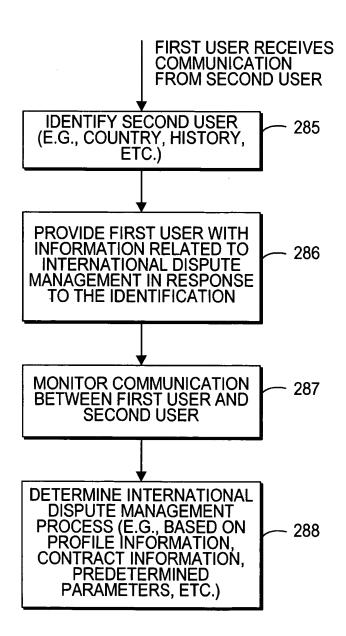
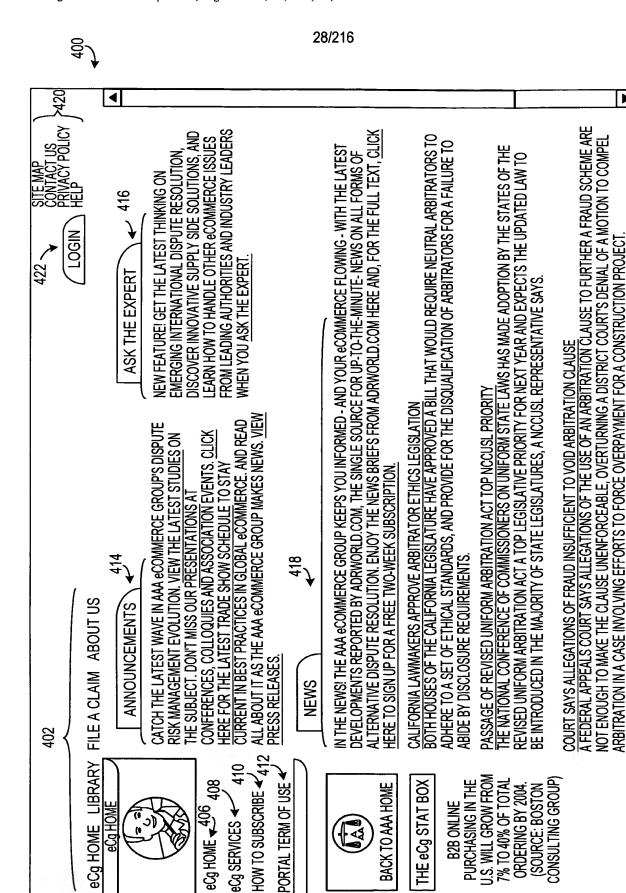


FIG. 22

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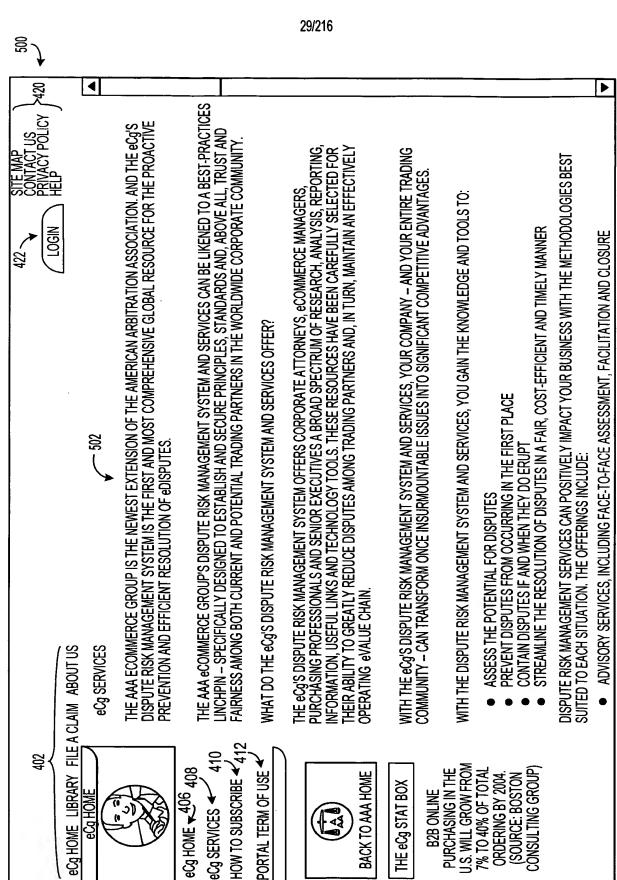
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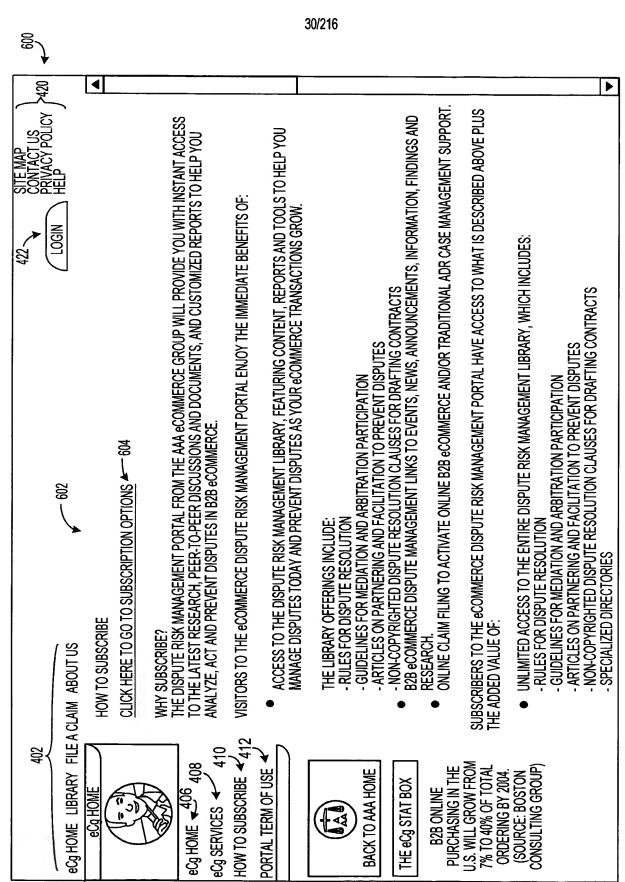


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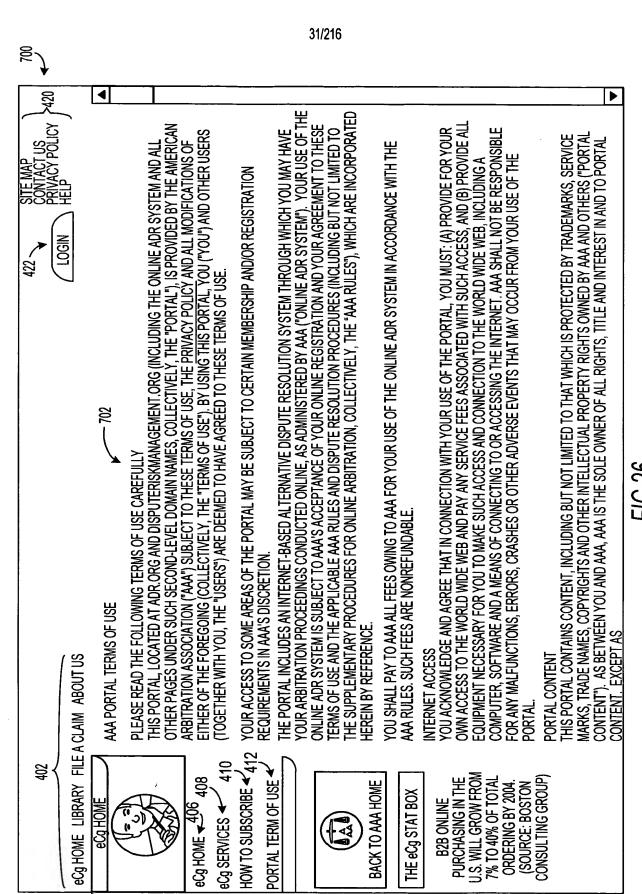


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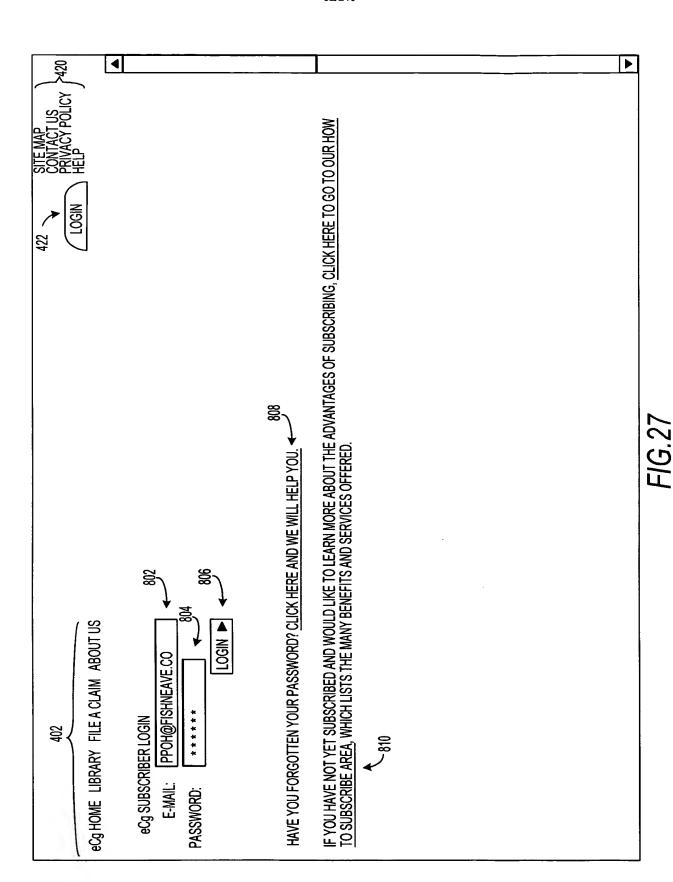
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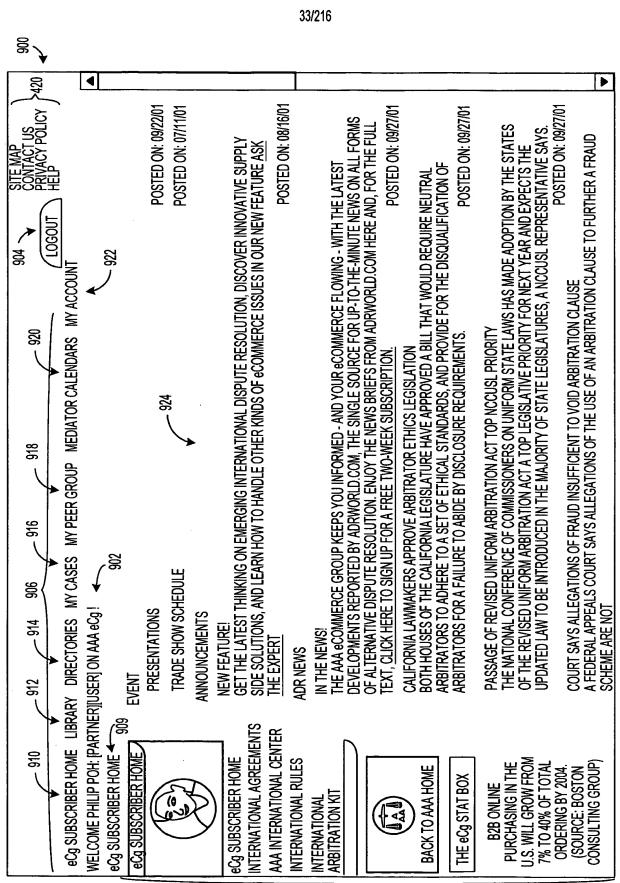
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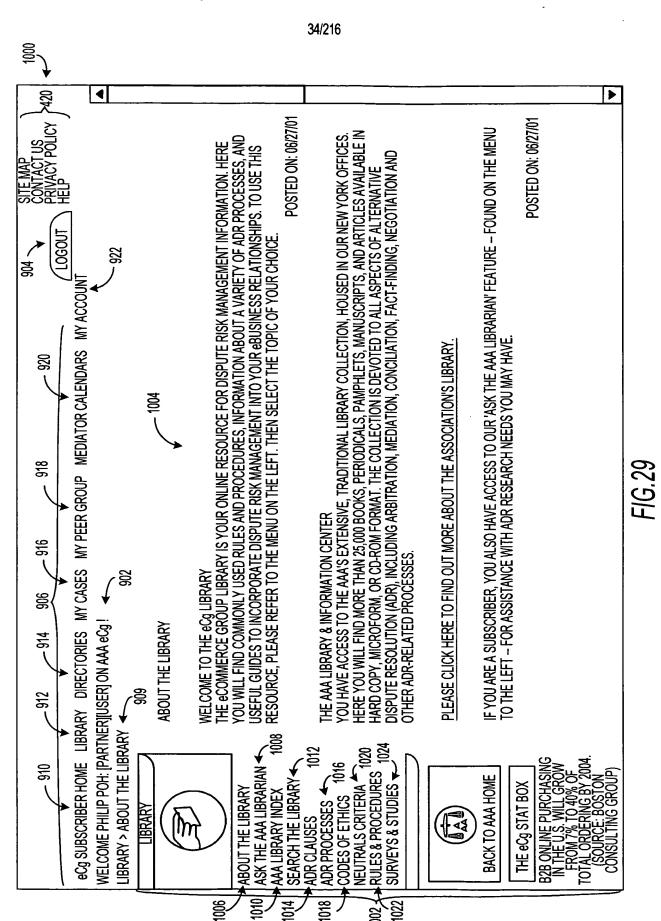
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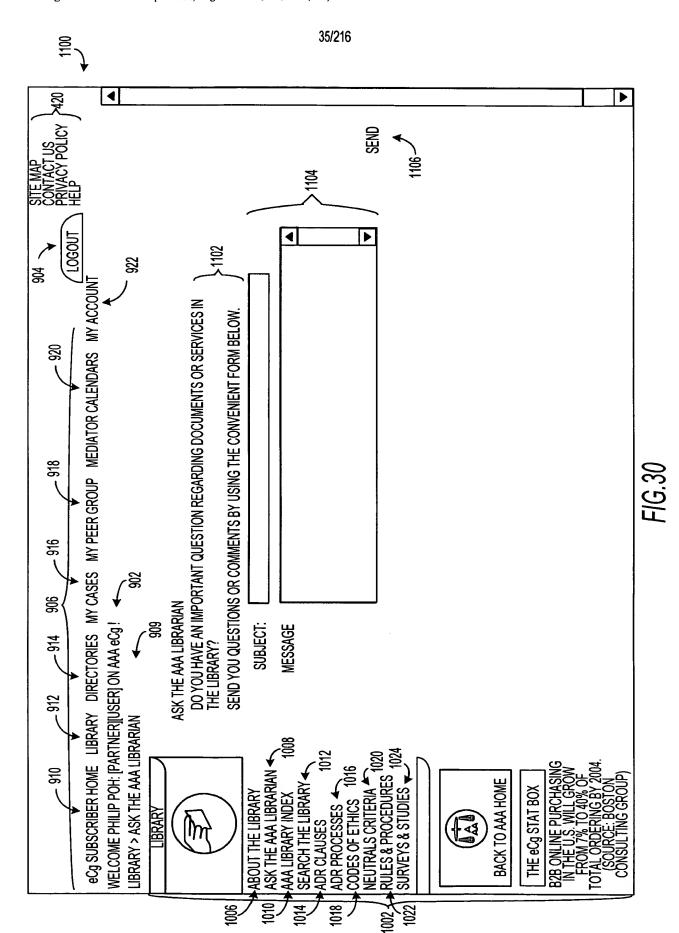
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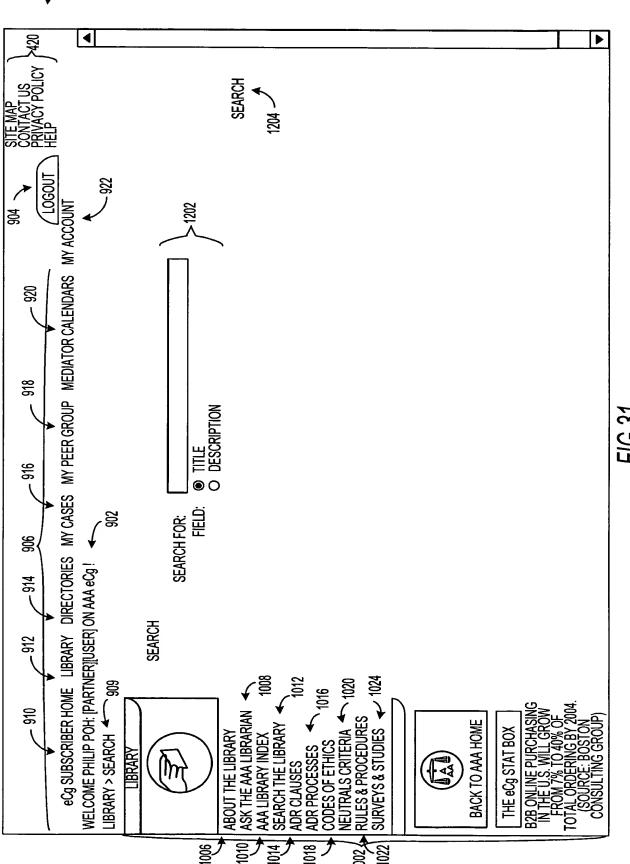
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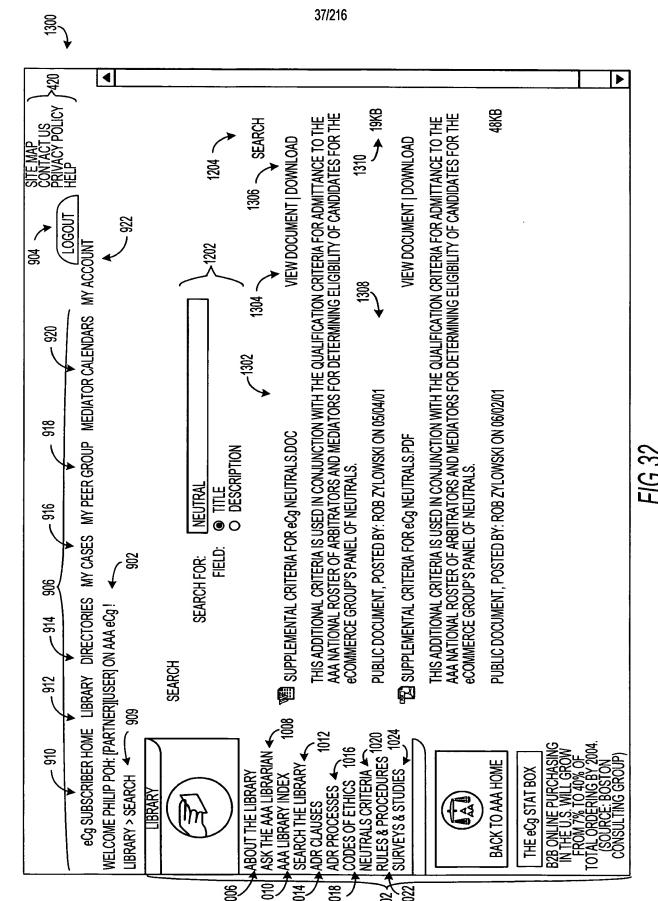
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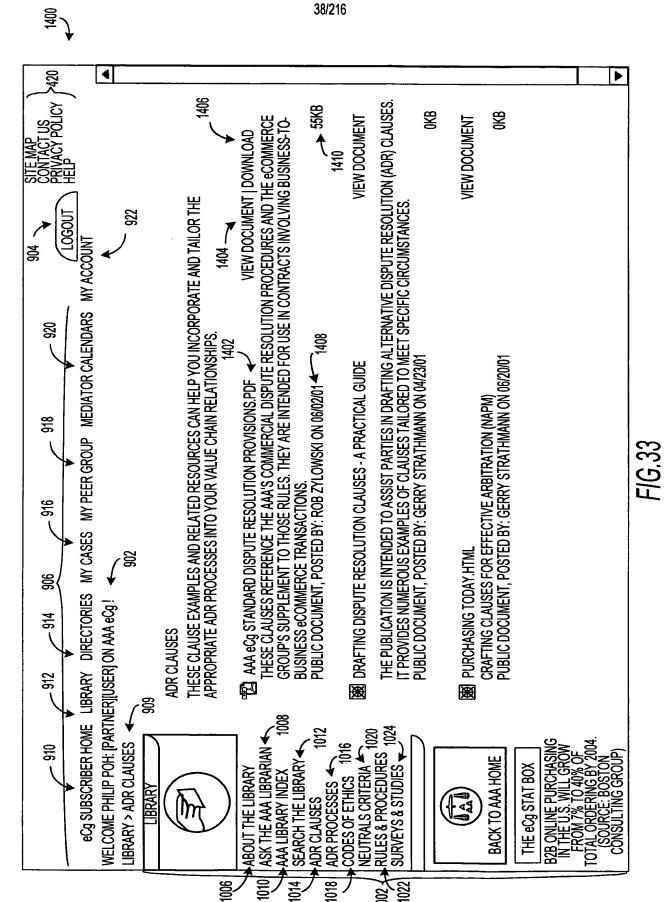
Applicants : William K. Slate II et al.

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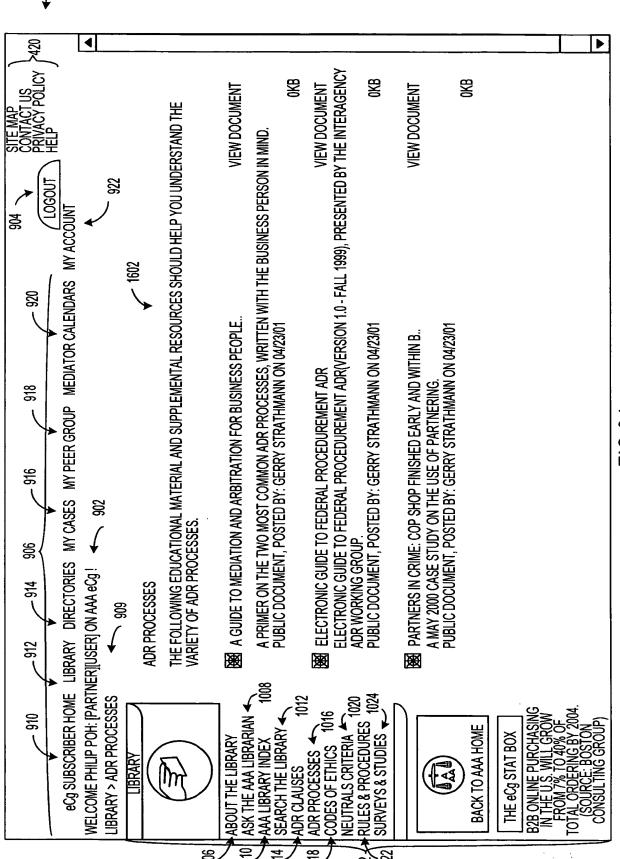


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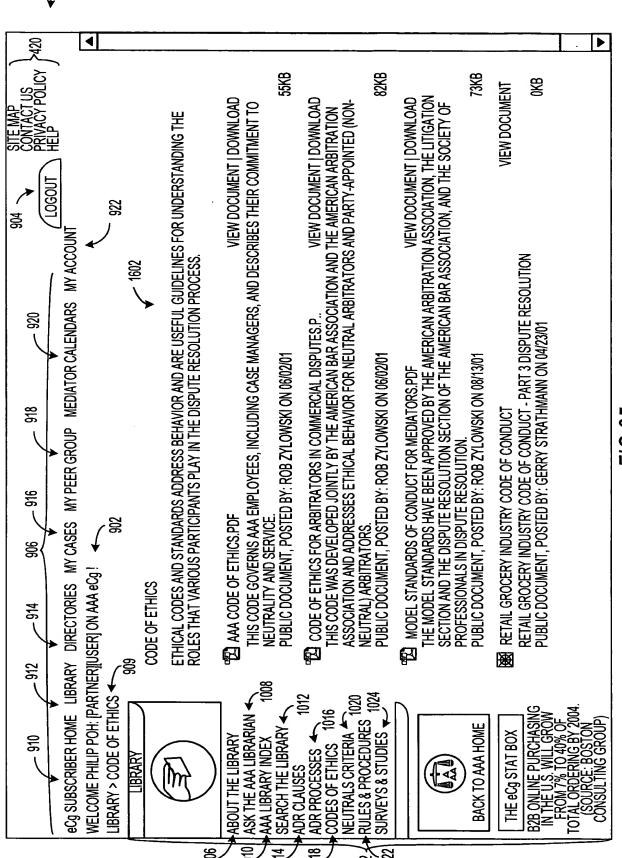


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Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000 Agent



Applicants : William K. Slate II et al.

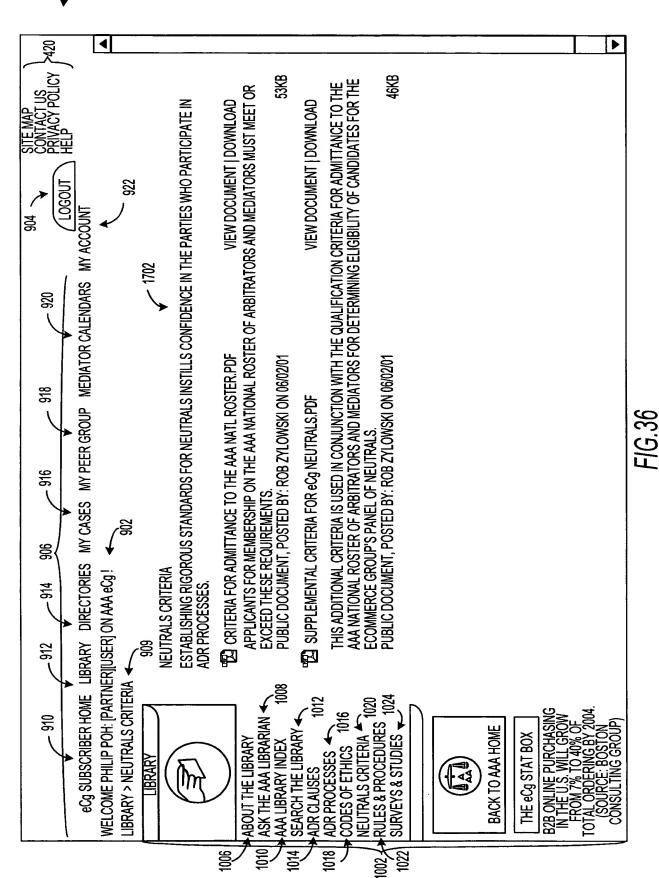
Application No.: 09/990,402

Confirmation No.: 3669

Docket No.: AAA-003

Application No.: 09/990,402 Filed: November 21, 2001

For : ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT
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: William K. Slate II et al.

Confirmation No.: 3669

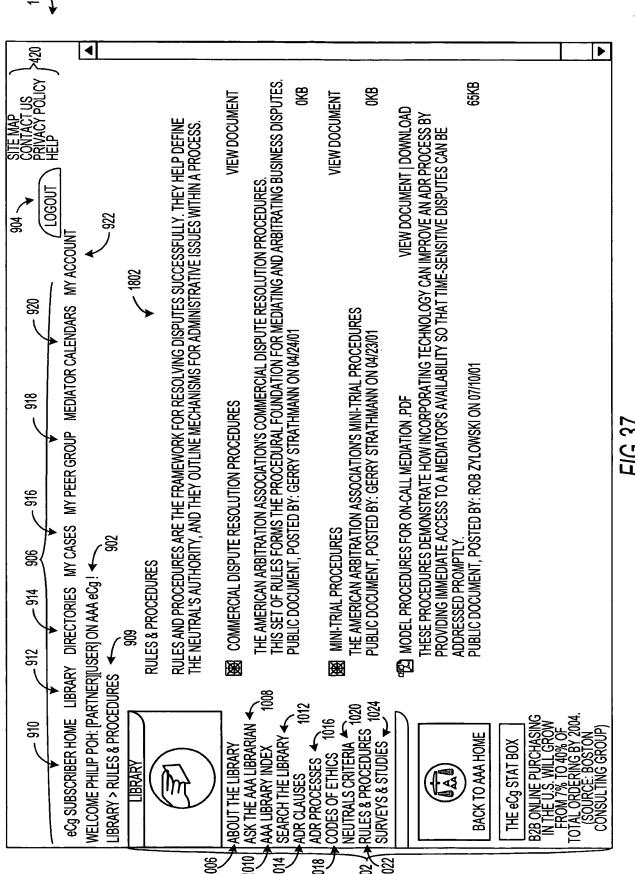
November 21, 2001 ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000

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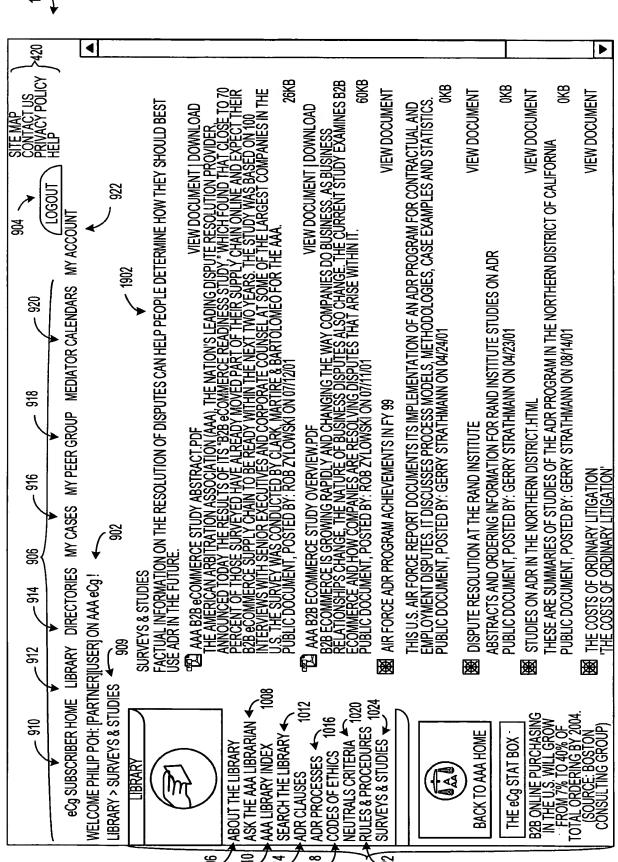
Applicants: William K. Slate II et al. Application No.: 09/990,402

Filed : November 21, 2001

0/990,402 Confirmation No.: 3669 ovember 21, 2001

For : ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT
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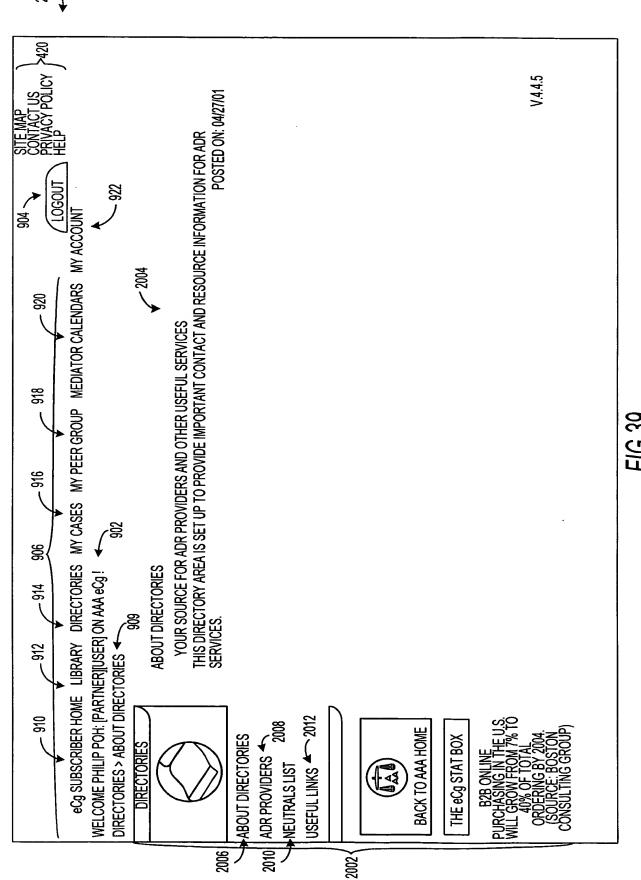
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Filed November 21, 2001

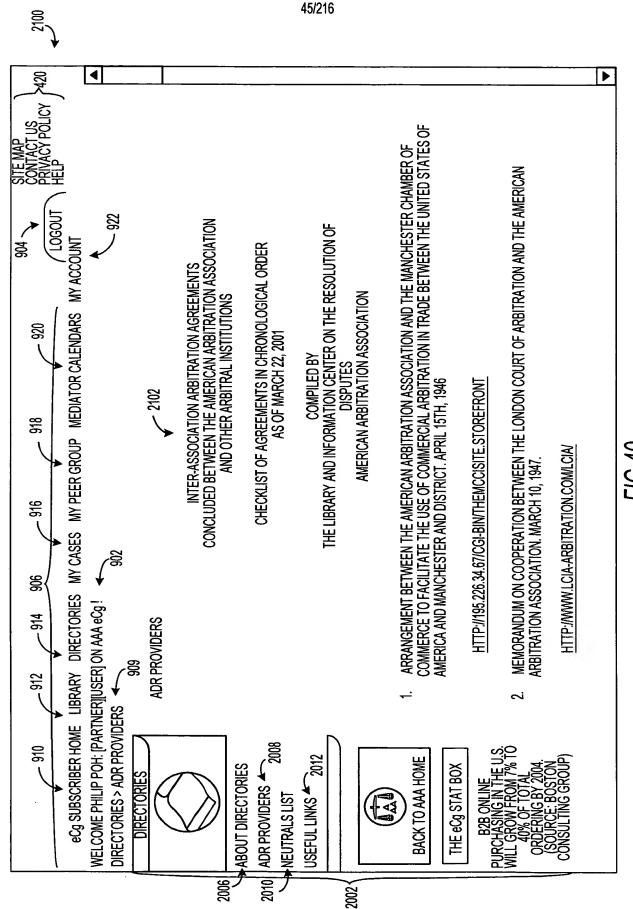
ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT For Agent Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000 Sheet 44 of 216



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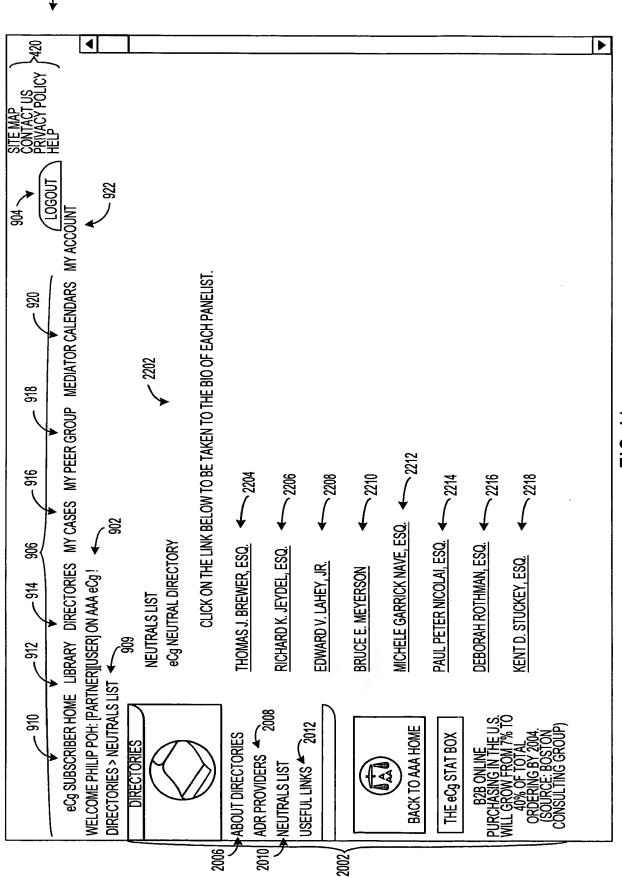
November 21, 2001 Filed For

ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000

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Docket No.: AAA-003

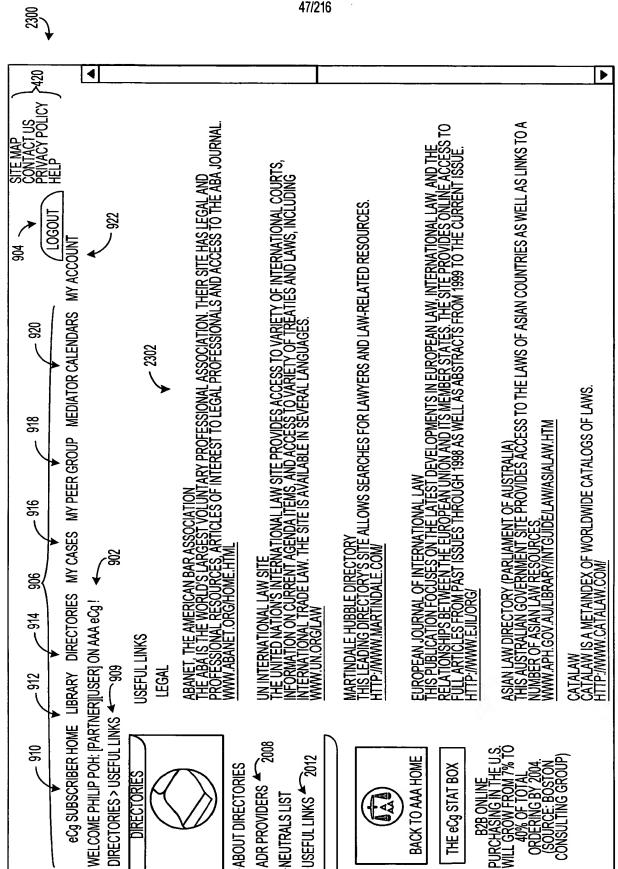


: William K. Slate II et al. Docket No.: AAA-003 Applicants Application No.: 09/990,402 Confirmation No.: 3669

: November 21, 2001

For : ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000 Sheet 47 of 216 Agent

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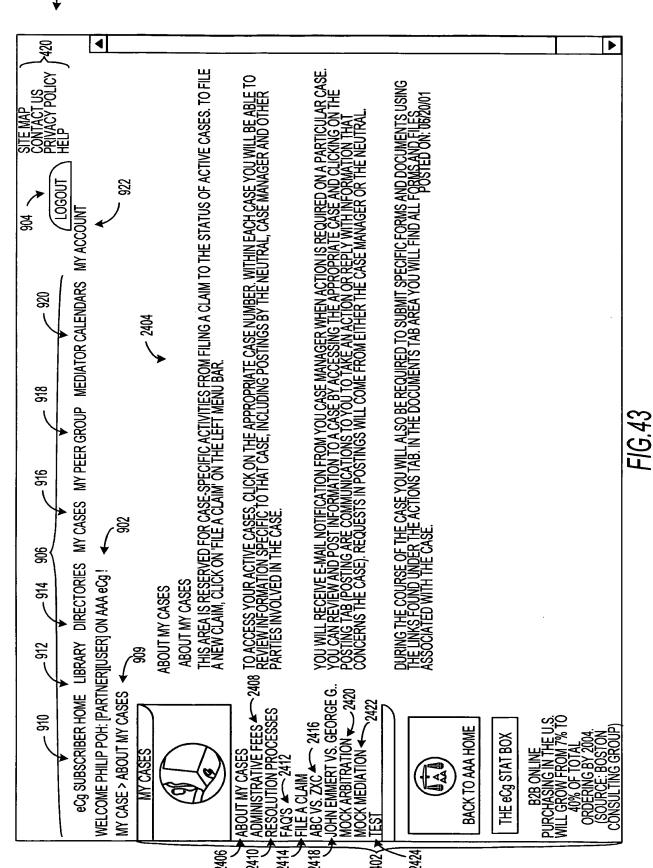
2002

: William K. Slate II et al. Docket No.: AAA-003 Applicants Confirmation No.: 3669

Application No.: 09/990,402

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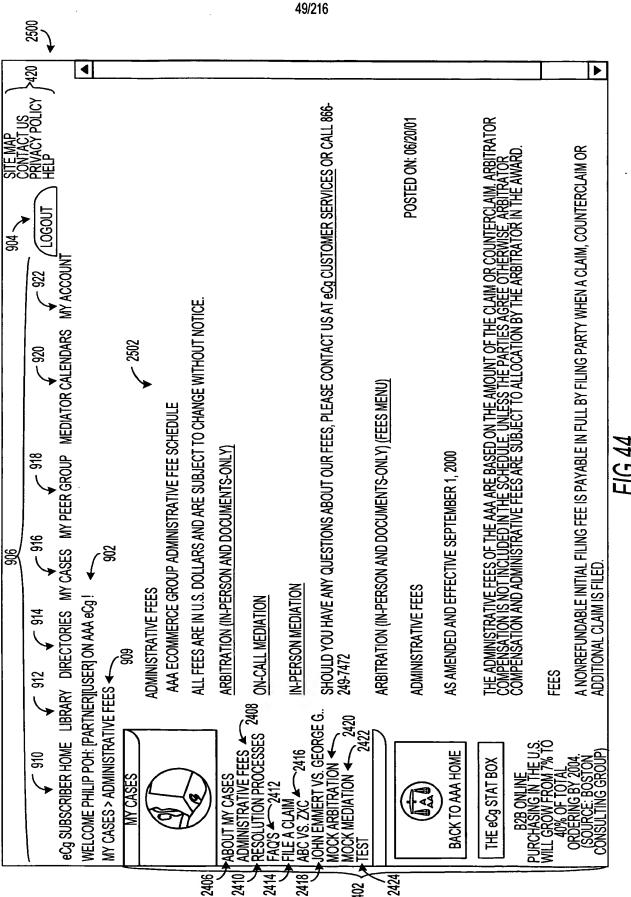
: William K. Slate II et al. Docket No.: AAA-003 Confirmation No.: 3669

Application No.: 09/990,402

Applicants

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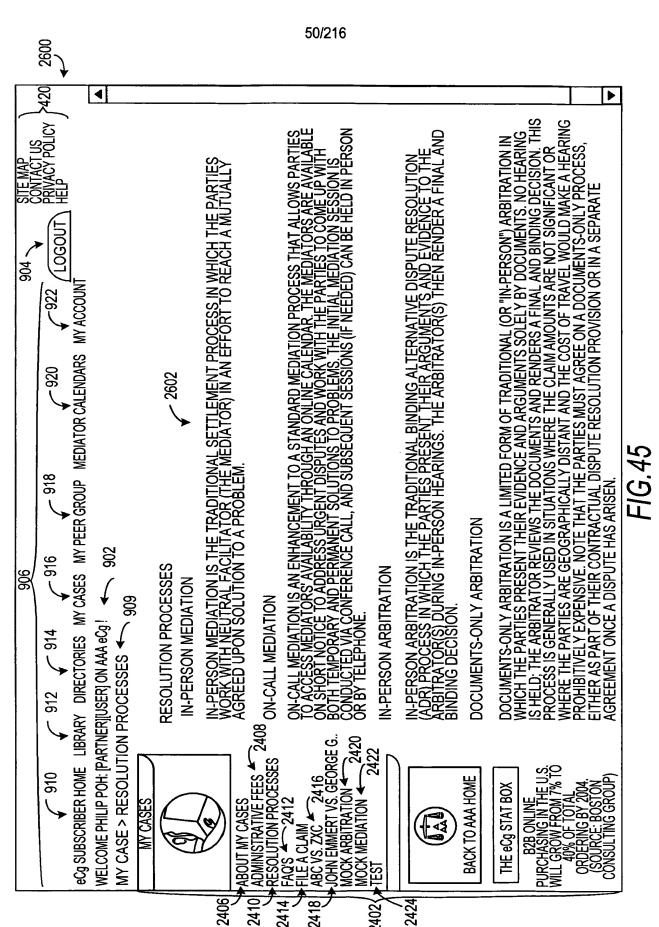
ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT Agent Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000



Applicants : William K. Slate II et al.
Application No.: 09/990,402 Confirmation No.: 3669

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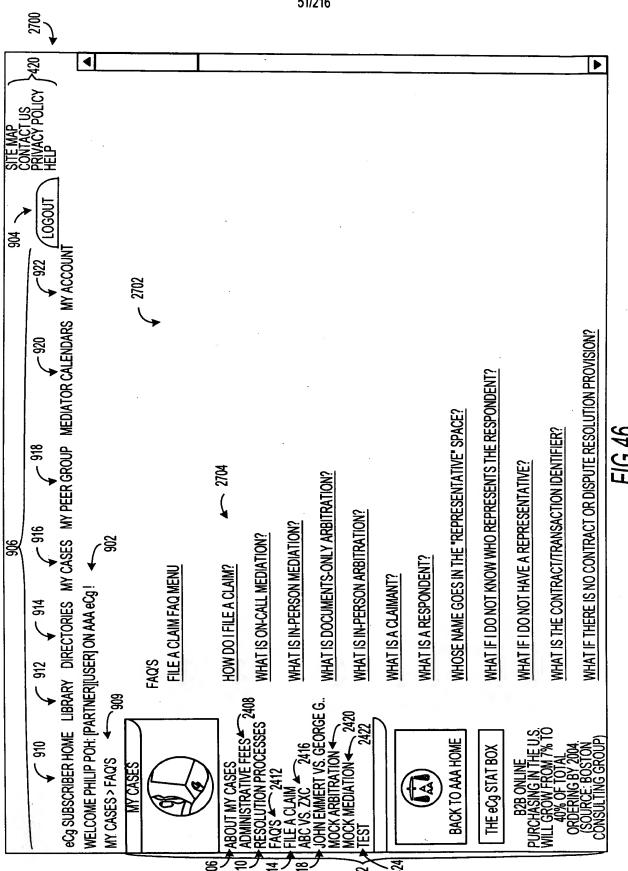
Confirmation No.: 3669

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ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000

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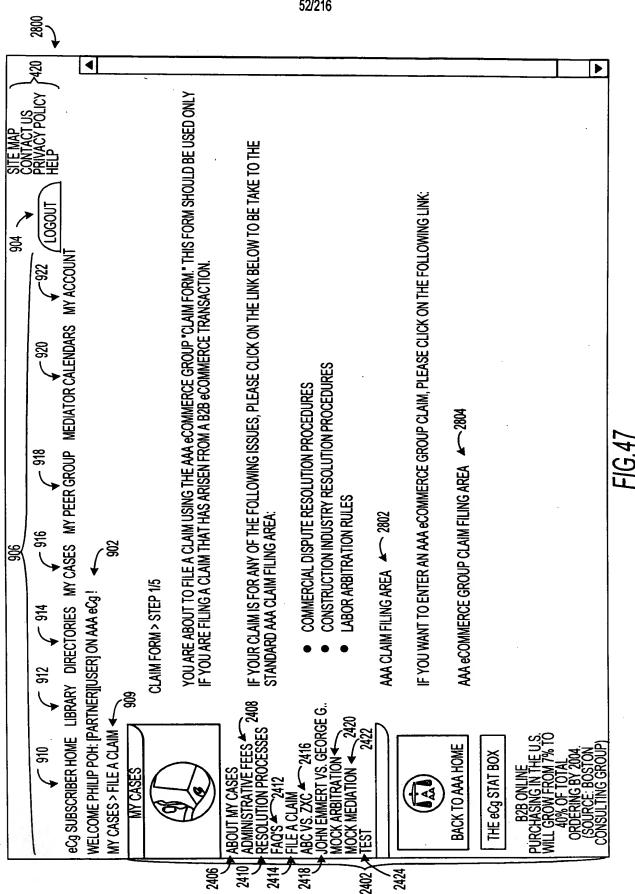
Docket No.: AAA-003



Docket No.: AAA-003 Applicants William K. Slate II et al. Confirmation No.: 3669

Application No.: 09/990,402 Filed

November 21, 2001 ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT For Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000 Agent



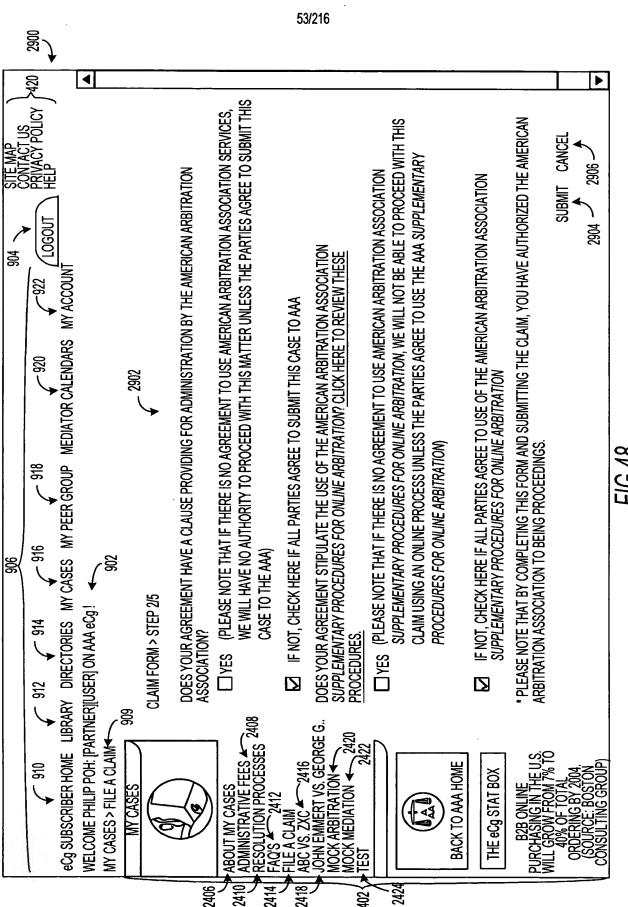
Docket No.: AAA-003

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Applicants : William K. Slate II et al.

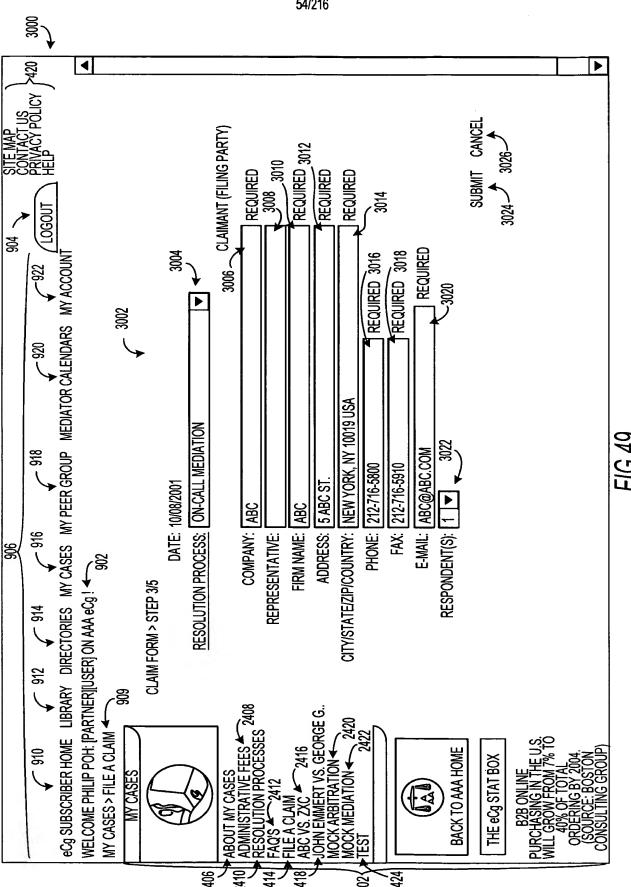
Confirmation No.: 3669

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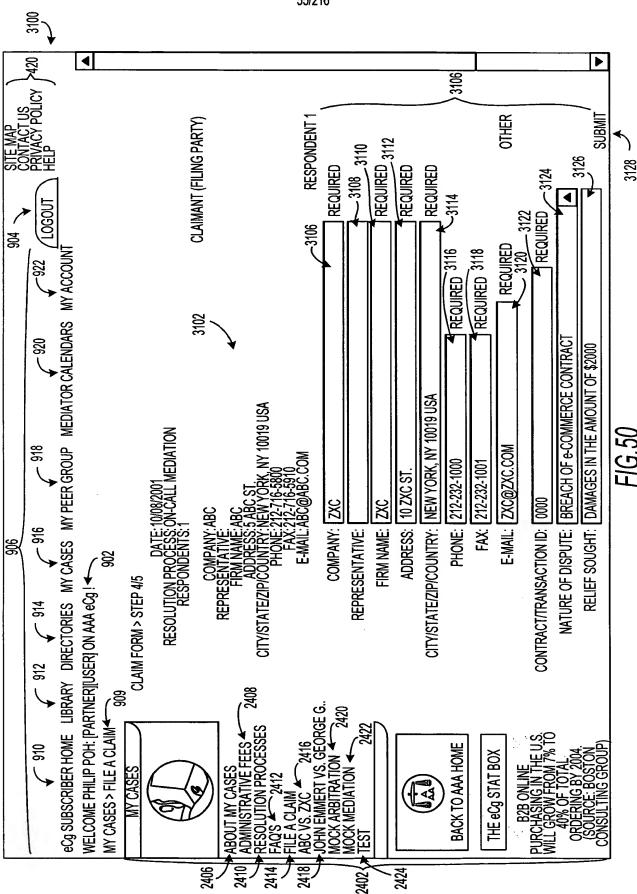
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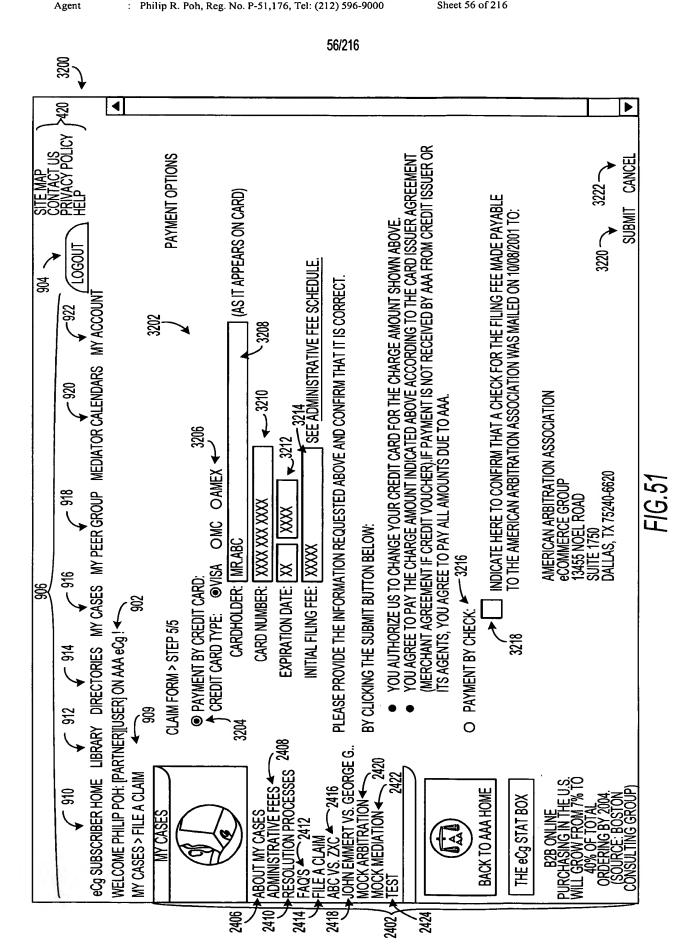
Applicants: William K. Slate II et al. Application No.: 09/990,402

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: November 21, 2001

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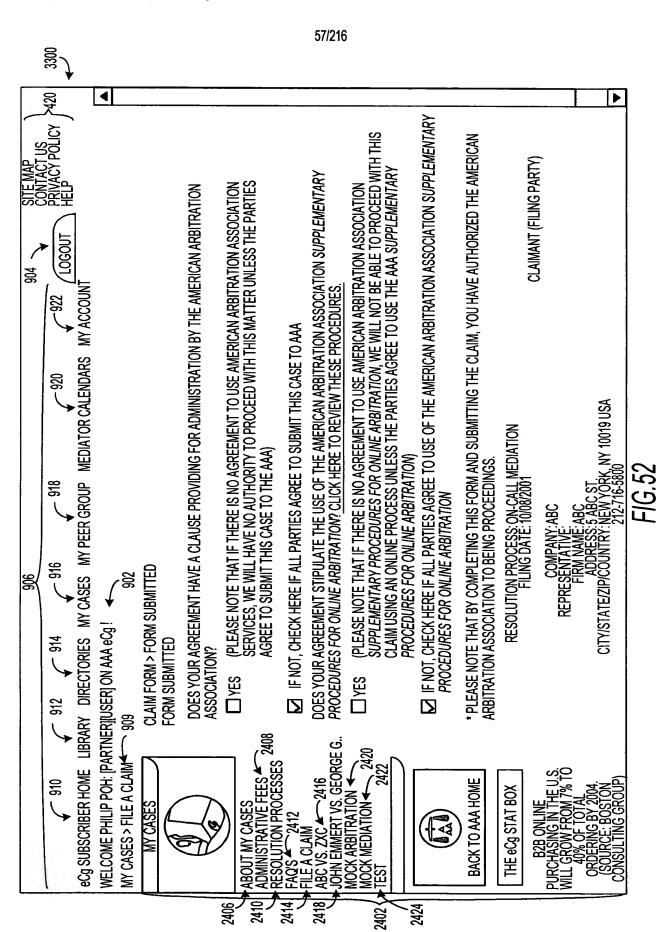
ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT
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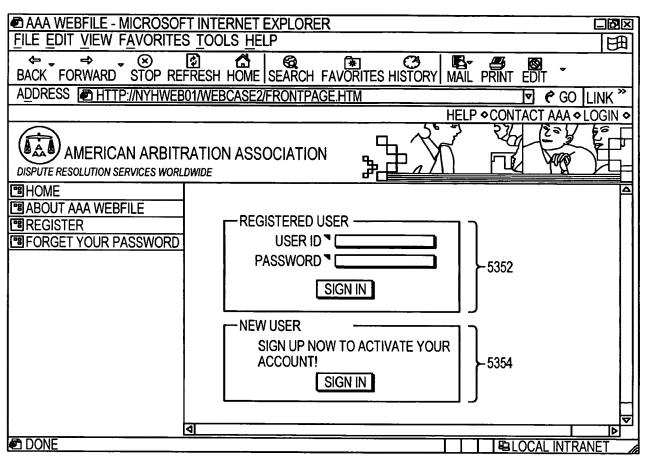


FIG. 53

: William K. Slate II et al. Applicants

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√ 5452

| EGISTRATION FORM | | | |
|--|--|--|--|
| WELCOME TO THE AAA WEBFILE, YOUR RESOURCE FOR ONLINE CASE FILING AND MANAGEMENT. UPON COMPLETING THE FORM BELOW, YOU WILL RECEIVE CONFIRMATION OF YOUR USER ID AND PASSWORD VIA EMAIL, WHICH WILL ALLOW YOU TO GAIN PERMANENT ACCESS TO THE VARIOUS SECURE SECTIONS ON THIS SITE. YOU MAY CHANGE YOUR USER INFORMATION AFTER REGISTRATION. REQUIRED FIELDS ARE INDICATED WITH A RED TRIANGLE 1. | | | |
| CCOUNT SETTINGS | | | |
| USER ID FIRST NAME PASSWORD MIDDLE INITIAL LAST NAME LAS | | | |
| SER INFORMATION | | | |
| IAIL ADDRESS* ONFIRM EMAIL* COMPANY ADDRESS* CITY* STATE* ALABAMA ZIP* TEL* FAX | | | |
| SIGN UP RESET | | | |
| 5452 | | | |

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AAA WEBFILE REGISTRATION COMPLETED

TEST,

THANK YOU FOR REGISTERING WITH AAA WEBFILE. YOUR USER PROFILE HAS BEEN CREATED AND YOU CAN NOW LOG IN USING THE USER ID AND PASSWORD YOU SELECTED DURING THE REGISTRATION PROCESS.

CLICK HERE TO CONTINUE.

FIG. 55

: William K. Slate II et al. Docket No.: AAA-003 Applicants Confirmation No.: 3669

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| — | |
|--|--|
| FORGET YOUR PASSWORD? | |
| DID YOU FORGET YOUR PASSWORD? PLEASE FILL OUT USER ID CORRECTLY. YOUR PASSWORD WILL BE EMAILED TO YOUR REGISTERED EMAIL ACCOUNT SHORTLY. | |
| REQUIRED FIELDS ARE INDICATED WITH A RED TRIANGLE[1]. | |
| FIND PASSWORD | |
| USER ID T | |
| SUBMIT | |

FIG. 56

Applicants Applicants : William K. Slate II et al. Application No. : 09/990,402 Docket No.: AAA-003

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| UPDATE PROFILE |
|--|
| THANK YOU FOR TAKING A MOMENT TO CONFIRM YOU AAA WEBFILE ACCOUNT SETTINGS. YOU MAY CHANGE YOUR USER INFORMATION AS OFTEN AS NECESSARY. AFTER MAKING MODIFICATIONS PLEASE CLICK THE "SAVE" BUTTON. YOUR USER PROFILE WILL THEN BE UPDATED. REQUIRED FIELDS ARE INDICATED WITH A RED TRIANGLE[*]. |
| ACCOUNT SETTINGS |
| USER ID* YOGESH |
| FIRST NAME YOGESH MIDDLE INITIAL CHANGE PASSWORD LAST NAME T CHAUDHARI |
| SECRET QUESTION THE ANSWER TO AND THAT HAS NOTHING TO DO WITH YOUR PASSWORD, IF YOU FORGET YOUR PASSWORD, WE WILL VERIFY YOUR IDENTITY BY ASKING YOU THIS QUESTION |
| USER INFORMATION |
| EMAIL ADDRESS CHAUDHARRY@ADR.ORG COMPANY ADDRESS 335, MADISON AVE, CITY NEW YORK STATE NEW YORK ZIP 10017 TEL 212 - 716 - 3949 EXTENSION FAX 212 - 716 - 5903 |
| SIGN UP RESET |

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| CHAN | GE PASSWORD |
|------|--|
| | PASSWORD SETTING ALLOWS YOU TO CHANGE YOUR LOGIN PASSWORD. ENTER YOU PASSWORD AND THEN CHOOSE YOUR NEW PASSWORD. CLICK SAVE WHEN YOU'RE |
| | OLD PASSWORD INTERPRETATION OF THE PASSWORD THAT IS MAXIMUM 30 CHARACTERS LONG. IT MAY CONTAIN NUMBERS(0-9) AND UPPER AND LOWERCASE LETTERS(A-Z, a-z). |

FIG. 58

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| RE | QUIRED FIELDS ARE INC | DICATED WITH A RED TRIANGLE[]. |
|--------------------|-----------------------|--|
| CONFIRMATION NUMBE | | PLEASE ENTER THE CONFIRMATION NUMBER |
| PIN NUMBE | ER'[0 | YOU HAVE RECEIVED BY EMAIL OR BY REGULAR MAIL |
| | | |

FIG. 59

: William K. Slate II et al. Docket No.: AAA-003 Applicants Application No.: 09/990,402 Confirmation No.: 3669

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FILE NEW CLAIM TO FILE A COMMERCIAL OR A CONSTRUCTION CLAIM, THE CLAIM MUST EXCEED \$10,000. UNDISCLOSED CLAIMS AND CLAIMS THAT ARE NOT FOR A MONETARY AMOUNT WILL BE ACCEPTED. ARE YOU FILING THIS CLAIM AS A: CLAIMANT 6052 O CLAIMANT'S REPRESENTATIVE IS YOUR DISPUTE IN ACCORDANCE WITH: COMMERCIAL DISPUTE RESOLUTION PROCEDURES VIEW RULES/PROCEDURES ARBITRATION O MEDIATION 6054 CONSTRUCTION INDUSTRY DISPUTE RESOLUTION PROCEDURES VIEW RULES/PROCEDURES O ARBITRATION O MEDIATION DOES YOUR AGREEMENT HAVE AN AMERICAN ARBITRATION ASSOCIATION CLAUSE PROVIDING FOR ADMINISTRATION BY THIS ASSOCIATION? ☑ YES(PLEASE NOT THAT IF THERE IS NO AGREEMENT TO USE AMERICAN ARBITRATION ASSOCIATION SERVICES, WE WILL HAVE NO AUTHORITY TO PROCEED WITH THIS MATTER 6056 *PLEASE NOTE THAT BY COMPLETING THIS FORM AND SUBMITTING THE CLAIM, YOU HAVE AUTHORIZED THE AMERICAN ARBITRATION ASSOCIATION TO BEGIN **PROCEEDINGS** A NONREFUNDABLE INITIAL FILING FEE IS PAYABLE IN FULL BY A FILING PARTY WHEN A CLAIM, COUNTERCLAIM OR ADDITIONAL CLAIM IS FILED RESET NEXT STEP 6058 -6060

FIG. 60

Applicants : William K. Slate II et al. Application No. : 09/990,402 Docket No.: AAA-003 Confirmation No.: 3669

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| CLAIMANT | | | | | |
|--------------|----------------|--------------------|------------|-------------|------------------------------|
| 6162 | REQUI | RED INFORMATION IS | S INDICATE | ED WITH A F | RED TRIANGLE[]. |
| SEARCH PARTY | INFORMATION FF | ROM YOUR PREVIOUS | SLY FILED | CASE(S). | |
| FIRST | NAME HARNIS | SH | \ | | R FIRST NAME/ ST NAME OR |
| | MIDDLE INITIAL | | 1 | COMPA | ANY NAME MUST |
| LAST | NAME PATEL | | | | TERED. YOU CAN NTER BOTH. |
| cc | OMPANY | | | | |
| AD | DRESS 335 MA | DISON AVE. | | | |
| | | | □ | 6152 | |
| | CITY NEW YO | | | 0102 | |
| | STATE NEW YO | ORK 🔽 | | | |
| | ZIP 10017 | J- [| | | |
| | EMAIL PATELF | | | | |
| | <u> </u> | 716 - 3954 | - 1 | | |
| | FAX [212]-[| 716 - 5903 | / | | |
| ADD REDI | RESENTATIVE | ADDITIONAL CLAIM | ANT NE | XT STEP | RESET |
| ADDITE | <u> </u> | | A | | <u> </u> |
| | 6154 | 6156 | _ | 6158 | ~ 6160 |

FIG. 61

Applicants : William K. Slate II et al. Application No. : 09/990,402 Docket No.: AAA-003

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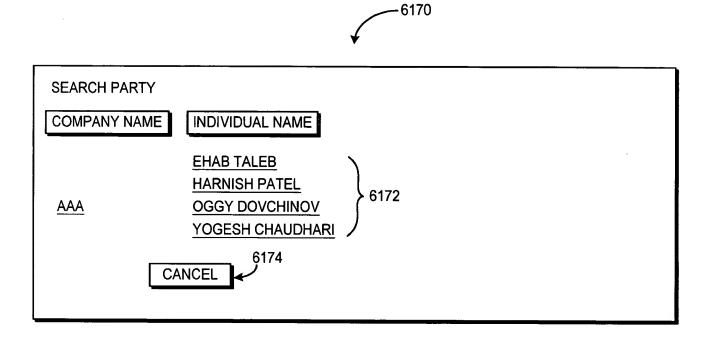


FIG. 62

Docket No.: AAA-003 Applicants : William K. Slate II et al. Application No.: 09/990,402 Confirmation No.: 3669

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SEARCH ADDRESS

: ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT For Agent : Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000 Sheet 68 of 216

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-6180 CLICK ON THE ARROW TO SELECT ADDRESS

FAX ADDRESS CITY STATE ZIP ZIP EXT. PHONE **EMAIL** 6182 101 MAIN ST FLUSHING TALEBE@ADR.ORG NY 11735 2127163959 101 MAIN ST FLUSHING TALEBE@ADR.ORG NY 11735 6184 CANCEL

FIG. 63

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√ 6250

| CLAIMANT REPRESENTATIVE | | |
|---|--|--|
| REQUIRED FIELDS ARE INDICATED WITH A RED TRIANGLE[1]. | | |
| SEARCH PARTY INFORMATION FROM YOUR PREVIOUSLY FIL | LED CASE(S) | |
| FIRST NAME EHAB | EITHER FIRST NAME/LAST | |
| MIDDLE INITIAL | NAME OR COMPANY NAME MUST BE ENTERED. YOU | |
| LAST NAME TALEB | CAN ENTER BOTH | |
| COMPANY | | |
| ADDRESS 101 MAIN ST | | |
| CITY | | |
| STATE NEW YORK | | |
| ZIP* 10017 | | |
| EMAIL ADDRESS TALEBE@ADR.ORG | | |
| TEL* 212 - 716 - 3949 | | |
| FAX | | |
| | | |
| ADD REPRESENTATIVE ADDITIONAL CLAIM NE. | XT STEP RESET | |

FIG. 64

Applicants : William K. Slate II et al. Application No. : 09/990,402 Docket No.: AAA-003

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√ 6350

| RESPONDENT | |
|--|--|
| REQUIRED FIELDS ARE INDIC | ATED WITH A RED TRIANGLE[]. |
| SEARCH PARTY INFORMATION FROM YOUR PREVIOUSLY FILE | ED CASE(S) |
| FIRST NAME OGGY | EITHER FIRST NAME/LAST |
| MIDDLE INITIAL | NAME OR COMPANY NAME MUST BE ENTERED. YOU |
| LAST NAME DOYCHINOV | CAN ENTER BOTH |
| COMPANY AAA | |
| ADDRESS 335 MADISON AVE | |
| CITY" NEW YORK | |
| STATE NEW YORK | |
| ZIP 10017 | |
| EMAIL ADDRESS DOYCHINOVO@ADR.ORG | |
| TEL 212 - 716 - 3954 | |
| FAX | |
| | |
| ADD REPRESENTATIVE ADDITIONAL RESPONDENT | NEXT STEP RESET |

FIG. 65

Applicants : William K. Slate II et al. Application No. : 09/990,402 Docket No.: AAA-003 Confirmation No.: 3669

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| RESPONDENT REPRESENTATIVE | | | |
|---|--|--|--|
| REQUIRED FIELDS ARE INDICATED WITH A RED TRIANGLE[*]. | | | |
| SEARCH PARTY INFORMATION FROM YOUR PREVIOUSLY FIL | .ED CASE(S) | | |
| FIRST NAME YOGESH | EITHER FIRST NAME/LAST | | |
| MIDDLE INITIAL | NAME OR COMPANY NAME MUST BE ENTERED. YOU | | |
| LAST NAME CHAUDHARI | CAN ENTER BOTH | | |
| COMPANY | | | |
| ADDRESS* 17 BROADWAY | | | |
| CITY | | | |
| STATE NEW JERSEY | | | |
| ZIP 07350 1111 | | | |
| EMAIL ADDRESS™ CHAUDHARIY@ADR.ORG | | | |
| TEL 212 - 716 - 3949 | | | |
| FAX | | | |
| | | | |
| ADD REPRESENTATIVE ADDITIONAL RESPONDENT | NEXT STEP RESET | | |

FIG. 66

Applicants : William K. Slate II et al. Application No. : 09/990,402 Docket No.: AAA-003 Confirmation No.: 3669

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| ADDITIONAL CLAIM INFORMATION |
|--|
| |
| REQUIRED FIELDS ARE INDICATED WITH A RED TRIANGLE[▼]. |
| NUMBER OF ARBITRATORS*: ▼ 1 ▼ 6552 |
| *IN ACCORDANCE WITH THE RULES, IF THE ARBITRATION AGREEMENT DOES NOT SPECIFY THE NUMBER OF ARBITRATORS, THE DISPUTE SHALL BE HEARD AND DETERMINED BY ONE ARBITRATOR, UNLESS THE AAA, IN ITS DISCRETION, DIRECTS THAT THREE ARBITRATORS BE APPOINTED. THE PARTIES MAY REQUEST THREE ARBITRATORS IN THEIR DEMAND OR ANSWER, WHICH REQUEST THE AAA WILL CONSIDER IN EXERCISING ITS DISCRETION REGARDING THE NUMBER OF ARBITRATORS APPOINTED TO THE DISPUTE. |
| HEARING LOCALE REQUESTED: ▼ |
| CITY: NEW YORK STATE: NEW YORK (6554) |
| |
| PLEASE TYPE IN ARBITRATION CLAUSE: |
| TEST CLAUSE 6556 |
| NEXT STEP RESET |

FIG. 67

: William K. Slate II et al. Applicants Docket No.: AAA-003 Confirmation No.: 3669

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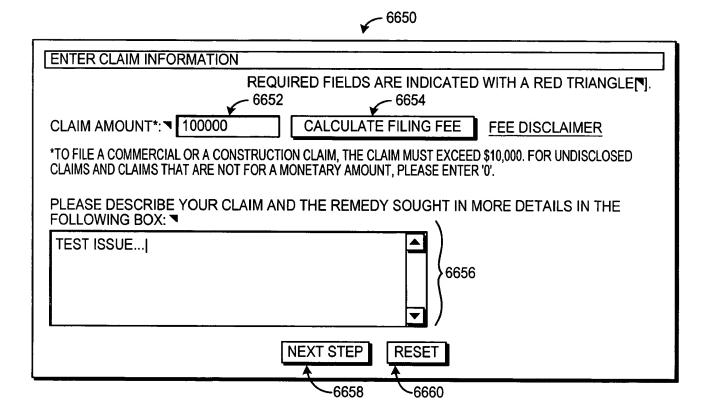


FIG. 68

: William K. Slate II et al. Docket No.: AAA-003 Applicants Confirmation No.: 3669

Application No.: 09/990,402

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Agent

: ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT : Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000 Sheet 74 of 216

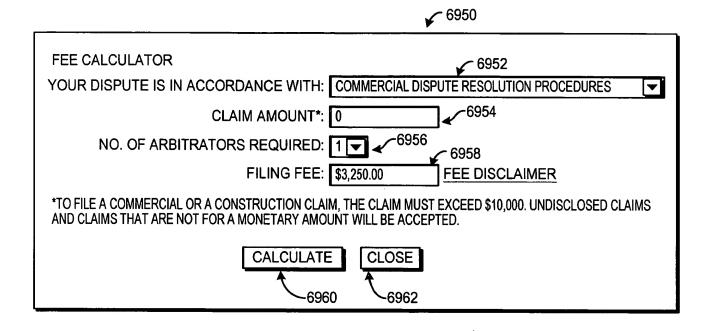


FIG. 69

Applicants

For

Agent

: William K. Slate II et al.

Docket No.: AAA-003 Confirmation No.: 3669

Application No.: 09/990,402

: November 21, 2001

: ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT : Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000

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-7050

VIEW CLAIMS/CASES

YOU HAVE THE FOLLOWING CLAIMS/CASES REGISTERED WITH THE AMERICAN ARBITRATION ASSOCIATION:

INCOMPLETE CLAIM

YOUR CLAIM REQUEST IS INCOMPLETE. YOU HAVE NOT ENTERED ALL THE REQUIRED INFORMATION FOR FILING THE CLAIM.

CLICK VIEW TO VIEW THE DETAILS AND CONTINUE WITH THE CLAIM.

CLICK ERASE TO ERASE ALL THE ENTERED CLAIM INFORMATION. 7054

DATE OF FILING: 11/01/2001

NOTE: YOU CANNOT FILE A NEW CLAIM IF YOU HAVE AN INCOMPLETE CLAIM. TO FILE A NEW CLAIM YOU MUST ERASE THIS CLAIM.

PENDING CLAIM(S)

YOU HAVE THE FOLLOWING PENDING CLAIM(S). IT IS CURRENTLY UNDER REVIEW BY THE CASE MANAGER. TO VIEW THE DETAILS CLICK ON THE CONFIRMATION NUMBER LINK. IF YOU HAVE ANY QUESTIONS, PLEASE CONTACT OUR CASE MANAGER

| CONFIRMATION NUMBER | DATE OF FILING \ | \ |
|---------------------|------------------|----------|
| 002-2AL-56L | 10/29/2001 | 1 |
| 002-4AV-XWF | 10/12/2001 | 7056 |
| 002-S2T-IE2 | 09/26/2001 | \ |
| 002-07V-AH3 | 08/02/2001 | <i>)</i> |

FILED CASE(S)

VIEW ALL CASES **←** 7058

YOU HAVE THE FOLLOWING CASE(S) FILED. TO VIEW THE DETAILS CLICK ON THE CONFIRMATION NUMBER LINK.

| CONFIRMATION NUMBER | CASE NUMBER | STATUS) |
|---------------------|--------------------|--------------|
| 002-Y3G-LOY | 11-114-000062-01 | ACTIVE {7060 |
| 002-LCV-XRN | 11-489-L-000050-01 | ACTIVE) |

FIG. 70

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: November 21, 2001 For

: ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT : Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000 Sheet 76 of 216

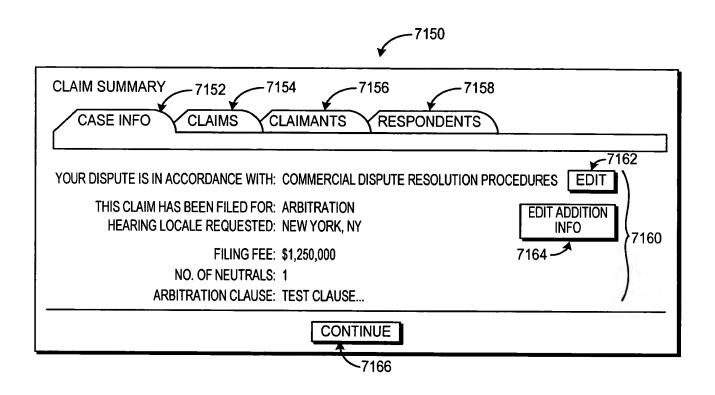


FIG. 71

Applicants : William K. Slate II et al. Docket No.: AAA-003 Confirmation No.: 3669

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: ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT : Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000 Sheet 77 of 216

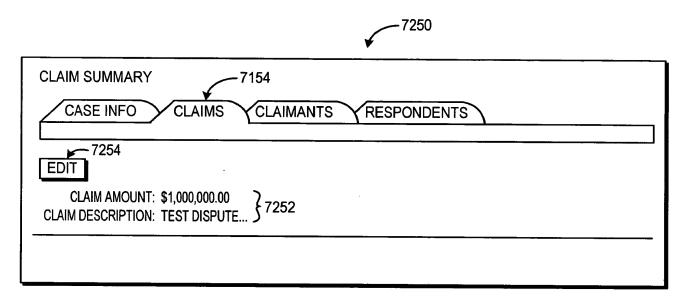


FIG. 72

Applicants : William K. Slate II et al. Docket No.: AAA-003

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Filed : November 21, 2001 For : ELECTRONIC SYS

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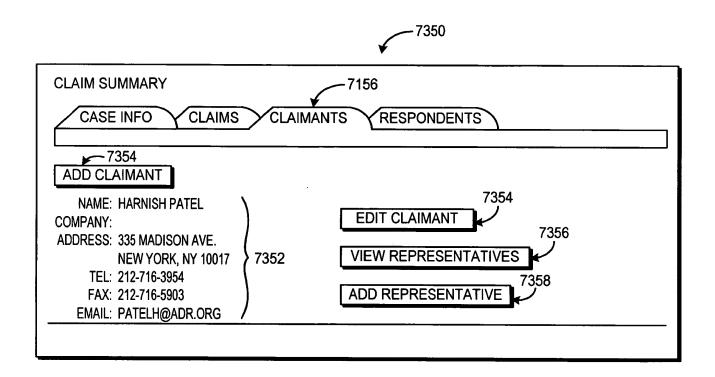


FIG. 73

Applicants : William K. Slate II et al. Docket No.: AAA-003 Confirmation No.: 3669

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For

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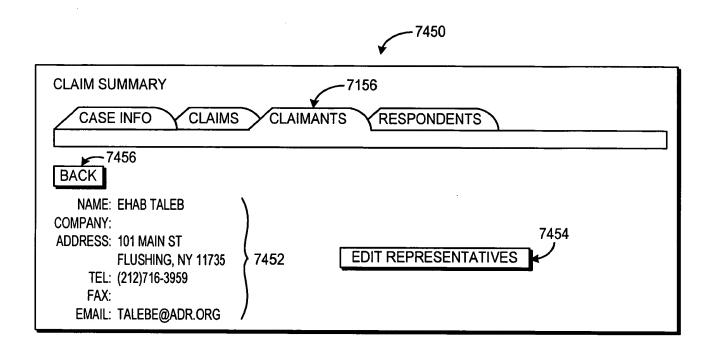


FIG. 74

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For : ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT : Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000 Sheet 80 of 216 Agent

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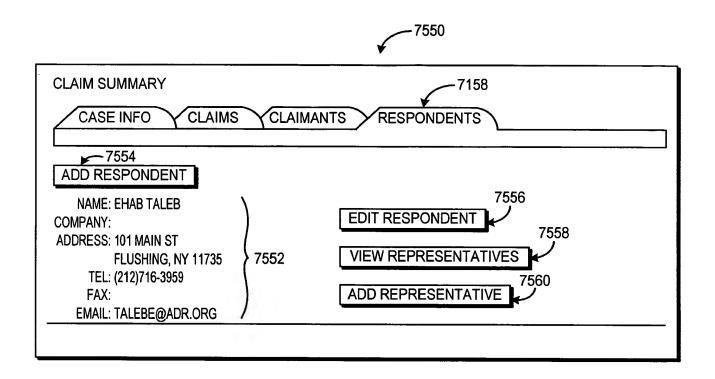


FIG. 75

Applicants : William K. Slate II et al. Docket No.: AAA-003

Application No.: 09/990,402 Confirmation No.: 3669

Filed : November 21, 2001
For : ELECTRONIC SYS

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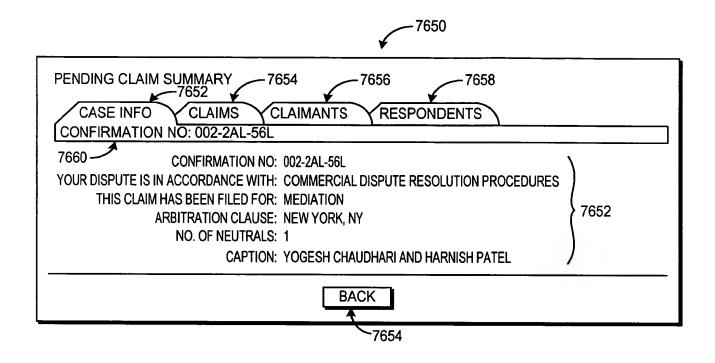


FIG. 76

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: November 21, 2001 Filed For

: ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT : Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000 Sheet 82 of 216 Agent

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PENDING CLAIM SUMMARY 7654 CLAIMANTS RESPONDENTS **CASE INFO** CLAIMS CONFIRMATION NO: 002-2AL-56L CLAIM AMOUNT: \$567,829.00 CLAIM DESCRIPTION: CLAIM AND THE REMEDY SOUGHT...

FIG. 77

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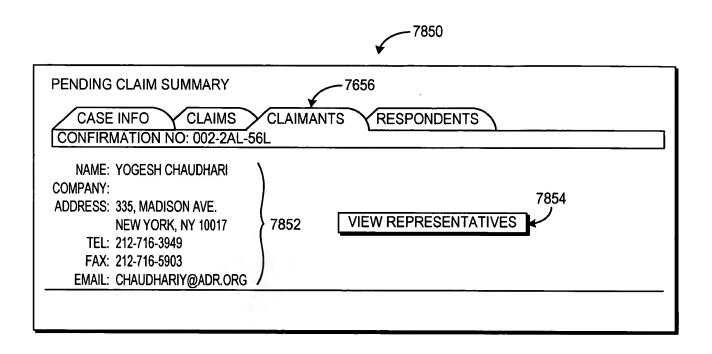


FIG. 78

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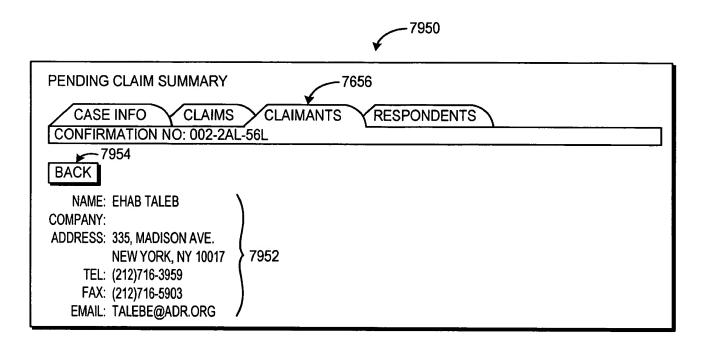


FIG. 79

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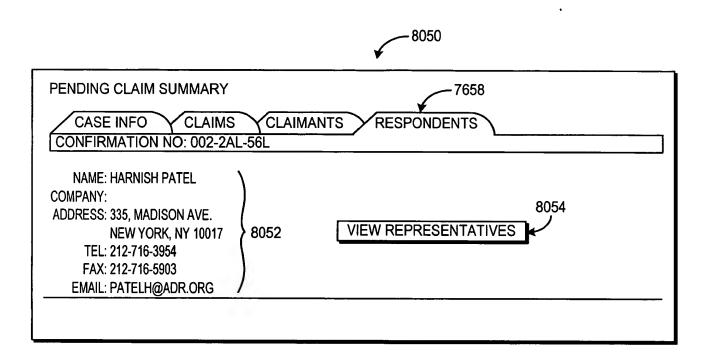


FIG. 80

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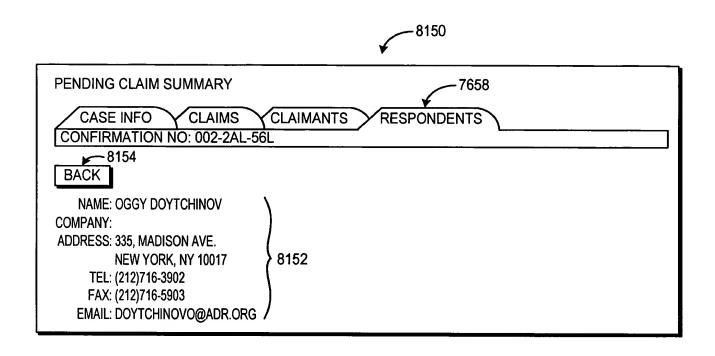


FIG. 81

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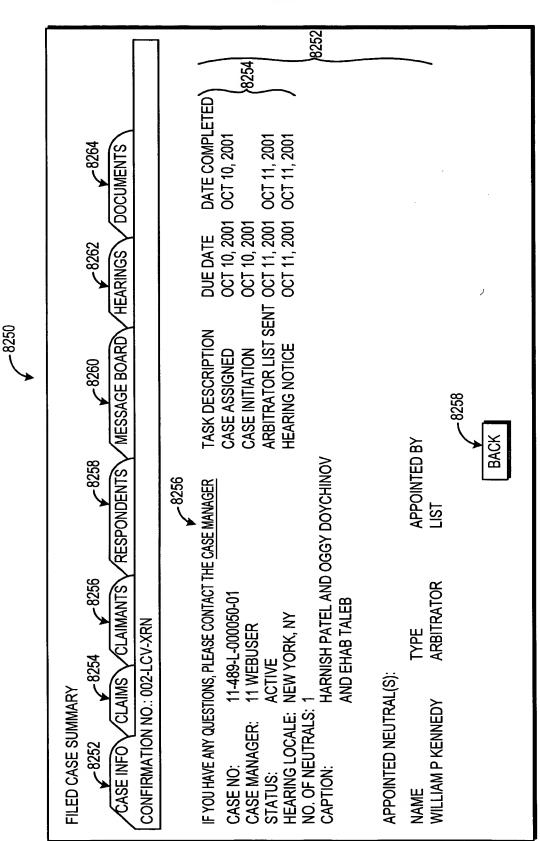


FIG. 82

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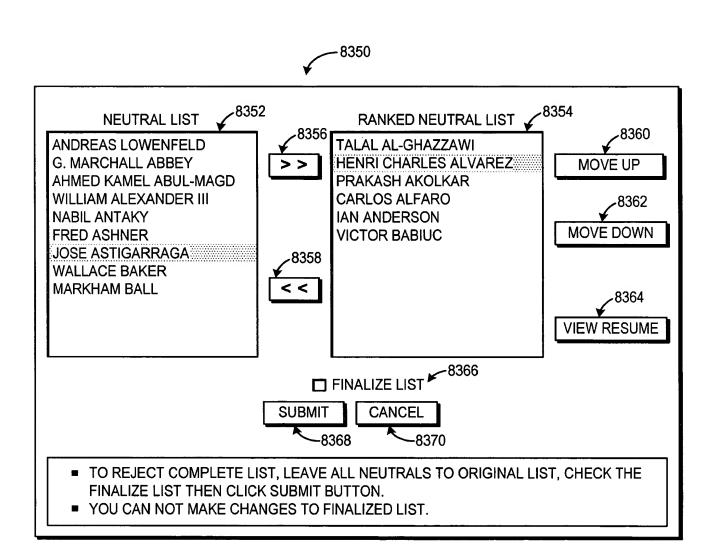


FIG. 83

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CONTACT AMERICAN ARBITRATION ASSOCIATION 3 PHILLIPS LANE **DOVER, MA 02030**

COMMERCIAL PANEL WILLIAM P. ALEXANDER III, ESQ.

CURRENT EMPLOYER-TITLE LAW OFFICE OF WILLIAM P. ALEXANDER III - OWNER

PROFESSION ATTORNEY - BUSINESS, INTERNATIONAL, DISTRIBUTORSHIP, FOREIGN-OWNED U.S. ENTERPRISES, FRANCHISING, CONSTRUCTION; SIGNIFICANT EXPERIENCE AS ARBITRATION COUNSEL AND ARBITRATOR.

WORK HISTORY OWNER, LAW OFFICE OF WILLIAM P. ALEXANDER III, 1988-PRESENT, COUNSEL, DEARBORN AND EWING, 1985-88; SPONSORED ATTORNEY, LAW OFFICES OF I.S. NAZER, AL KHOBAR, SAUDI ARABIA, 1977-85; PROJECTS DIRECTOR, KING FAISAL SPECIALIST HOSPITAL AND RESEARCH CENTRE, RIYADH, SAUDI ARABIA, 1975-77, STATE OF TENNESSEE JUDICIARY, 1975

EXPERIENCE OVER 20 YEARS IN PRIVATE LAW PRACTICE, SPECIALIZING IN INTERNATIONAL AND CONSTRUCTION LAW. PRIMARILY REPRESENT COMPANIES IN DISTRIBUTORSHIPS; ACQUISITION OF U.S. COMPANIES, PROPERTY AND ASSETS BY FOREIGN INTERESTS; TECHNOLOGY LICENSING; MULTINATIONAL JOINT VENTURES; WORLDWIDE PRODUCT MANUFACTURING' FRANCHISING AND INTERNATIONAL TRADE.

FIG. 84

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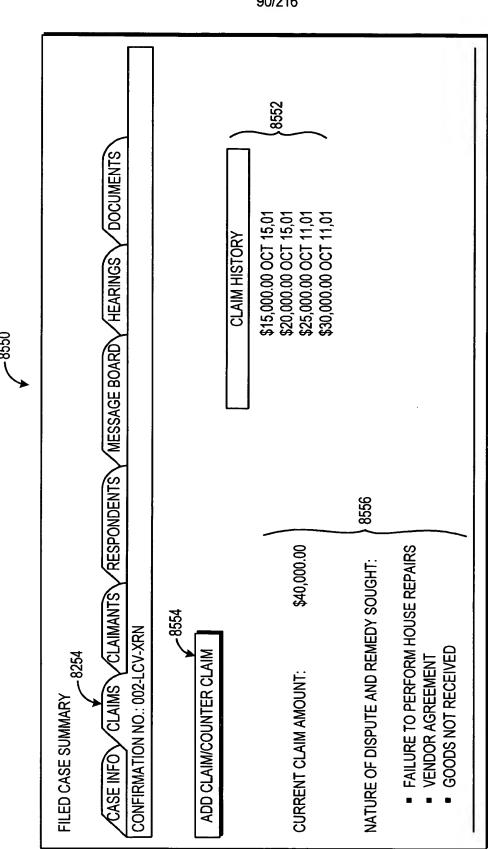
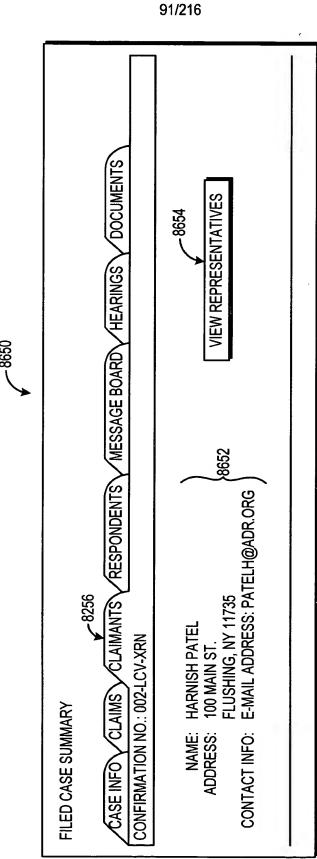


FIG. 85

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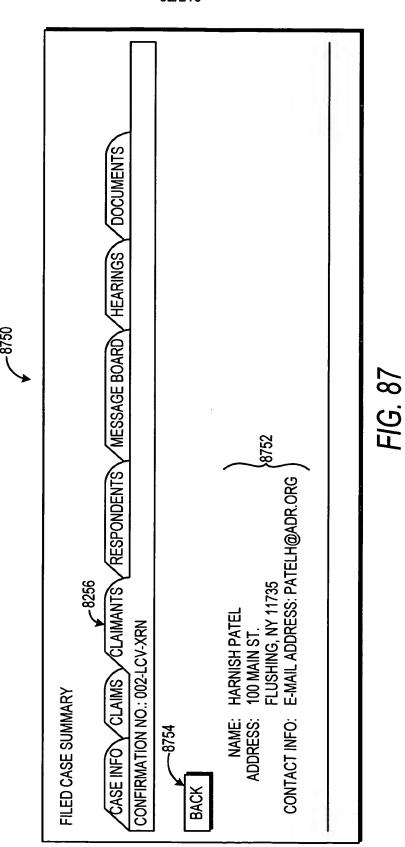
Applicants : William K. Slate II et al. Docket No.: AAA-003 Confirmation No.: 3669

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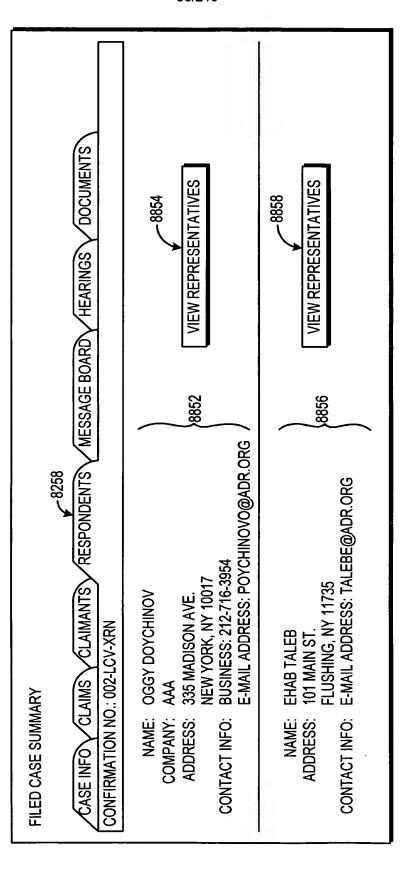
Application No.: 09/990,402

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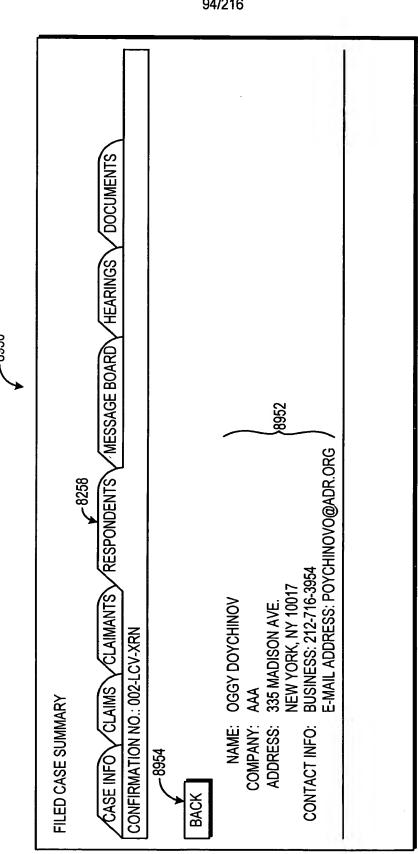
Application No.: 09/990,402

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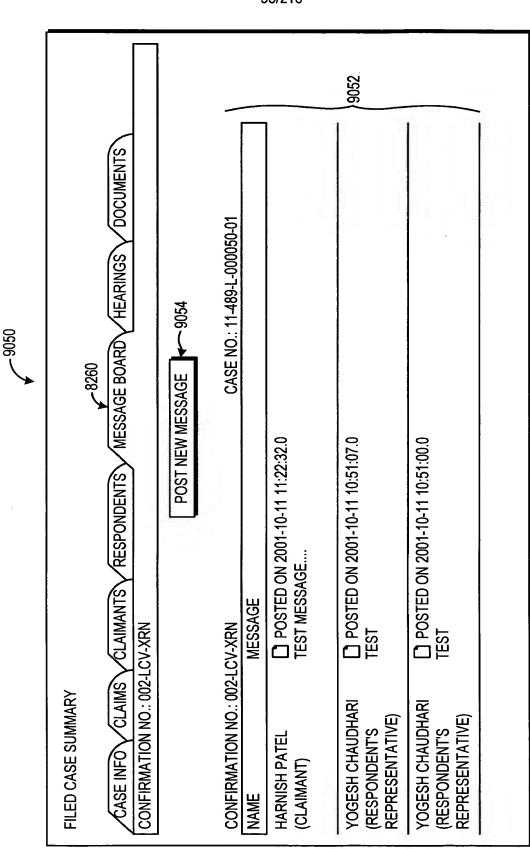
: William K. Slate II et al.

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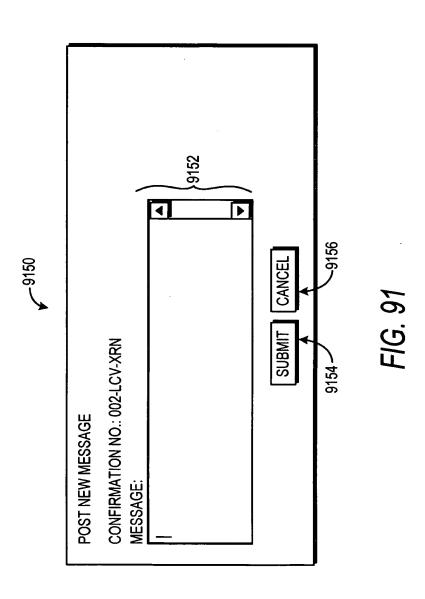
F/G. 90

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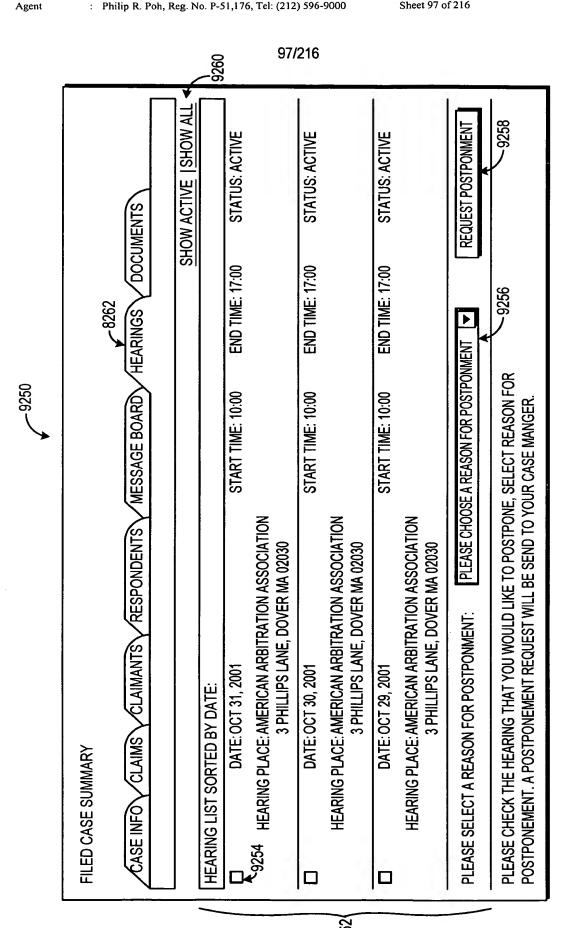


FIG. 92

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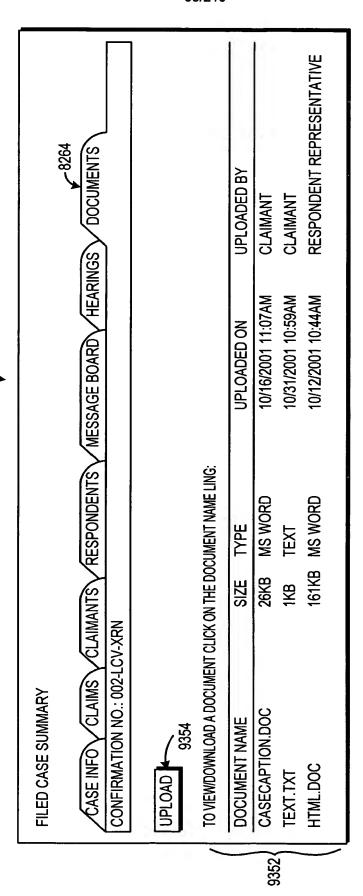


FIG. 93

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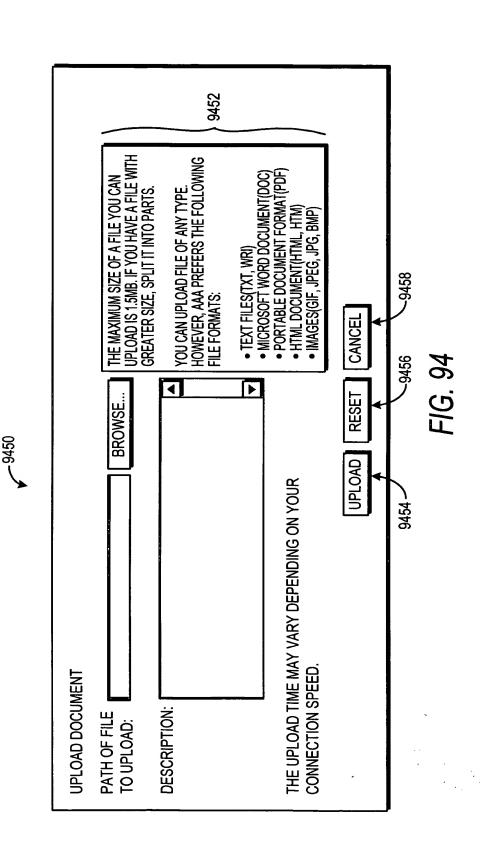
Docket No.: AAA-003 : William K. Slate II et al. Applicants Confirmation No.: 3669

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9550 FEE CALCULATOR **€** 9552 YOUR DISPUTE IS IN ACCORDANCE WITH: COMMERCIAL DISPUTE RESOLUTION PROCEDURES \blacksquare -9554 CLAIM AMOUNT*: 20000 NO. OF ARBITRATORS REQUIRED: 1 ▼ FILING FEE: \$750.00 FEE DISCLAIMER *TO FILE A COMMERCIAL OR A CONSTRUCTION CLAIM, THE CLAIM MUST EXCEED \$10,000. UNDISCLOSED CLAIMS AND CLAIMS THAT ARE NOT FOR A MONETARY AMOUNT WILL BE ACCEPTED. **CALCULATE CLOSE**

FIG. 95

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PAYMENT FORM

SELECT PAYMENT METHOD.

PAYMENT METHOD

CHECK O CREDIT CARD

MAKING PAYMENT BY CHECK

PLEASE SELECT "CHECK" AND PRESS CONTINUE FOR MORE INFORMATION ABOUT WHERE YOU SHOULD MAIL YOUR CHECK. ONCE PAYMENT IS RECEIVED, YOUR FILE WILL BE FORWARDED TO THE NORTHEAST CASE MANAGEMENT CENTER FOR ADMINISTRATION.

MAKING PAYMENT BY CREDIT CARD

YOUR CREDIT CARD WILL BE CHARGED IMMEDIATELY AND YOUR FILE WILL BE FORWARDED TO THE NORTHEAST CASE MANAGEMENT CENTER FOR ADMINISTRATION.

CONTINUE

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FIG. 96

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ONLINE FILLING DEMAND FOR ARBITRATION/MEDIATION FORM

THIS CONCLUDES YOUR FILLING

THANK YOU FOR SUBMITTING YOUR CLAIM THE AAA

YOUR CLAIM CONFIRMATION 002-NV5-VFC

PLEASE MAIL YOUR CHECK FOR \$750 ALONG WITH A VOPY OF THIS FORM AND THE CLAIM CONFIRMATION NUMBER NOTED ON YOUR CHECK TO.

AMERICAN ARBITRATION ASSOCIATION ATT: ANNIE LUONGO, CASE MANAGEMENT 335 MADISON AVE. 10TH FLOOR

TO INSTITUTE PROCEDINGS, PLEASE SEND A COPY OF THIS FORM AND THE ARBITRATION AGREEMENT TO THE OPPOSING PARTY

PRINTABLE PAGE

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YOUR DISPUTE HAS BEEN FILED IN ACCORDANCE WITH: CONSTRUCTION INDUSTRY DISPUTE RESOLUTION PROCEDURES

CLAIM

NEW YORK, NY 10007

CLAIM AMOUNT: \$20,000.00

CLAIM DESCRIPTION: FAILURE TO PERFORM HOUSE REPAIR VENDOR AGREEMENT

GOODS NOT RECEIVED

ARBITRATION CLAUSE: FAILURE TO PERFORM HOUSE REPAIR VENDOR AGREEMENT

GOODS NOT RECEIVED

HEARING LOCATE REQUESTED: NEW YORK, NY

FILLING FEE: \$750.00

NO. OF NEUTRALS: 1

CLAIMANT NO: 1

NAME: JOHN SMITH

ADDRESS: 34 25 STREET NEW YORK, NY 100001

TEL: 778-898-4444 EMAIL: JOHN@EMAIL.COM

RESPONDENT NO: 1

NAME: TONY DOE

ADDRESS: 1233 45 STREET NEW YORK, NY 10005

TEL: 778-258-3698 EMAIL: TONY@EAMIL.COM

TO INSTITUTE PROCEDINGS, PLEASE SEND A COPY OF THIS FORM AND THE ARBITRTION AGREEMENT TO THE OPPOSING PARTY

PRINTABLE PAGE 🚑

YOUR DEMAND FOR ARBITRATION HAS BEEN RECEIVED.

UPON RECEIPT OF PAYMENT, THIS DEMAND FOR ARBITRATION/MEDIATION WILL BE SUCCESSFULLY FILLED.

NOW YOU CAN VEIW YOUR CLAIM/CASES, OR CONTINUE WITH NEW CLAIM OR SIGN OUT.

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9756

: William K. Slate II et al. Docket No.: AAA-003 Applicants Confirmation No.: 3669

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Agent

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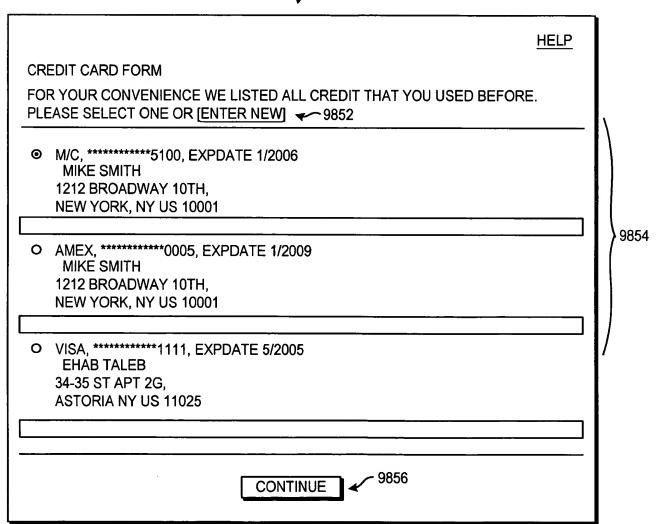


FIG. 98

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| PAYMENT | |
|--|------|
| ENTER NEW CREDIT CARD INFORMATION. REQUIRED INFORMATION IS INDICATED WITH A RED TRIANGLE[]. | \ |
| CARD TYPE O VISA O MASTER CARD O AMERICAN EXPRESS . | |
| CREDIT CARD NUMBER EXPIRATON DATE 01 2001 (MM/YYYY) | 9952 |
| CARDHOLDER'S NAME (AS IT APPEARS ON THE CARD) | |
| CONTINUE RESET 9954 9956 | / |
| | |

FIG. 99

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| PAYMENT FORM | | | |
|--|--|--|--|
| ENTER NEW CREDIT CARD INFORMATION. REQUIRED FIELDS ARE INDICATED WITH A RED TRIANGLE[]. | | | |
| ACCOUNT SETTINGS | | | |
| *** PLEASE SUPPLY A VALID EXPIRATION DATE FOR YOUR CREDIT CARD.*** | | | |
| CARD TYPE O VISA O MASTER CARD @ AMERICAN EXPRESS | | | |
| CREDIT CARD NUMBER 3785462220210004 EXPIRATON DATE 01 2001 (MM/YYYY) | | | |
| CARDHOLDER'S NAME (AS IT APPEARS ON THE CARD) | | | |
| CONTINUE RESET | | | |
| | | | |

FIG. 100

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Agent

 : O9/990,402
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| <u> </u> | | |
|--|-------------|----------|
| | <u>HELP</u> | |
| BILLING ADDRESS | | |
| YOUR BILLING ADDRESS MUST MATCH THE ADDRESS WHERE YOUR CREDIT CARD STATEMENT IS SEN PLEASE ENTER A NEW BILLING ADDRESS BELOW OR SELECT ONE FROM [ADDRESS BOOK]. | √T. | |
| 9974 | | |
| ADDRESS | | 9972 |
| | | |
| CITY STATE OR PROVINCE PLEASE CHOOSE A STATE OR PROVI | NCE 🔽 | |
| ZIP OR POSTAL CODE | | |
| BILLING PHONE | 1 | <i> </i> |
| | | |
| CONTINUE RESET 9976 9978 | | |
| 9976 9978 | | |

FIG. 101

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HELP **BILLING ADDRESS** YOUR BILLING ADDRESS MUST MATCH THE ADDRESS WHERE YOUR CREDIT CARD STATEMENT IS SENT. PLEASE CHOOSE A BILLING ADRRESS BELOW OR ENTER A NEW ONE ⊚ 1212 BROADWAY 10TH, NEW YORK, NY US 10001 9982 34-35 ST APT 2G, ASTORIA NY US 11025 CONTINUE RESET

FIG. 102

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√ 9990

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HELP

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FILLING FEE = \$2750
JOHN SMITH AMEX,
*********1004, EXP DATE 1/2007

CHANGE CREDIT CARD

SUBMIT 9994

(PLEASE BE PATIENT WHILE WE PROCESS YOUR INFORMATION...)

FIG. 103

Applicants : William K. Slate II et al. Application No. : 09/990,402

Confirmation No.: 3669

: November 21, 2001 For : ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT : Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000 Sheet 109 of 216 Agent

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Docket No.: AAA-003

9995

TRANSACTION FAILED

PLEASE SEE THE ERROR MESSAGE, CLICK ON THE BROWSER BACK BUTTON AND MAKE THE APPROPRIATE CHANGES TO YOUR PAYMENT INFORMATION, PAY BY CHECK, OR TRY AGAIN IN 1 MINUTE.

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FIG. 104

Applicants : William K. Slate II et al. Application No. : 09/990,402 Docket No.: AAA-003 Confirmation No.: 3669

Filed

Agent

For

November 21, 2001
ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT
Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000
Sheet 110 of 216

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1570

| NORMANDY APPLICATION FILE EDIT CASEMANAGER ROSTER OF NEUTRALS SALES DESK NAME / ADDRESS SCHEDULING SYSTEM PRECASE MAINTENANCE OPERATIONS WINDOWS HELP NORMANDY APPLICATION PRE-CASE ID 381 CONFIRMATION# 022 DZO WE9 CASE ID 1576 1578 PRE-CASE ID AA235 COMMERCIAL RULES RULE ID AA235 RULE DATE 09/01/2000 PRE-CASE STATUS A ACTIVE PRE-CASE STATUS 09/25/2001 ADMIN REGION 11 BOSTON ADMIN REGION WEB ADMINISTRATOR WEBUSER11 ADMINISTRATOR ARBITRATION DELOCALE CITY DENVER LOCALE CITY DENVER LOCALE STATE CO COLORADO LOCALE STATE REVENUE REGION 11 BOSTON REVENUE REGION 10/09/2001 REVENUE REGION CONSUMER CASE REVENUE REGION 10/09/2001 | | |
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FIG. 105

Applicants : William K. Slate II et al.
Application No. : 09/990,402
Filed : November 21, 2001 Docket No.: AAA-003

Confirmation No.: 3669

: ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT : Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000 Sheet 111 of 2 For Agent Sheet 111 of 216

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1670

| NORMANDY ADDITION |
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| NORMANDY APPLICATION FILE EDIT CASEMANAGER ROSTER OF NEUTRALS SALES DESK NAME / ADDRESS SCHEDULING SYSTEM PRECASE |
| MAINTENANCE OPERATIONS WINDOWS HELP |
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| |
| NORMANDY APPLICATION |
| |
| PRE-CASE ID 381 CONFIRMATION# 022 DZO WE9 ? |
| CASE ID CLAUSE LOAD PRINT HELP |
| ∠ 1576 |
| PRE-CASE PARTIES CLAIMS |
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| PARTIES———————————————————————————————————— |
| NO CATEGORY CAPTION PRO SE SELF REP FILE BY |
| 1 CLAIMANT 🔽 07 BULDER |
| 2 RESPONDENT 🔽 05 OWNER |
| VIEW WEB CAPTION INSURANCE INFO |
| |
| PARTIES DETAILS ———————————————————————————————————— |
| LAST NAME MI FIRST NAME COMPANY IND ID ORG ID ADDR ID |
| SMITH JOHN LEWIS GREENWALD CLIFTON & 68702 24864 53309 |
| |
| |
| 1672 ADD PARTY DETAIL MODIFY PARTY DETAIL |
| REPRESENTATIVES 1674 |
| NEI REGENTATIVES |
| THE THE PARTY OF T |
| BAILEY A MICHAEL M. A. BAILEY & ASSOCIATES, I 8436 6644 15373 |
| |
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| 1676 ADD REPRESENTATIVE MODIFY REPRESENTATIVE |
| 1678 |
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FIG. 106

Applicants : William K. Slate II et al.
Application No.: 09/990,402
Filed : November 21, 2001 Docket No.: AAA-003 Confirmation No.: 3669

 : November 21, 2001
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 : Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000 For Agent Sheet 112 of 216

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| NORMANDY APPLICATION |)X |
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| CASE ID CLAUSE LOAD PRINT HELF | , |
| 1680 | |
| PRE-CASE PARTY DETAILS | |
| PARTIES PARTY NO 1 SMITH, JOHN | |
| NO DETAIL NO ☐ INCLUDE IN CAPTION ☑ RECEIVE BILL ☐ | |
| 1 CLAIM STATUS ACTIVE ☐ RECEIVE CORRESPONDENCE ☐ | |
| 2 RESP(LAST NAME SMITH MI LAST NAME JOHN | |
| | |
| PARTIES COMPANY LEWIS GREENWALD CLIFTON & NIKOLAIDIS, PC ADDRESS 218 WEST 40TH STREET | |
| LAST NAM | |
| SIVITH IND ID 00702 | |
| ORG ID 24004 | |
| PHONE 456 - 256 - 2563 ADDR ID 53309 | |
| FMAIL TALEREMADE ORG | |
| - REPRES | |
| LAST NAN CREATE NEW NAME & ADDRESS | |
| BAILEY | |
| LOOKUP NAME & ADDRESS CLEAR NAME & ADDRESS CLOSE | Ш |
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FIG. 107

Applicants : William K. Slate II et al. Application No. : 09/990,402

Agent

Confirmation No.: 3669

: November 21, 2001 Filed For

ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT
Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000 Sheet 113 of 2

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Docket No.: AAA-003

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| NORMANDY APPLICATION |
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| NORMANDY APPLICATION FILE EDIT CASEMANAGER ROSTER OF NEUTRALS SALES DESK NAME / ADDRESS SCHEDULING SYSTEM PRECASE |
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| NORMANDY APPLICATION |
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| CASE ID CLAUSE LOAD PRINT HELP |
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| PRE-CASE REPRESENTATIVE DETAILS |
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| 1 CLAIM STATUS ACTIVE RECEIVE BILL 1 |
| LAST NAME BAILEY MI A LAST NAME MICHAEL |
| COMPANY M. A. BAILEY & ASSOCIATES, INC |
| PARTIES ADDRESS 1770 INDIA HOOK ROAD |
| LAST NAM |
| |
| OKG ID <u>10044</u> |
| PHONE 80336 - 6-2278 ADDR ID 15373 FAX 80332 - 4-7659 |
| EMAIL L |
| REPRES |
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| LOCATIONAME & ADDRESS OF ADDRESS OF ADDRESS OF ADDRESS |
| LOOKUP NAME & ADDRESS CLEAR NAME & ADDRESS CLOSE |
| ADD REPRESENTATIVE MODIFY REPRESENTATIVE |
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FIG. 108

Applicants : William K. Slate II et al. Application No. : 09/990,402 Filed : November 21, 2001 Docket No.: AAA-003 Confirmation No.: 3669

ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT
Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000 Sheet 114 of 2 For Sheet 114 of 216 Agent

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| NODMANDY ADDITION | | |
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| 2 CLAIM SPECIFY AMOUNT ✓ 40000.00 | 750.00 | |
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FIG. 109

: William K. Slate II et al. Docket No.: AAA-003 Applicants

Application No.: 09/990,402

Filed : November 21, 2001 For

Agent

Confirmation No.: 3669

: ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT : Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000 Sheet 115 of 216

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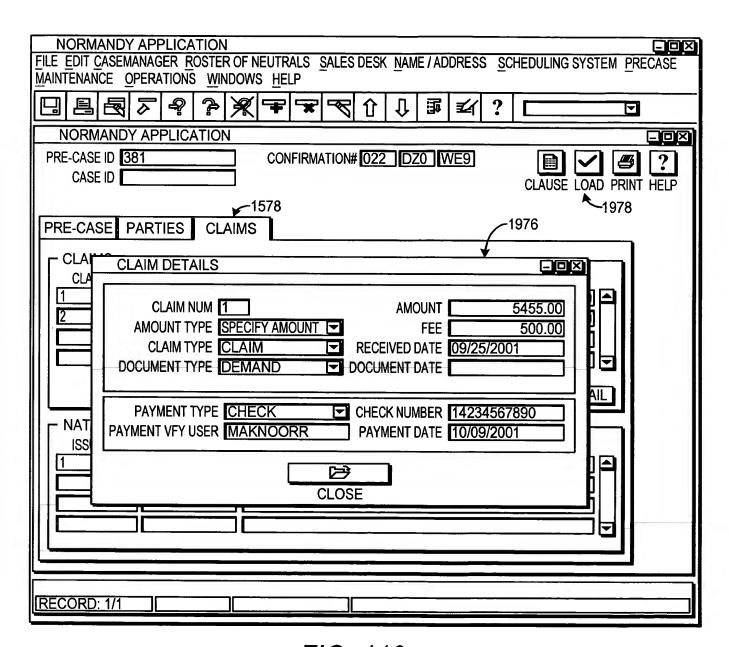


FIG. 110

Applicants : William K. Slate II et al.
Application No. : 09/990,402
Filed : November 21, 2001 Docket No.: AAA-003 Confirmation No.: 3669

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| MODMANDY APPLICATION | |
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| NORMANDY APPLICATION FILE EDIT CASEMANAGER ROSTER OF NEUTRALS SALES DESK NAME / ADDRESS SCHEDULING SYSTEM P | |
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| CASE WAS SUCESSFULLY LOADED TO NORMANDY CASE | |
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| CASE WAS SUCESSFULLY LOADED TO NORMANDY CASE NO: 11 115 Q 66 1 | |
| [RECORD: 1/1 LIST OF VALUES] | |

FIG. 111

Docket No.: AAA-003 Applicants : William K. Slate II et al. Confirmation No.: 3669

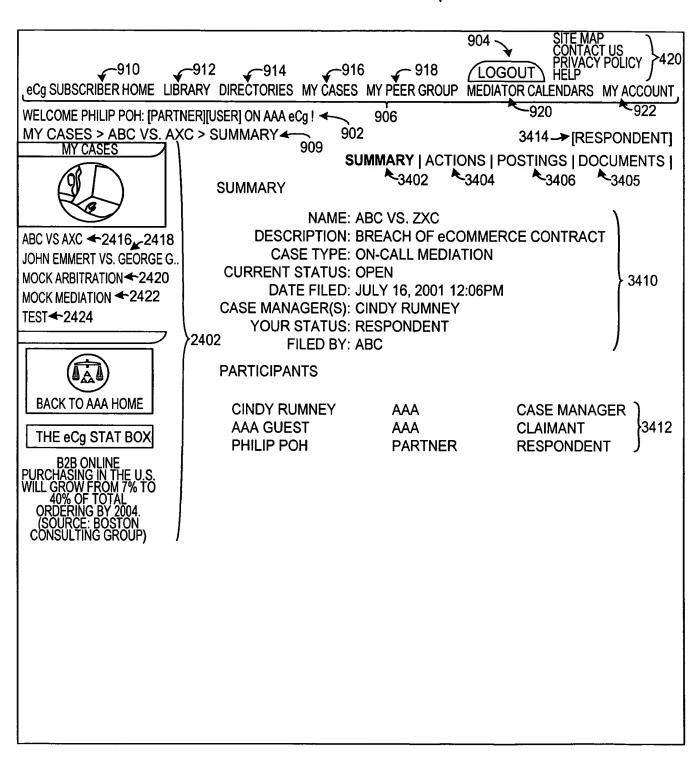
Application No.: 09/990,402

: November 21, 2001 Filed

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: William K. Slate II et al. Applicants

Docket No.: AAA-003 Confirmation No.: 3669

For

Agent

Application No.: 09/990,402

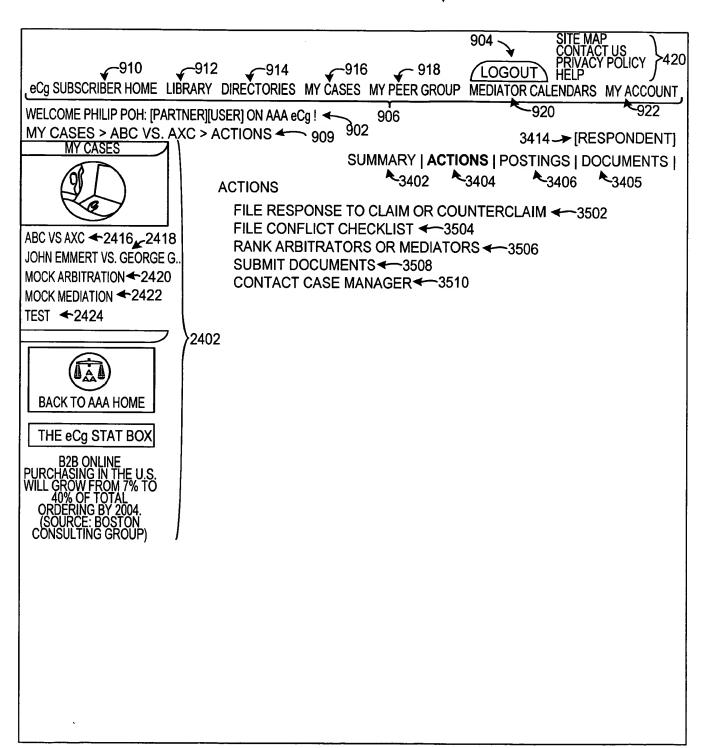
: November 21, 2001

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: ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT : Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000

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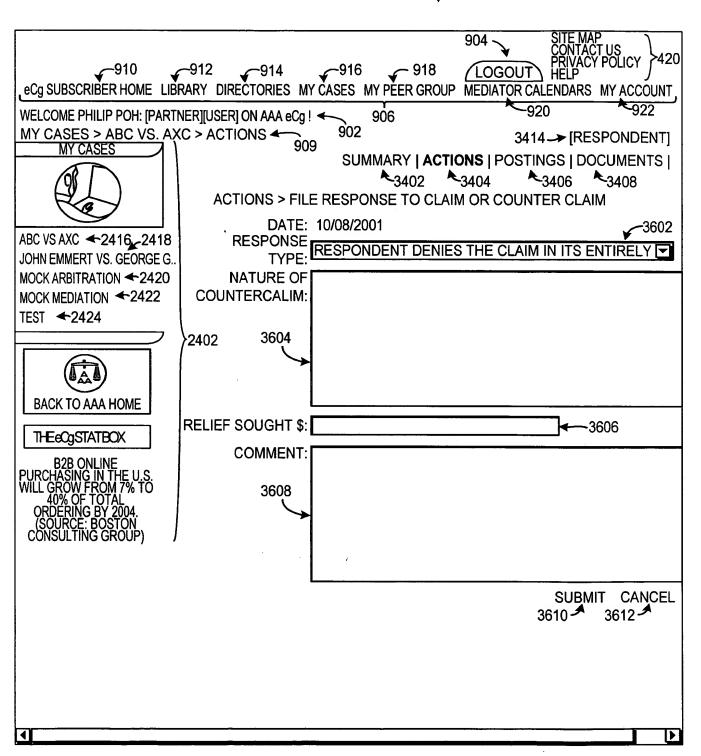


FIG. 114

Applicants : William K. Slate II et al. Application No. : 09/990,402 Docket No.: AAA-003 Confirmation No.: 3669

: November 21, 2001 Filed

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√ 3700

| | - | | 904 🔪 | SITE MAP CONTACT US |
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Applicants : William K. Slate II et al. Docket No.: AAA-003

Confirmation No.: 3669

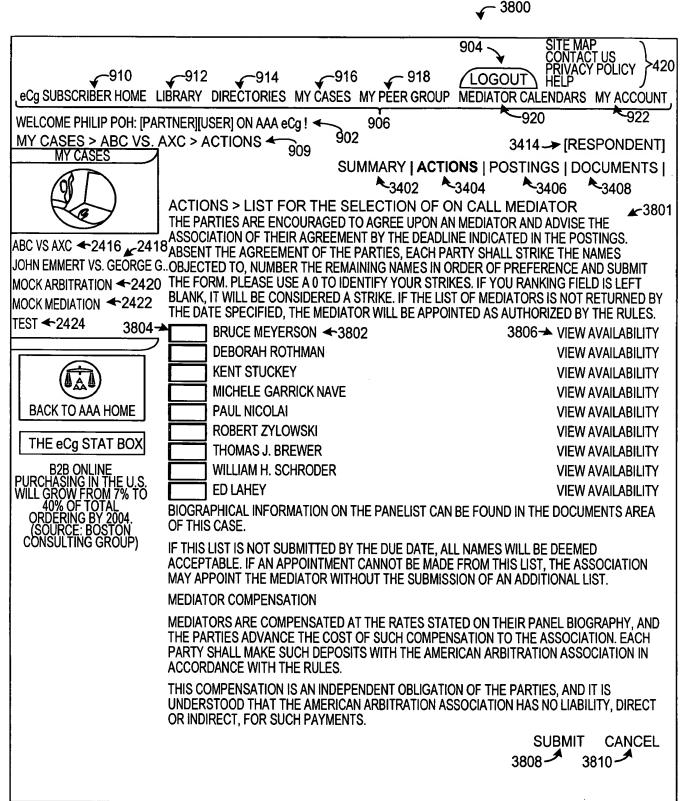
Application No.: 09/990,402

Filed: November 21, 2001

November 21, 2001

For : ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT
Agent : Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000 Sheet 121 of 216

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| | | SITE MAP CONTACT US PRIVACY POLICY HELP |
|--|---|--|
| eCg SUBSCRIBER HOME L | BRARY DIRECTORIES MY CASES MY PEER GROUP | MEDIATOR CALENDARS MY ACCOUNT |
| WELCOME PHILIP POH: [PAR | TNER][USER] ON AAA eCg ! | |
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| Kay | ACTIONS > LIST FOR THE SELECTION OF O THE PARTIES ARE ENCOURAGED TO AGREE UPON AN | |
| | ASSOCIATION OF THEIR AGREEMENT BY THE DEADLI | |
| ABC VS AXC | ABSENT THE AGREEMENT OF THE PARTIES, EACH PA | RTY SHALL STRIKE THE NAMES |
| | OBJECTED TO, NUMBER THE REMAINING NAMES IN O | RDER OF PREFERENCE AND SUBMIT |
| MOCK ARBITRATION | THE FORM. PLEASE USE A 0 TO IDENTIFY YOUR STRIKE | |
| MOCK MEDIATION | BLANK, IT WILL BE CONSIDERED A STRIKE. IF THE LIST THE DATE SPECIFIED, THE MEDIATOR WILL BE APPOIL | NTED AS AUTHORIZED BY THE RULES |
| TEST | O BRUCE MEYERSON | VIEW AVAILABILITY |
| | O DEBORAH ROTHMAN | VIEW AVAILABILITY |
| | 1 KENT STUCKEY | VIEW AVAILABILITY |
| (1 <u>à</u> 1) | | VIEW AVAILABILITY |
| BACK TO AAA HOME | | |
| DACK TO AAA HOME | | VIEW AVAILABILITY |
| THE eCg STAT BOX | 7 ROBERT ZYLOWSKI | VIEW AVAILABILITY |
| <u> </u> | 6 THOMAS J. BREWER | VIEW AVAILABILITY |
| B2B ONLINE PURCHASING IN THE U.S. | 5 WILLIAM H. SCHRODER | VIEW AVAILABILITY |
| WILL GROW FROM 7% TO | 4 ED LAHEY | VIEW AVAILABILITY |
| 40% OF TOTAL ORDERING BY 2004. (SOURCE: BOSTON | BIOGRAPHICAL INFORMATION ON THE PANELIST CAN OF THIS CASE. | BE FOUND IN THE DOCUMENTS AREA |
| CŎŇŚŰĹŤĬŇĠ ĠŔŎŰÞ) | IF THIS LIST IS NOT SUBMITTED BY THE DUE DATE, AL ACCEPTABLE. IF AN APPOINTMENT CANNOT BE MADE MAY APPOINT THE MEDIATOR WITHOUT THE SUBMISS | FROM THIS LIST, THE ASSOCIATION |
| | MEDIATOR COMPENSATION | |

MEDIATORS ARE COMPENSATED AT THE RATES STATED ON THEIR PANEL BIOGRAPHY, AND THE PARTIES ADVANCE THE COST OF SUCH COMPENSATION TO THE ASSOCIATION. EACH PARTY SHALL MAKE SUCH DEPOSITS WITH THE AMERICAN ARBITRATION ASSOCIATION IN ACCORDANCE WITH THE RULES.

THIS COMPENSATION IS AN INDEPENDENT OBLIGATION OF THE PARTIES, AND IT IS UNDERSTOOD THAT THE AMERICAN ARBITRATION ASSOCIATION HAS NO LIABILITY, DIRECT OR INDIRECT, FOR SUCH PAYMENTS.

> **SUBMIT** CANCEL

For Agent

Application No.: 09/990,402

: William K. Slate II et al.

Confirmation No.: 3669

: November 21, 2001 Filed

: ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT : Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000

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SITE MAP CONTACT US PRIVACY POLICY LOGOUT

eCg SUBSCRIBER HOME LIBRARY DIRECTORIES MY CASES MY PEER GROUPS MEDIATOR CALENDARS MY ACCOUNT WELCOME PHILIP POH: [PARTNER][USER] ON AAA eCg!

MY CASES > ABC VS. ZXC > ACTIONS

[RESPONDENT]

MY CASES

SUMMARY | ACTIONS | POSTINGS | DOCUMENTS |

ACTIONS > LIST FOR THE SELECTION OF ON CALL MEDIATOR LIST FOR THE SELECTION OF ON CALL MEDIATOR SUBMITTED

4002

ABC VS. ZXC

JOHN EMMERT VS. GEORGE G.,

MOCK ARBITRATION

MOCK MEDIATION

TEST



BACK TO AAA HOME

THE eCg STAT BOX

B2B ONLINE PURCHASING IN THE U.S. WILL GROW FROM 7% TO 40% OF TOTAL ORDERING BY 2004. (SOURCE: BOSTON **CONSULTING GROUP)**

: William K. Slate II et al. Docket No.: AAA-003 Applicants Confirmation No.: 3669

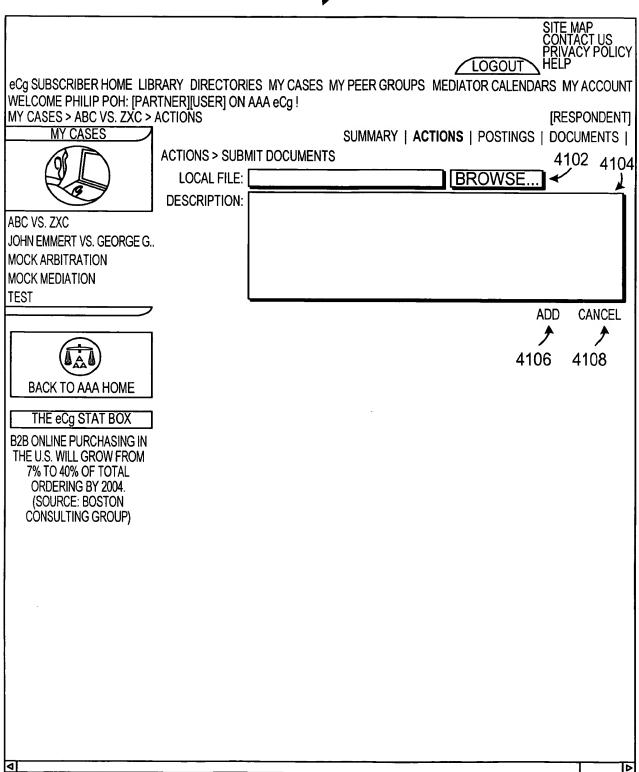
Application No.: 09/990,402

Agent

: November 21, 2001 Filed For

ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT : Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000 Sheet 124 of 216

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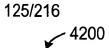
Applicants : William K. Slate II et al. Docket No.: AAA-003 Confirmation No.: 3669

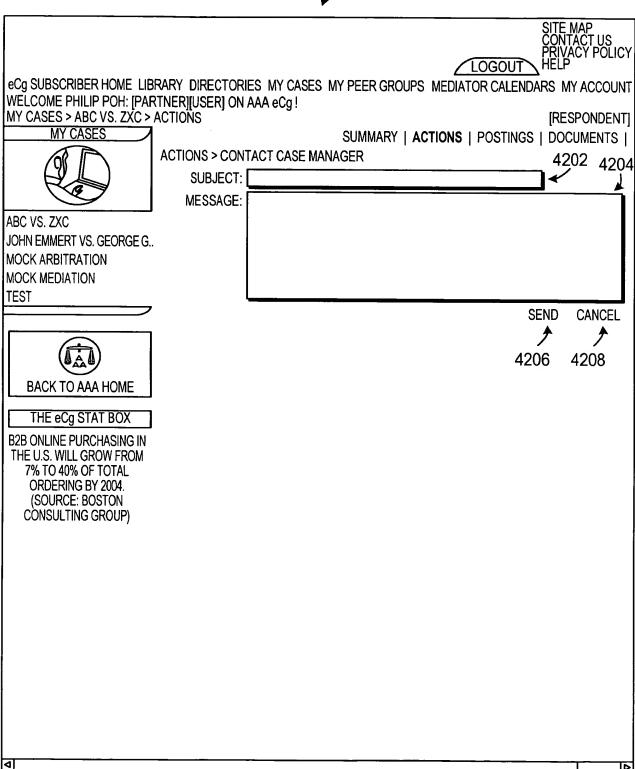
Application No.: 09/990,402

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Applicants : William K. Slate II et al. Docket No.: AAA-003 Confirmation No.: 3669

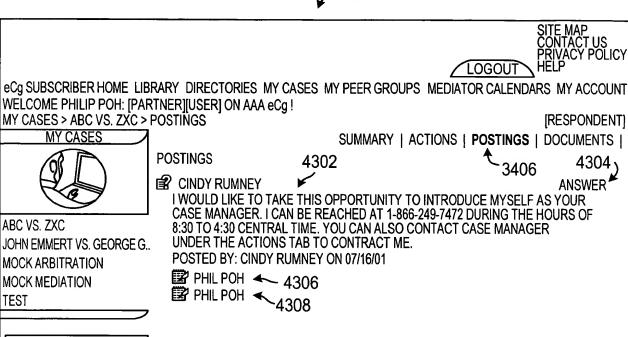
Application No.: 09/990,402

: November 21, 2001 Filed For

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Applicants : William K. Slate II et al. Application No. : 09/990,402 Filed : November 21, 2001 Docket No.: AAA-003

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: ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT For : Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000 Sheet 127 of 216 Agent

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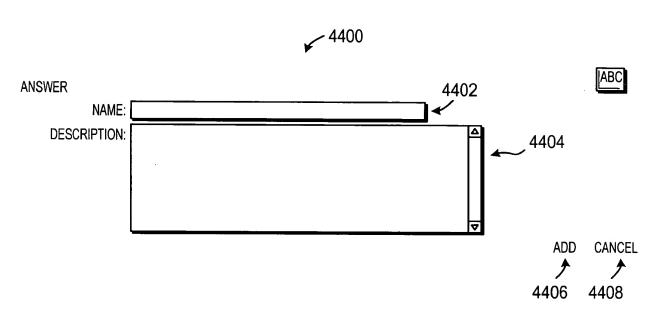


FIG. 122

(SOURCE: BOSTON CONSULTING GROUP)

For

Agent

Application No.: 09/990,402

: William K. Slate II et al.

Confirmation No.: 3669

: November 21, 2001

: ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT

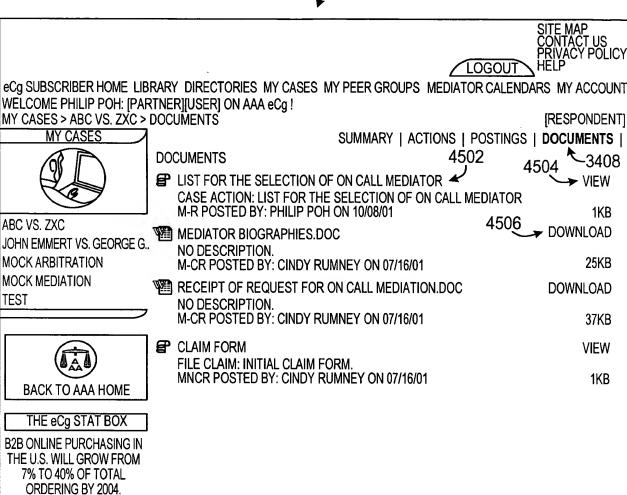
: Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000

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For : Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000 Agent

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FORM DISPLAY > LIST FOR THE SELECTION OF ON CALL MEDIATOR

POSTED BY: PHILIP POH [RESPONDENT] ON 10/08/01

THE PARTIES ARE ENCOURAGED TO AGREE UPON AN MEDIATOR AND ADVISE THE ASSOCIATION OF THEIR AGREEMENT BY THE DEADLINE INDICATED IN THE POSTINGS. ABSENT THE AGREEMENT OF THE PARTIES, EACH PARTY SHALL STRIKE THE NAMES OBJECTED TO, NUMBER THE REMAINING NAMES IN ORDER OF PREFERENCE AND SUBMIT THE FORM. PLEASE USE A 0 TO IDENTIFY YOUR STRIKES. IF THE RANKING FIELD IS LEFT BLANK, IT WILL BE CONSIDERED A STRIKE. IF THE LIST OF MEDIATORS IS NOT RETURNED BY THE DATE SPECIFIED. THE MEDIATOR WILL BE APPOINTED AS AUTHORIZED BY THE RULES.

| BRUCE MEYERSON | 0 |
|----------------------|---|
| DEBORAH ROTHMAN | 0 |
| KENT STUCKEY | 1 |
| MICHELE GARRICK NAVE | 2 |
| PAUL NICOLAI | 3 |
| ED LAHEY | 4 |
| WILLIAM H. SCHRODER | 5 |
| THOMAS J. BREWER | 6 |
| ROBERT ZYLOWSKI | 7 |
| | |

BIOGRAPHICAL INFORMATION ON THE PANELIST CAN BE FOUND IN THE DOCUMENTS AREA OF THIS CASE.

IF THIS LIST IS NOT SUBMITTED BY THE DUE DATE, ALL NAMES WILL BE DEEMED ACCEPTABLE. IF AN APPOINTMENT CANNOT BE MADE FROM THIS LIST, THE ASSOCIATION MAY APPOINT THE MEDIATOR WITHOUT THE SUBMISSION OF AN ADDITIONAL LIST.

MEDIATOR COMPENSATION

MEDIATORS ARE COMPENSATED AT THE RATES STATED ON THEIR PANEL BIOGRAPHY, AND THE PARTIES ADVANCE THE COST OF SUCH COMPENSATION TO THE ASSOCIATION. EACH PARTY SHALL MAKE SUCH DEPOSITS WITH THE AMERICAN ARBITRATION ASSOCIATION IN ACCORDANCE WITH THE RULES.

THIS COMPENSATION IS AN INDEPENDENT OBLIGATION OF THE PARTIES, AND IT IS UNDERSTOOD THAT THE AMERICAN ARBITRATION ASSOCIATION HAS NO LIABILITY, DIRECT OR INDIRECT, FOR SUCH PAYMENTS.

CLOSE

FIG. 124

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PAUL PETER NICOLAI, ESQ. SPRINGFIELD, MA

CURRENT EMPLOYER/TITLE

NICOLAI LAW GROUP, P.C. - PRESIDENT

PROFESSION

ATTORNEY

WORK HISTORY

PRESIDENT, NICOLAI LAW GROUP, P.C., 1990-PRESENT; PRINCIPAL,

NICOLAI LAW OFFICES, 1988-90; COMPANY COUNSEL/STAFF

COUNSEL/ASSISTANT CLERK, FRIENDLY ICE CREAM CORPORATION, 1976-88.

EXPERIENCE

LAWYER REPRESENTING PUBLIC AND PRIVATE COMPANIES AND DIVISIONS OF INTERNATIONAL COMPANIES. EXPERIENCE INCLUDES IN-HOUSE GENERAL COUNSEL OF A MULTISTATE RESTAURANT COMPANY WORKING WITH THE LEGAL DEPARTMENT OF A FORTUNE 300 FOOD MANUFACTURER. ACTIVELY WORKS IN ACQUISITIONS COMPLEX CONTRACTS; INTELLECTUAL PROPERTY TRANSACTIONS, DEVELOPMENT, PROTECTION, AND DOCUMENTATION; TRADEMARK, COPYRIGHT, AND TRADE SECRETS; ENTITY STRUCTURING; SHAREHOLDER AND PARTNER RELATIONS AND DISPUTES; PRIVATE PLACEMENTS; VENTURE CAPITAL; COMMERCIAL REAL ESTATE; COMMERCIAL BORROWING; EMPLOYMENT; INTERNATIONAL CONTRACTING;

INTERNET AND INFORMATION PROCESSING AND EXCHANGE; ARBITRATION: MEDIATION; CIVIL LITIGATION; ANTITRUST COMPLIANCE: GOVERNMENT CONTRACTING: MARINE SERVICES: SECURITIES: UNFAIR TRADE PRACTICES:

MERGERS AND DIVESTITURES; CONSTRUCTION LAW; AND PROFESSIONAL SERVICES. INCLUDING LEGAL, ACCOUNTING, CREDIT AND CREDIT REPORTING, INSURANCE, AND

MEDICAL. CLIENTS ENGAGED IN HEAVY MACHINERY; SOFTWARE AND HIGH TECHNOLOGY DEVELOPMENT INCLUDING MARINE, BROADCASTING, INTERNET, TELECOMMUNICATIONS, DATA WAREHOUSING, AND TAX ASSESSMENT AND COLLECTION; INTELLECTUAL PROPERTY BASED COMPANIES INCLUDING GAME DEVELOPERS, CREDIT REPORTING AND DATABASE COMPANIES, AND ENGINEERING

CONSULTANTS; GENERAL CONTRACT CONSTRUCTION COMPANIES; AIRCRAFT

MAINTENANCE ACCOUNTING FIRMS; MEDICAL PROFESSIONALS; FOOD MANUFACTURERS; RESTAURANTS; INSURANCE AGENCIES; PROPERTY

MANAGEMENT; REAL ESTATE AND SECURITIES BROKERAGES; FINANCIAL ADVISORS: REAL ESTATE DEVELOPERS; FRANCHISORS; UTILITIES SERVICE COMPANIES; AND

OTHERS.

ALTERNATIVE DISPUTE RESOLUTION EXPERIENCE

MEMBER OF AAA COMMERCIAL REGIONAL PANEL SINCE 1990.

MEMBER OF MASSACHUSETTS BAR ASSOCIATION FEE ARBITRATION PANEL

SINCE 1996. MEMBER OF HAMPDEN COUNTY BAR ASSOCIATION

ARBITRATOR PANEL SINCE 1997. LITIGATED CASES BEFORE ARBITRATION

PANELS FROM NASD, AAA, AND PRIVATE PANELS OR ARBITRATORS.

CONDUCTED HUNDREDS OF MEDICATIONS AND ARBITRATIONS AS A MEMBER OF

FIG. 125

: William K. Slate II et al. Applicants

Application No.: 09/990,402

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For

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: ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT : Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000

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THIS WILL ACKNOWLEDGE RECEIPT OF A REQUEST FOR AN ON CALL MEDIATION, OF A CONTROVERSY ARISING OUT OF A CONTRACT BETWEEN THE ABOVE-CAPTIONED PARTIES, CONTAINING A CLAUSE PROVIDING FOR ADMINISTRATION BY THIS ASSOCIATION. A COPY OF OUR COMMERCIAL DISPUTE RESOLUTION PROCEDURES, AS AMENDED AND IN EFFECT SEPTEMBER 1, 2000, MAY BE FOUND IN THE LIBRARY.

ABSENT A RESPONSE WITHIN 24 HOURS OF THIS POSTING. WE ASSUME THAT THE PARTIES ARE IN AGREEMENT TO PROCEED WITH ADMINISTRATION UNDER THE AAA'S MODEL PROCEDURES FOR ON CALL MEDICATION. A COPY OF THESE PROCEDURES CAN ALSO BE FOUND IN THE LIBRARY.

PLEASE REVIEW THE RANK ARBITRATORS OR MEDIATORS FORM UNDER THE ACTIONS TAB AND FOLLOW THE DIRECTIONS ON THE FORM. NEUTRAL BIOGRAPHIES CAN BE FOUND UNDER THE DOCUMENTS TAB. WE ENCOURAGE THE PARTIES TO AGREE TO A MEDIATOR AND ADVISE THE ASSOCIATION OF YOUR SELECTION WITHIN 24 HOURS OF THIS POSTING. IF THE PARTIES ARE UNABLE TO AGREE UPON A MEDIATOR, THE AAA SHALL APPOINT ONE.

WE DIRECT THE PARTIES' ATTENTION TO THE MEDIATOR CALENDARS MENU ITEM ON THE TOOLBAR. THE AVAILABILITY OF EACH MEDIATOR CAN BE REVIEWED TO ASSIST THE PARTIES IN SELECTING A MEDIATOR. AND SCHEDULING A MEDIATION SESSION BY CONFERENCE CALL.

PLEASE UTILIZE CONTACT CASE MANAGER FORM UNDER THE ACTIONS TAB TO COMMUNICATE REGARDING MEDIATOR SELECTION AND SCHEDULING. THE CASE MANAGER IS AVAILABLE TO ASSIST THE PARTIES THROUGHOUT THIS PROCESS.

WE ASK BOTH PARTIES TO COMPLETE THE CONFLICTS CHECKLIST FORM WITHIN 24 HOURS. THE FORM CAN ALSO BE FOUND UNDER THE ACTIONS TAB. PLEASE LIST THOSE WITNESSES YOU EXPECT TO PRESENT, AS WELL AS ANY PERSONS OR ENTITIES WITH AN INTEREST IN THESE PROCEEDINGS. THIS FORM WILL HAVE RESTRICTED VIEWING AND WILL ONLY BE ACCESSIBL BY THE SUBMITTING PARTY, THE MEDIATOR, AND THE CASE MANAGER.

SINCERELY. CASE MANAGER

AAA eCOMMERCE GROUP [WWW.ADR.ORG]

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FORM DISPLAY > CLAIM FORM

DOES YOUR AGREEMENT HAVE A CLAUSE PROVIDING FOR ADMINISTRATION BY THE AMERICAN ARBITRATION ASSOCIATION?

(PLEASE NOTE THAT IF THERE IS NO AGREEMENT TO USE AMERICAN ARBITRATION ASSOCIATION SERVICES, WE WILL HAVE NO AUTHORITY TO PROCEED WITH THIS MATER UNLESS THE PARTIES AGREE TO SUBMIT THIS CASE TO THE AAA)

☐ IF NOT, CHECK HERE IF ALL PARTIES AGREE TO SUBMIT THIS CASE TO AAA

DOES YOUR AGREEMENT STIPULATE THE USE OF THE AMERICAN ARBITRATION ASSOCIATION SUPPLEMENTARY PROCEDURES FOR ONLINE ARBITRATION? CLICK HERE TO REVIEW THESE PROCEDURES.

YES (PLEASE NOTE THAT IF THERE IS NO AGREEMENT TO USE AMERICAN ARBITRATION ASSOCIATION SUPPLEMENTARY PROCEDURES FOR ONLINE ARBITRATION, WE WILL NOT BE ABLE TO PROCEED WITH THIS CLAIM USING AN ONLINE PROCESS UNLESS THE PARTIES AGREE TO USE THE AAA SUPPLEMENTARY PROCEDURES FOR ONLINE ARBITRATION)

☐ IF NOT, CHECK HERE IF ALL PARTIES AGREE TO USE THE AMERICAN ARBITRATION ASSOCIATION SUPPLEMENTARY PROCEDURES FOR ONLINE ARBITRATION

*PLEASE NOTE THAT BY COMPLETING THIS FORM AND SUBMITTING THE CLAIM, YOU HAVE AUTHORIZED THE AMERICAN ARBITRATION ASSOCIATION TO BEGIN PROCEEDINGS.

RESOLUTION PROCESS: ON-CALL MEDIATION

FILING DATE: 07/16/01

CLAIMANT (FILING PARTY)

COMPANY: ABC

REPRESENTATIVE:

FIRM NAME: ABC

ADDRESS: 5 ABC ST.

CITY/SATE/ZIP/COUNTRY: NY NY 10019 USA

PHONE: 212-716-5800 FAX: 212-716-5910 E-MAIL: ABC@ABC.COM

RESPONDENT 1

COMPANY: ZXC

REPRESENTATIVE:

FIRM NAME: ZXC

ADDRESS: ZXC ST.

CITY/STATE/ZIP/COUNTRY: NY NY 10019 USA

PHONE: 2122321000 FAX: 2122321001

EMAIL: ZXC@ZXC.COM

OTHER

CONTRACT/TRANSACTION ID: 0000

NATURE OF DISPUTE: BREACH OF ECOMMERCE CONTRACT RELIEF SOUGHT: DAMAGES IN THE AMOUNT OF \$2000

FIG. 127

Agent

: William K. Slate II et al.

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: ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT Sheet 133 of 216 : Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000

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Docket No.: AAA-003

eCg SUBSCRIBER HOME LIBRARY DIRECTORIES MY CASES MY PEER GROUPS MEDIATOR CALENDARS MY ACCOUNT WELCOME PHILIP POH: [PARTNER][USER] ON AAA eCg!

MY CASES > JOHN EMMERT VS. GËORGE GEORGE > SUMMARY

[CLAIMANT]

SITE MAP CONTACT US PRIVACY POLICY

MY CASES

SUMMARY

NAME: JOHN EMMERT VS. GEORGE GEORGE

DESCRIPTION: CONSULTANT DISPUTE ON QUALITY OF WORK

CASE TYPE: DOCUMENTS-ONLY ARBITRATION

CURRENT STATUS: OPEN

DATE FILED: JUNE 14, 2001 12:32PM

CASE MANAGER(S): CINDY RUMNEY YOUR STATUS: CLAIMANT FILED BY: JOHN EMMERT

TEST

ABC VS. ZXC

PARTICIPANTS

CINDY RUMNEY PHILIP POH **AAA GUEST**

AAA PARTNER AAA

CASE MANAGER CLAIMANT RESPONDENT

BACK TO AAA HOME

JOHN EMMERT VS. GEORGE G.,

MOCK ARBITRATION

MOCK MEDIATION

THE eCg STAT BOX

B2B ONLINE PURCHASING IN THE U.S. WILL GROW FROM 7% TO 40% OF TOTAL ORDERING BY 2004. (SOURCE: BOSTON CONSULTING GROUP)

For Agent

: William K. Slate II et al.

Application No.: 09/990,402 Confirmation No.: 3669 : November 21, 2001

: ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT : Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000

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MY CASES > JOHN EMMERT VS. GEORGE GEORGE > ACTIONS

ACTIONS

FILE CONFLICT CHECKLIST

CONTACT CASE MANAGER

SUBMIT DOCUMENTS

RANK ARBITRATORS OR MEDIATORS

[CLAIMANT]

SITE MAP CONTACT US PRIVACY POLICY HELP

SUMMARY | ACTIONS | POSTINGS | DOCUMENTS |

MY CASES

ABC VS. ZXC

JOHN EMMERT VS. GEORGE G..

MOCK ARBITRATION MOCK MEDIATION

TEST

BACK TO AAA HOME

THE eCg STAT BOX

B2B ONLINE PURCHASING IN THE U.S. WILL GROW FROM 7% TO 40% OF TOTAL ORDERING BY 2004. (SOURCE: BOSTON **CONSULTING GROUP)**

For

Agent

: William K. Slate II et al.

Confirmation No.: 3669

Application No.: 09/990,402 Filed

: November 21, 2001

: ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT : Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000

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SUMMARY | ACTIONS | POSTINGS | DOCUMENTS |

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MY CASES > JOHN EMMERT VS. GEORGE GEORGE > POSTINGS

[CLAIMANT]

MY CASES



ABC VS. ZXC

JOHN EMMERT VS. GEORGE G.. MOCK ARBITRATION

MOCK MEDIATION

TEST



BACK TO AAA HOME

THE eCg STAT BOX

B2B ONLINE PURCHASING IN THE U.S. WILL GROW FROM 7% TO 40% OF TOTAL ORDERING BY 2004. (SOURCE: BOSTON CONSULTING GROUP)

POSTINGS

CINDY RUMNEY ANSWER I WOULD LIKE TO TAKE THIS OPPORTUNITY TO INTRODUCE MYSELF AS YOUR CASE MANAGER. I CAN BE REACHED AT 1-866-249-7472 DURING THE HOURS OF 8:30 TO 4:30 CENTRAL TIME. YOU CAN ALSO CONTACT CASE MANAGER UNDER THE ACTIONS TAB TO CONTACT ME. POSTED BY: CINDY RUMNEY ON 06/14/01

CINDY RUMNEY

ANSWER

THIS WILL CONFIRM THAT WE DID NOT RECEIVE A RESPONSE FROM THE RESPONDENT. PLEASE REVIEW THE RANK ARBITRATORS OR MEDIATORS FORM UNDER THE ACTIONS TAB AND FOLLOW THE DIRECTIONS ON THE FORM. NEUTRAL BIOGRAPHIES CAN BE FOUND UNDER THE DOCUMENTS TAB. THE PARTIES SHALL SUBMIT THEIR PREFERENCES WITHIN SEVEN (7) DAYS OF THIS POSTING. POSTED BY: CINDY RUMNEY ON 08/06/01

CINDY RUMNEY

ANSWER

IN ACCORDANCE WITH SECTION 20 OF THE PROCEDURES, THE PARTIES SHALL SUBMIT THEIR INITIAL BRIEFS WITHIN SEVEN (7) DAYS OF THIS POSTING. SAID BRIEFS MAY NOT EXCEED SIX THOUSAND WORDS, EXCLUSIVE OF ANY EXHIBITS.

POSTED BY: CINDY RUMNEY ON 08/07/01

: William K. Slate II et al.

Docket No.: AAA-003

Filed

For

Agent

Application No.: 09/990,402

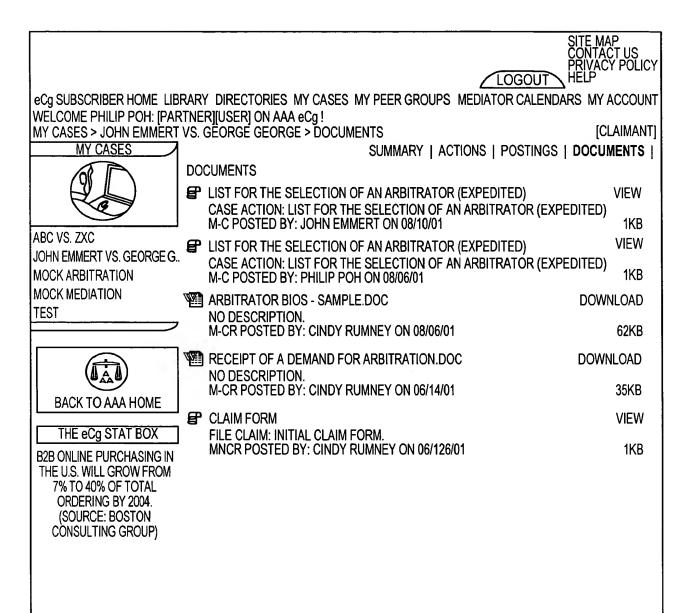
: November 21, 2001

: ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT

: Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000

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Docket No.: AAA-003 **Applicants** : William K. Slate II et al. Confirmation No.: 3669

Application No.: 09/990,402

November 21, 2001

ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT For Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000 Agent

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ORDER OF PREFERENCE AND SUBMIT THE FORM. PLEASE USE A 0 TO IDENTIFY YOUR STRIKES. IF THE RANKING FIELD IS LEFT BLANK, IT WILL BE CONSIDERED POSTINGS. ABSENT THE AGREEMENT OF THE PARTIES, EACH PARTY SHALL STRIKE UP TO TWO NAMES OBJECTED TO, NUMBER THE REMAINING NAMES IN A STRIKE. IF THE LIST OF ARBITRATORS IS NOT RETURNED BY THE DATE SPECIFIED, THE ARBITRATOR WILL BE APPOINTED AS AUTHORIZED BY THE RULES

THE PARTIES ARE ENCOURAGED TO AGREE UPON AN ARBITRATOR AND ADVISE THE ASSOCIATION OF THEIR AGREEMENT BY THE DEADLINE INDICATED IN THE

FORM DISPLAY > LIST FOR THE SELECTION OF AN ARBITRATOR (EXPEDITED

POSTED BY: PHILIP POH ICLAIMANTI ON 08/06/01

MICHELE GARRICK NAVE, ESQ

PAUL PETER NICOLAI, ESQ.

RICHARD K. JEYDEL, ESQ EDWARD V. LAHEY, ESQ.

THOMAS J. BREWER, ESQ

BIOGRAPHICAL INFORMATION ON THE PANELIST CAN BE FOUND IN THE DOCUMENTS AREA OF THIS CASE

IF THIS LIST IS NOT SUBMITTED BY THE DUE DATE, ALL NAMES WILL BE DEEMED ACCEPTABLE. IF AN APPOINTMENT CANNOT BE MADE FROM THIS LIST, THE ASSOCIATION MAY APPOINT THE ARBITRATOR WITHOUT THE SUBMISSION OF AN ADDITIONAL LIST

ARBITRATOR COMPENSATION

ARBITRATOR ARE COMPENSATED AT THE RATES STATED ON THEIR PANEL BIOGRAPHY, AND THE PARTIES ADVANCE THE COST OF SUCH COMPENSATION TO THE ASSOCIATION SUBJECT TO FINAL APPORTIONMENT IN THE AWARD. EACH PARTY SHALL MAKE SUCH DEPOSITS WITH THE AMERICAN ARBITRATION ASSOCIATION IN ACCORDANCE WITH THE RULES

THIS COMPENSATION IS AN INDEPENDENT OBLIGATION OF THE PARTIES, AND IT IS UNDERSTOOD THAT THE AMERICAN ARBITRATION ASSOCIATION HAS NO LIABILITY, DIRECT OR INDIRECT, FOR SUCH PAYMENTS CLOSE

: William K. Slate II et al. Docket No.: AAA-003 Applicants

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: ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT For

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RICHARD K. JEYDEL, ESQ. MICHELE GARRICK NAVE, ESQ. THOMAS J. BREWER, ESQ. EDWARD V. LAHEY, JR., ESQ. PAUL PETER NICOLAI, ESQ.

RICHARD K. JEYDEL, ESQ. NEW YORK, NY

CURRENT EMPLOYER/TITLE KANEMATSU USA INC. - SENIOR VICE PRESIDENT, SECRETARY, GENERAL

COUNSEL

PROFESSION

ATTOMEY

WORK HISTORY SENIOR VICE PRESIDENT, SECRETARY AND GENERAL COUNSEL,

> KANEMATSU USA INC., 1991-PRESENT; VICE PRESIDENT/GENERAL COUNSEL/CORPORATE COUNSEL, KANEMATSU-GOSHO USA, INC., 1976-91; ASSOCIATE, McCARTER & ENGLISH, 1976-1979; CAPTAIN, JUDGE

ADVOCATE GENERAL CORPS, U.S. ARMY, 1975-76.

eCOMMERCE EXPERIENCE REPRESENTS THE U.S. SUBSIDIARY OF A MAJOR JAPANESE TRADING

COMPANY WITH A FOCUS ON SEMICONDUCTOR, SOFTWARE AND OTHER HIGH-

TECH PRODUCTS. AS A MEMBER OF THE AAA COMMERCIAL AND

INTERNATIONAL ARBITRATOR AND MEDIATOR PANELS, HANDLED A SIGNIFICANT

NUMBER OF CASES IN THESE AREAS, SOME OF WHICH INVOLVED

ECOMMERCE ISSUES. EXPERIENCE WITH CLIENTS IN THEIR B2B FORAYS.

OTHER EXPERIENCE PRACTICE FOCUSES ON CORPORATE, INTERNATIONAL, AND LITIGATION LAW.

> REPRESENTS A MAJOR JAPANESE TRADING COMPANY WITH BUSINESS IN NEARLY EVERY FIELD THROUGHOUT THE WORLD. WORK IS SPLIT BETWEEN DRAFTING AND NEGOTIATING CONTRACTS (DISTRIBUTION, JOINT VENTURES, INVESTMENT, AND CONSTRUCTION) AND LITIGATION/ARBITRATION/MEDIATION.

ALTERNATIVE DISPUTE ATTENDED AAA MEDIATOR CONFERENCE, NEW ORLEANS, 3/01; AAA

: William K. Slate II et al. Applicants

Application No.: 09/990,402 Filed

For

Agent

Confirmation No.: 3669

: November 21, 2001

: ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT : Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000 Sheet 139 of 216

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Docket No.: AAA-003

THIS WILL ACKNOWLEDGE RECEIPT OF A DEMAND FOR ARBITRATION, OF A CONTROVERSY ARISING OUT OF A CONTRACT BETWEEN THE ABOVE-CAPTIONED PARTIES, CONTAINING A CLAUSE PROVIDING FOR ADMINISTRATION BY THIS ASSOCIATION. A COPY OF OUR COMMERCIAL DISPUTE RESOLUTION PROCEDURES, AS AMENDED AND IN EFFECT SEPTEMBER 1, 2000, MAY BE FOUND IN THE LIBRARY.

ABSENT A RESPONSE WITHIN SEVEN (7) DAYS OF THIS POSTING, WE ASSUME THAT THE PARTIES ARE IN AGREEMENT TO PROCEED WITH ADMINISTRATION UNDER THE AAA'S MODEL PROCEDURES FOR DOCUMENTS ONLY ARBITRATION. A COPY OF THESE PROCEDURES CAN ALSO BE FOUND IN THE LIBRARY.

RESPONDENT'S ATTENTION IS DIRECTED TO SECTION 4 OF THE PROCEDURES. IF RESPONDENT DOES NOT ANSWER WITHIN SEVEN (7) DAYS, WE WILL ASSUME THAT THE CLAIM IS DENIED. IF RESPONDENT WISHES TO ANSWER AND/OR COUNTERCLAIM, COMPLETE THE APPROPRIATE FORM UNDER THE ACTIONS TAB.

WE ASK BOTH PARTIES TO COMPLETE THE CONFLICTS CHECKLIST FORM WITHIN SEVEN (7) DAYS. THE FORM CAN BE FOUND UNDER THE ACTIONS TAB. PLEASE LIST THOSE WITNESSES YOU EXPECT TO PRESENT, AS WELL AS ANY PERSONS OR ENTITIES WITH AN INTEREST IN THESE PROCEEDINGS. THIS FORM WILL HAVE RESTRICTED VIEWING AND WILL ONLY BE ACCESSIBLE BY THE SUBMITTING PARTY, THE ARBITRATOR, AND THE CASE MANAGER.

SINCERELY. CASE MANAGER Applicants : William K. Slate II et al. Docket No.: AAA-003 Confirmation No.: 3669

Application No.: 09/990,402

: November 21, 2001 Filed

: ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT For : Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000 Agent

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FORM DISPLAY > CLAIM FORM

DOES YOUR AGREEMENT HAVE A CLAUSE PROVIDING FOR ADMINISTRATION BY THE AMERICAN ARBITRATION ASSOCIATION?

(PLEASE NOTE THAT IF THERE IS NO AGREEMENT TO USE AMERICAN ARBITRATION ASSOCIATION ☐ YES SERVICES, WE WILL HAVE NO AUTHORITY TO PROCEED WITH THIS MATER UNLESS THE PARTIES AGREE TO SUBMIT THIS CASE TO THE AAA)

☐ IF NOT, CHECK HERE IF ALL PARTIES AGREE TO SUBMIT THIS CASE TO AAA

DOES YOUR AGREEMENT STIPULATE THE USE OF THE AMERICAN ARBITRATION ASSOCIATION SUPPLEMENTARY PROCEDURES FOR ONLINE ARBITRATION? CLICK HERE TO REVIEW THESE PROCEDURES.

(PLEASE NOTE THAT IF THERE IS NO AGREEMENT TO USE AMERICAN ARBITRATION ASSOCIATION SUPPLEMENTARY PROCEDURES FOR ONLINE ARBITRATION, WE WILL NOT BE ABLE TO PROCEED WITH THIS CLAIM USING AN ONLINE PROCESS UNLESS THE PARTIES AGREE TO USE THE AAA SUPPLEMENTARY PROCEDURES FOR ONLINE ARBITRATION)

☐ IF NOT, CHECK HERE IF ALL PARTIES AGREE TO USE THE AMERICAN ARBITRATION ASSOCIATION SUPPLEMENTARY PROCEDURES FOR ONLINE ARBITRATION

*PLEASE NOTE THAT BY COMPLETING THIS FORM AND SUBMITTING THE CLAIM, YOU HAVE AUTHORIZED THE AMERICAN ARBITRATION ASSOCIATION TO BEGIN PROCEEDINGS.

RESOLUTION PROCESS: DOCUMENTS-ONLY ARBITRATION

FILING DATE: 06/12/01

CLAIMANT (FILING PARTY)

COMPANY: JOHN EMMERT

REPRESENTATIVE: WILLIAM K. SLATE II

FIRM NAME: AAA

ADDRESS: 335 MADISON AVE.

CITY/SATE/ZIP/COUNTRY: NEW YORK, NY 11530

PHONE: 212-716-3934

FAX: 212-716-5901

E-MAIL: EMMERTJ@ADR.ORG

RESPONDENT 1

COMPANY: GEORGE GEORGE

REPRESENTATIVE:

FIRM NAME: XXX

ADDRESS: 123 BOLL RD

CITY/STATE/ZIP/COUNTRY: NY, NY, 10012

PHONE: 212-484-4800

FAX: 212-484-4800

EMAIL: GEORGE@HOTMAIL.COM

OTHER

CONTRACT/TRANSACTION ID: 123-45-678

NATURE OF DISPUTE: CONSULTANT DISPUTE ON QUALITY OF WORK

RELIEF SOUGHT: 1000000

: William K. Slate II et al.

Docket No.: AAA-003 Confirmation No.: 3669

Filed

Agent

ABC VS. ZXC

TEST

MOCK ARBITRATION

MOCK MEDIATION

Application No.: 09/990,402 : November 21, 2001

For

: ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT

: Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000 Sheet 141 of 216

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LOGOUT

eCg SUBSCRIBER HOME LIBRARY DIRECTORIES MY CASES MY PEER GROUPS MEDIATOR CALENDARS MY ACCOUNT WELCOME PHILIP POH: [PARTNER][USER] ON AAA eCg!

MY CASES > MOCK ARBITRATION > SUMMARY

[CLAIMANT]

SITE MAP CONTACT US PRIVACY POLICY HELP

MY CASES

SUMMARY

SUMMARY | ACTIONS | POSTINGS | DOCUMENTS |

NAME: MOCK ARBITRATION

DESCRIPTION: PARTS DELIVERED DO NOT MEET SPECIFICATIONS

AS PROVIDED IN THE PURCHASE ORDER.

CASE TYPE: DOCUMENTS-ONLY ARBITRATION

CURRENT STATUS: OPEN

DATE FILED: JUNE 27, 2001 01:01PM

CASE MANAGER(S): ROB ZYLOWSKI CINDY RUMNEY

YOUR STATUS: CLAIMANT

FILED BY: MEYERSON MANUFACTURING

PARTICIPANTS

BACK TO AAA HOME

JOHN EMMERT VS. GEORGE G.,

ROB ZYLOWSKI CINDY RUMNEY PHILIP POH

RAPIDAPP AAA **PARTNER**

CASE MANAGER CASE MANAGER CLAIMANT

THE eCg STAT BOX

B2B ONLINE PURCHASING IN THE U.S. WILL GROW FROM 7% TO 40% OF TOTAL ORDERING BY 2004. (SOURCE: BOSTON CONSULTING GROUP)

: William K. Slate II et al.

Application No.: 09/990,402 Confirmation No.: 3669

For

Agent

: November 21, 2001

: ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT : Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000

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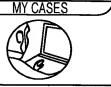
SITE MAP CONTACT US PRIVACY POLICY LOGOUT

SUMMARY | ACTIONS | POSTINGS | DOCUMENTS |

eCg SUBSCRIBER HOME LIBRARY DIRECTORIES MY CASES MY PEER GROUPS MEDIATOR CALENDARS MY ACCOUNT WELCOME PHILIP POH: [PARTNER][USER] ON AAA eCg!

MY CASES > MOCK ARBITRATION > ACTIONS

[CLAIMANT]



ACTIONS

FILE CONFLICT CHECKLIST RANK ARBITRATORS OR MEDIATORS

SUBMIT DOCUMENTS

CONTACT CASE MANAGER

ABC VS. ZXC

JOHN EMMERT VS. GEORGE G..

MOCK ARBITRATION

MOCK MEDIATION

TEST



BACK TO AAA HOME

THE eCg STAT BOX

B2B ONLINE PURCHASING IN THE U.S. WILL GROW FROM 7% TO 40% OF TOTAL ORDERING BY 2004. (SOURCE: BOSTON CONSULTING GROUP)

Filed For

Agent

Applicants : William K. Slate II et al. Application No. : 09/990,402 Confirmation No.: 3669

: November 21, 2001
: ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT
: Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000 Sheet 143 of 2

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Docket No.: AAA-003

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| | SITE MAP CONTACT US PRIVACY POLICY LOGOUT HELP |
|---|--|
| eCg SUBSCRIBER HOME LIB WELCOME PHILIP POH: [PAF MY CASES > MOCK ARBITRA | RARY DIRECTORIES MY CASES MY PEER GROUPS MEDIATOR CALENDARS MY ACCOUNT RTNER][USER] ON AAA eCg! |
| MY CASES / MOCK ARBITRA | ATION > ACTIONS [CLAIMANT] SUMMARY ACTIONS POSTINGS DOCUMENTS |
| WII OFFICE | · |
| | ACTIONS > LIST FOR THE SELECTION OF AN ARBITRATOR (EXPEDITED) THE PARTIES ARE ENCOURAGED TO AGREE UPON AN ARBITRATOR AND ADVISE THE ASSOCIATION OF THEIR AGREEMENT BY THE DEADLINE INDICATED IN THE POSTINGS. ABSENT THE AGREEMENT OF THE PARTIES, EACH PARTY SHALL STRIKE UP TO TWO |
| ABC VS. ZXC | NAMES OBJECTED TO, NUMBER THE REMAINING NAMES IN ORDER OF PREFERENCE |
| JOHN EMMERT VS. GEORGE G MOCK ARBITRATION MOCK MEDIATION | AND SUBMIT THE FORM. PLEASE USE A 0 TO IDENTIFY YOUR STRIKES. IF THE RANKING FIELD IS LEFT BLANK, IT WILL BE CONSIDERED A STRIKE. IF THE LIST OF ARBITRATORS IS NOT RETURNED BY THE DATE SPECIFIED, THE ARBITRATOR WILL BE APPOINTED AS AUTHORIZED BY THE RULES. |
| TEST | ED LAHEY, ESQ. |
| | JONATHAN BAIN, ESQ. |
| | PAUL NICOLAI, ESQ. |
| | |
| BACK TO AAA HOME | THOMAS J. BREWER, ESQ. |
| THE ACA CTAT DOV | WILLIAM WELCH, ESQ. |
| THE eCg STAT BOX B2B ONLINE PURCHASING IN THE U.S. WILL GROW FROM 7% TO 40% OF TOTAL ORDERING BY 2004. (SOURCE: BOSTON CONSULTING GROUP) | BIOGRAPHICAL INFORMATION ON THE PANELIST CAN BE FOUND IN THE DOCUMENTS AREA OF THIS CASE. |
| | IF THIS IS NOT SUBMITTED BY THE DUE DATE, ALL NAMES WILL BE DEEMED ACCEPTABLE. IF AN APPOINTMENT CANNOT BE MADE FROM THIS LIST, THE ASSOCIATION MAY APPOINT THE ARBITRATOR WITHOUT THE SUBMISSION OF AN ADDITIONAL LIST. |
| | ARBITRATOR COMPENSATION |
| | ARBITRATOR ARE COMPENSATED AT THE RATES STATED ON THEIR PANEL BIOGRAPHY, AND THE PARTIES ADVANCE THE COST OF SUCH COMPENSATION TO THE ASSOCIATION SUBJECT TO FINAL APPORTIONMENT IN THE AWARD. EACH PARTY SHALL MAKE SUCH DEPOSITS WITH THE AMERICAN ARBITRATION ASSOCIATION IN ACCORDANCE WITH THE RULES. |
| | THIS COMPENSATION IS AN INDEPENDENT OBLIGATION OF THE PARTIES, AND IT IS UNDERSTOOD THAT THE AMERICAN ARBITRATION ASSOCIATION HAS NO LIABILITY, DIRECT OR INDIRECT, FOR SUCH PAYMENTS. |
| | SUBMIT CANCEL |

: William K. Slate II et al.

Confirmation No.: 3669

Application No.: 09/990,402 : November 21, 2001

For Agent : Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000

: ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT Sheet 144 of 216

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| | SITE MAP CONTACT US PRIVACY POLICY | |
|--------------------------|--|--|
| ADV DIDECTORIES INVOLUES | LOGOUT HELP | |

THE PARTIES ARE ENCOURAGED TO AGREE UPON AN ARBITRATOR AND ADVISE THE ASSOCIATION OF THEIR AGREEMENT BY THE DEADLINE INDICATED IN THE POSTINGS. ABSENT THE AGREEMENT OF THE PARTIES, EACH PARTY SHALL STRIKE UP TO TWO

NAMES OBJECTED TO, NUMBER THE REMAINING NAMES IN ORDER OF PREFERENCE AND SUBMIT THE FORM. PLEASE USE A 0 TO IDENTIFY YOUR STRIKES, IF THE RANKING

FIELD IS LEFT BLANK, IT WILL BE CONSIDERED A STRIKE, IF THE LIST OF ARBITRATORS

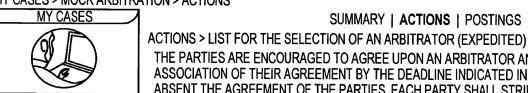
IS NOT RETURNED BY THE DATE SPECIFIED, THE ARBITRATOR WILL BE APPOINTED AS

Docket No.: AAA-003

SUMMARY | ACTIONS | POSTINGS | DOCUMENTS |

eCg SUBSCRIBER HOME LIBRARY DIRECTORIES MY CASES MY PEER GROUPS MEDIATOR CALENDARS MY ACCOUNT WELCOME PHILIP POH: [PARTNER][USER] ON AAA eCg! MY CASES > MOCK ARBITRATION > ACTIONS

[CLAIMANT]



ABC VS. ZXC

JOHN EMMERT VS. GEORGE G.. MOCK ARBITRATION MOCK MEDIATION

TEST

10 ED LAHEY, ESQ. 1 JONATHAN BAIN, ESQ. PAUL NICOLAI, ESQ. 3 THOMAS J. BREWER, ESQ.

AUTHORIZED BY THE RULES.

WILLIAM WELCH, ESQ.



THE eCg STAT BOX

B2B ONLINE PURCHASING IN THE U.S. WILL GROW FROM 7% TO 40% OF TOTAL ORDERING BY 2004. (SOURCE: BOSTON CONSULTING GROUP)

BIOGRAPHICAL INFORMATION ON THE PANELIST CAN BE FOUND IN THE DOCUMENTS AREA OF THIS CASE.

IF THIS IS NOT SUBMITTED BY THE DUE DATE, ALL NAMES WILL BE DEEMED ACCEPTABLE. IF AN APPOINTMENT CANNOT BE MADE FROM THIS LIST, THE ASSOCIATION MAY APPOINT THE ARBITRATOR WITHOUT THE SUBMISSION OF AN ADDITIONAL LIST.

ARBITRATOR COMPENSATION

ARBITRATOR ARE COMPENSATED AT THE RATES STATED ON THEIR PANEL BIOGRAPHY, AND THE PARTIES ADVANCE THE COST OF SUCH COMPENSATION TO THE ASSOCIATION SUBJECT TO FINAL APPORTIONMENT IN THE AWARD. EACH PARTY SHALL MAKE SUCH DEPOSITS WITH THE AMERICAN ARBITRATION ASSOCIATION IN ACCORDANCE WITH THE RULES.

THIS COMPENSATION IS AN INDEPENDENT OBLIGATION OF THE PARTIES, AND IT IS UNDERSTOOD THAT THE AMERICAN ARBITRATION ASSOCIATION HAS NO LIABILITY, DIRECT OR INDIRECT, FOR SUCH PAYMENTS.

SUBMIT CANCEL

Applicants : William K. Slate II et al.

Application No.: 09/990,402

Confirmation No.: 3669

: November 21, 2001 Filed

: ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT For : Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000 Agent

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SITE MAP CONTACT US PRIVACY POLICY HELP LOGOUT

SUMMARY | ACTIONS | POSTINGS | DOCUMENTS |

Docket No.: AAA-003

eCg SUBSCRIBER HOME LIBRARY DIRECTORIES MY CASES MY PEER GROUPS MEDIATOR CALENDARS MY ACCOUNT WELCOME PHILIP POH: [PARTNER][USER] ON AAA eCg! MY CASES > MOCK ARBITRATION > POSTINGS

[CLAIMANT]

MY CASES

ABC VS. ZXC

JOHN EMMERT VS. GEORGE G.,

MOCK ARBITRATION MOCK MEDIATION

TEST



BACK TO AAA HOME

THE eCg STAT BOX

B2B ONLINE PURCHASING IN THE U.S. WILL GROW FROM 7% TO 40% OF TOTAL ORDERING BY 2004. (SOURCE: BOSTON CONSULTING GROUP)

POSTINGS

CINDY RUMNEY ANSWER I WOULD LIKE TO TAKE THIS OPPORTUNITY TO INTRODUCE MYSELF AS YOUR CASE MANAGER. I CAN BE REACHED AT 1-866-249-7472 DURING THE HOURS OF 8:30 TO 4:30 CENTRAL TIME. YOU CAN ALSO CONTRACT CASE MANAGER UNDER THE ACTIONS TAB TO CONTRACT ME.

POSTED BY: CINDY RUMNEY ON 06/27/01

CINDY RUMNEY

ANSWER THIS WILL CONFIRM THAT WE DID NOT RECEIVE A RESPONSE FROM THE RESPONDENT. PLEASE REVIEW THE RANK ARBITRATORS OR MEDIATORS FORM UNDER THE ACTIONS TAB AND FOLLOW THE DIRECTIONS ON THE FORM. NEUTRAL BIOGRAPHIES CAN BE FOUND UNDER THE DOCUMENTS TAB. THE PARTIES SHALL SUBMIT THEIR PREFERENCES WITHIN ONE DAY OF THE POSTING.

POSTED BY: CINDY RUMNEY ON 06/29/01

BRUCE MEYERSON

営 CINDY RUMNEY

ANSWER IN ACCORDANCE WITH SECTION 20 OF THE PROCEDURES, THE PARTIES SHALL SUBMIT THEIR INITIAL BRIEFS WITHIN ONE DAY OF THIS POSTING. SAID BRIEFS MAY NOT EXCEED SIX THOUSAND WORDS, EXCLUSIVE OF ANY EXHIBITS. EACH PARTY SHALL ALSO SUBMIT \$X,XXX.XX FOR ESTIMATED ARBITRATOR COMPENSATION WITHIN ONE DAY OF THIS POSTING.

POSTED BY: CINDY RUMNEY ON 06/29/01

CINDY RUMNEY

IN ACCORDANCE WITH SECTION 20 OF THE PROCEDURES, THE PARTIES SHALL SUBMIT THEIR INITIAL BRIEFS WITHIN ONE DAY OF THIS PÓSTING, SAID BRIEFS MAY

ANSWER

NOT EXCEED THREE THOUSAND WORDS, EXCLUSIVE OF ANY EXHIBITS. THE REPLY BRIEF AND EXHIBITS MAY NOT RAISE ANY EXHIBITS MAY NOT RAISE ANY NEW

ARGUMENTS AND/OR EVIDENCED.

POSTED BY: CINDY RUMNEY ON 73/03/01

: William K. Slate II et al. Application No.: 09/990,402

Confirmation No.: 3669

Filed : November 21, 2001

For Agent

: ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT : Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000 Sheet 146 of 216

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SITE MAP CONTACT US PRIVACY POLICY HELP LOGOUT

eCg SUBSCRIBER HOME LIBRARY DIRECTORIES MY CASES MY PEER GROUPS MEDIATOR CALENDARS MY ACCOUNT WELCOME PHILIP POH: [PARTNER][USER] ON AAA eCg!

MY CASES > MOCK ARBITRATION > POSTINGS

[CLAIMANT]

SUMMARY | ACTIONS | POSTINGS | DOCUMENTS |

Docket No.: AAA-003

MY CASES

ABC VS. ZXC

JOHN EMMERT VS. GEORGE G., MOCK ARBITRATION MOCK MEDIATION

TEST

POSTINGS > CINDY RUMNEY

CINDY RUMNEY ANSWER THIS WILL CONFIRM THAT WE DID NOT RECEIVE A RESPONSE FROM THE RESPONDENT. PLEASE REVIEW THE RANK ARBITRATORS OR MEDIATORS FORM UNDER THE ACTIONS TAB AND FOLLOW THE DIRECTIONS ON THE FORM. NEUTRAL BIOGRAPHIES CAN BE FOUND UNDER THE DOCUMENTS TAB. THE PARTIES SHALL SUBMIT THEIR PREFERENCES WITHIN ONE DAY OF THE POSTING.

POSTED BY: CINDY RUMNEY ON 06/29/01

BRUCE MEYERSON

ANY OF THE LISTED ARBITRATORS ARE ACCEPTABLE.

POSTED BY: BRUCE MEYERSON ON 07/01/01



BACK TO AAA HOME

THE eCg STAT BOX

B2B ONLINE PURCHASING IN THE U.S. WILL GROW FROM 7% TO 40% OF TOTAL ORDERING BY 2004. (SOURCE: BOSTON CONSULTING GROUP)

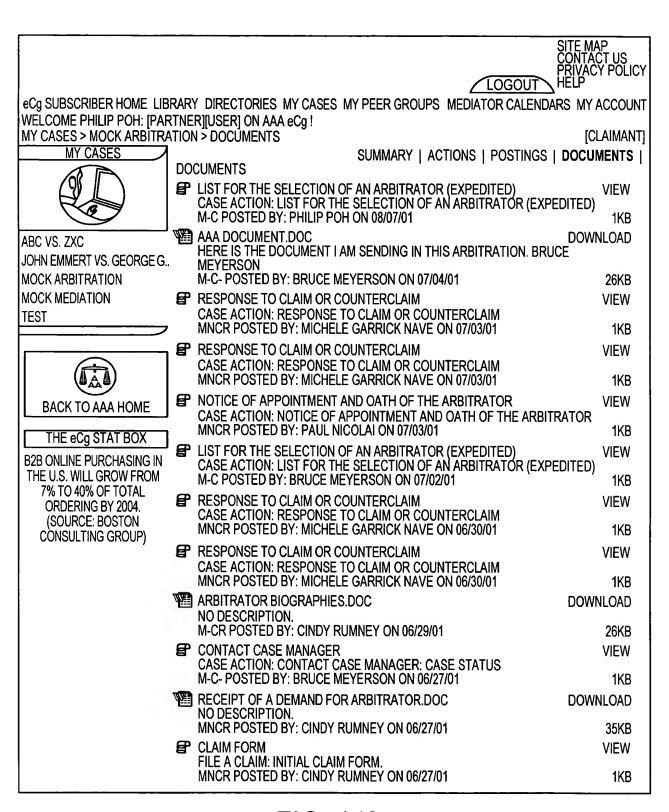
Docket No.: AAA-003 : William K. Slate II et al. Applicants Confirmation No.: 3669

Application No.: 09/990,402

: November 21, 2001 Filed

: ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT For Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000 Sheet 147 of 216 Agent

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Applicants : William K. Slate II et al. Docket No.: AAA-003 Confirmation No.: 3669

Application No.: 09/990,402

THE PARTIES ARE ENCOURAGED TO AGREE UPON AN ARBITRATOR AND ADVISE THE ASSOCIATION OF THEIR AGREEMENT BY THE DEADLINE INDICATED IN THE

-ORM DISPLAY > LIST FOR THE SELECTION OF AN ARBITRATOR (EXPEDITED)

POSTED BY: PHILIP POH ICLAIMANT] ON 08/06/01

November 21, 2001

ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT For Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000 Agent

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POSTINGS. ABSENT THE AGREEMENT OF THE PARTIES, EACH PARTY SHALL STRIKE UP TO TWO NAMES OBJECTED TO, NUMBER THE REMAINING NAMES IN

ORDER OF PREFERENCE AND SUBMIT THE FORM. PLEASE USE A 0 TO IDENTIFY YOUR STRIKES. IF THE RANKING FIELD IS LEFT BLANK, IT WILL BE CONSIDERED A STRIKE. IF THE LIST OF ARBITRATORS IS NOT RETURNED BY THE DATE SPECIFIED, THE ARBITRATOR WILL BE APPOINTED AS AUTHORIZED BY THE RULES.

SIOGRAPHICAL INFORMATION ON THE PANELIST CAN BE FOUND IN THE DOCUMENTS AREA OF THIS CASE.

THOMAS J. BREWER, ESQ.

PAUL NICOLAI, ESQ.

MILLIAM WELCH, ESQ

JONATHAN BAIN, ESQ.

ED LAHEY, ESQ.

F THIS LIST IS NOT SUBMITTED BY THE DUE DATE, ALL NAMES WILL BE DEEMED ACCEPTABLE. IF AN APPOINTMENT CANNOT BE MADE FROM THIS LIST, THE ASSOCIATION MAY APPOINT THE ARBITRATOR WITHOUT THE SUBMISSION OF AN ADDITIONAL LIST

ARBITRATOR COMPENSATION

ARBITRATOR ARE COMPENSATED AT THE RATES STATED ON THEIR PANEL BIOGRAPHY, AND THE PARTIES ADVANCE THE COST OF SUCH COMPENSATION TO HE ASSOCIATION SUBJECT TO FINAL APPORTIONMENT IN THE AWARD. EACH PARTY SHALL MAKE SUCH DEPOSITS WITH THE AMERICAN ARBITRATION ASSOCIATION IN ACCORDANCE WITH THE RULES.

THIS COMPENSATION IS AN INDEPENDENT OBLIGATION OF THE PARTIES, AND IT IS UNDERSTOOD THAT THE AMERICAN ARBITRATION ASSOCIATION HAS NO LIABILITY, DIRECT OR INDIRECT, FOR SUCH PAYMENTS. CLOSE

Applicants : William K. Slate II et al. Application No. : 09/990,402 Docket No.: AAA-003 Confirmation No.: 3669

RESPONDENT HAS A COUNTERCLAIM FAILURE TO PAY BILLS IN THE AMOUNT OF \$1,000,000.00 WHICH ARE OVERDUE BY 180 DAYS.

DATE: 0
RESPONSE TYPE: R
NATURE OF COUNTERCLAIM: F
RELIEF SOUGHT \$: \$
COMMENT:

: November 21, 2001 Filed

ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT
Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000 Sheet 149 of 2 For Sheet 149 of 216 Agent

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FORM DISPLAY > RESPONSE TO CLAIM OR COUNTERCLAIM

: William K. Slate II et al. Applicants Confirmation No.: 3669

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: ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT : Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000 Sheet 150 of 2 For Sheet 150 of 216 Agent

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Docket No.: AAA-003

FORM DISPLAY > RESPONSE TO CLAIM OR COUNTERCLAIM

08/07/2001 RESPONDENT DENIES THE CLAIM IN ITS ENTIRELY DATE: C RESPONSE TYPE: F NATURE OF COUNTERCLAIM: RELIEF SOUGHT \$: COMMENT: Applicants Application No.:

For

Agent

William K. Slate II et al.

RELATIONSHIP ARISES DURING THE COURSE OF THE ARBITRATION OR IF THERE IS ANY CHANGE AT ANY TIME TO THE BIOGRAPHICAL INFORMATION THAT YOU HAVE
PROVIDED TO THE AAA, IT MUST ALSO BE DISCLOSED. ANY DOUBT SHOULD BE RESOLVED IN FAVOR OF DISCLOSURE. IF YOU ARE AWARE OF SUCH A RELATIONSHIP, PLEASE

O I HEREBY DISCLOSE THE FOLLOWING:

I HAVE NOTHING TO DISCLOSE

DESCRIBE IT BELOW

RELATIONSHIP WITH THE WITNESSES, PARTIES, OR THEIR COUNSEL, DIRECT OR INDIRECT, WHETHER FINANCIAL, PROFESSIONAL, SOCIAL OR OF ANY OTHER KIND, IF ANY IT IS MOST IMPORTANT THE PARTIES HAVE COMPLETE CONFIDENCE IN THE ARBITRATOR'S IMPARTIALITY. THEREFORE, PLEASE DISCLOSE ANY PAST OR PRESENT

YOU HAVE BEEN SELECTED TO BE THE ARBITRATOR IN THE ABOVE CASE. IF YOU ARE ABLE TO ACCEPT THIS RESPONSIBILITY, PLEASE COMPLETE AND POST THIS

FORM DISPLAY > NOTICE OF APPOINTMENT AND OATH OF THE ARBITRATOR

TO: PAUL NICOLAI

DOCUMENT

Confirmation No.: 3669

09/990,402 November 21, 2001

ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000

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ASSOCIATION IS ACCURATE AND COMPLETE, AND WILL FAITHFULLY AND FAIRLY HEAR AND DECIDE THE MATTERS IN CONTROVERSY BETWEEN THE PARTIES, IN ACCORDANCE WITH THEIR ARBITRATION AGREEMENT, THE CODE OF ETHICS OF ARBITRATORS IN COMMERCIAL DISPUTES, AND THE RULES OF THE AMERICAN ARBITRATION ASSOCIATION. ENDIT INCOLA!, BEING DULY SWORN, HEREBY ACCEPTS THIS APPOINTMENT, ATTESTS THAT THE PANEL BIOGRAPHY PROVIDED BY THE AMERICAN ARBITRATION AND WILL MAKE AN AWARD ACCORDING TO THE BEST OF THE ARBITRATOR'S UNDERSTANDING

DATED: 07/03/01

CLOSE

FIG. 146

Application No.: 09/990,402

: William K. Slate II et al.

Confirmation No.: 3669

Docket No.: AAA-003

Filed For

: November 21, 2001

: ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT : Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000

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Agent

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MR. NICOLAI WILL BE THE ARBITRATOR FOR THE MOCK ARBITRATION CASE

THE LIST CAN BE FOUND UNDER THE ACTIONS TAB - ON A FORMAL ALL BIOS WOULD BE PROVIDED TO THE PARTIES.

PAUL PETER NICOLAI, ESQ. -SPRINGFIELD, MA

CURRENT EMPLOYER/TITLE

NICOLAI LAW GROUP, P.C. - PRESIDENT

PROFESSION

ATTORNEY

WORK HISTORY

PRESIDENT, NICOLAI LAW GROUP, P.C., 1990-PRESENT; PRINCIPAL,

NICOLAI LAW OFFICES, 1988-90; COMPANY COUNSEL/STAFF

COUNSEL/ASSISTANT CLERK, FRIENDLY ICE CREAM CORPORATION, 1976-88.

EXPERIENCE

LAWYER REPRESENTING PUBLIC AND PRIVATE COMPANIES AND DIVISIONS OF INTERNATIONAL COMPANIES. EXPERIENCE INCLUDES IN-HOUSE GENERAL COUNSEL OF A MULTISTATE RESTAURANT COMPANY WORKING WITH THE LEGAL DEPARTMENT OF A FORTUNE 300 FOOD MANUFACTURER. ACTIVELY WORKS IN ACQUISITIONS COMPLEX CONTRACTS; INTELLECTUAL PROPERTY TRANSACTIONS, DEVELOPMENT, PROTECTION, AND DOCUMENTATION; TRADEMARK, COPYRIGHT, AND TRADE SECRETS; ENTITY STRUCTURING; SHAREHOLDER AND PARTNER RELATIONS AND DISPUTES; PRIVATE PLACEMENTS; VENTURE CAPITAL; COMMERCIAL REAL ESTATE;

COMMERCIAL BORROWING; EMPLOYMENT; INTERNATIONAL CONTRACTING; INTERNET AND INFORMATION PROCESSING AND EXCHANGE: ARBITRATION: MEDIATION; CIVIL LITIGATION; ANTITRUST COMPLIANCE; GOVERNMENT CONTRACTING; MARINE SERVICES; SECURITIES; UNFAIR TRADE PRACTICES; MERGERS AND DIVESTITURES; CONSTRUCTION LAW; AND PROFESSIONAL SERVICES,

INCLUDING LEGAL, ACCOUNTING, CREDIT AND CREDIT REPORTING, INSURANCE, AND MEDICAL. CLIENTS ENGAGED IN HEAVY MACHINERY; SOFTWARE AND HIGH TECHNOLOGY DEVELOPMENT INCLUDING MARINE, BROADCASTING, INTERNET,

TELECOMMUNICATIONS, DATA WAREHOUSING, AND TAX ASSESSMENT AND COLLECTION; INTELLECTUAL PROPERTY BASED COMPANIES INCLUDING GAME DEVELOPERS, CREDIT REPORTING AND DATABASE COMPANIES, AND ENGINEERING CONSULTANTS; GENERAL CONTRACT CONSTRUCTION COMPANIES; AIRCRAFT

MAINTENANCE ACCOUNTING FIRMS; MEDICAL PROFESSIONALS; FOOD MANUFACTURERS; RESTAURANTS; INSURANCE AGENCIES; PROPERTY

MANAGEMENT; REAL ESTATE AND SECURITIES BROKERAGES; FINANCIAL ADVISORS; REAL ESTATE DEVELOPERS; FRANCHISORS; UTILITIES SERVICE COMPANIES; AND

OTHERS.

Filed

Agent

For

: William K. Slate II et al.

Confirmation No.: 3669

Application No.: 09/990,402

November 21, 2001

ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT
Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000 Sheet 153 of 2

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CLOSE

THANK YOU MS. RUMNEY. ONCE THE RESPONDENT HAS FILED ITS ANSWER, PLEASE LET ME KNOW. WHEN MIGHT I EXPECT THE HEARING TO BE HELD. BRUCE MEYERSON.

BRUCE MEYERSON < BMEYERSON@QWEST.NET> 06/27/01

FROM: BRUCE MEYERS DATE: 06/27/01 SUBJECT: CASE STATUS

FORM DISPLAY > CONTACT CASE MANAGER

Agent

: William K. Slate II et al.

Confirmation No.: 3669

Application No.: 09/990,402 Filed For

: November 21, 2001

: ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT : Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000

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Docket No.: AAA-003

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THIS WILL ACKNOWLEDGE RECEIPT OF A REQUEST FOR ARBITRATION, OF A CONTROVERSY ARISING OUT OF A CONTRACT BETWEEN THE ABOVE-CAPTIONED PARTIES, CONTAINING A CLAUSE PROVIDING FOR ADMINISTRATION BY THIS ASSOCIATION. A COPY OF OUR COMMERCIAL DISPUTE RESOLUTION PROCEDURES, AS AMENDED AND IN EFFECT SEPTEMBER 1, 2000, MAY BE FOUND IN THE LIBRARY.

ABSENT A RESPONSE WITHIN SEVEN (7) DAYS OF THIS POSTING, WE ASSUME THAT THE PARTIES ARE IN AGREEMENT TO PROCEED WITH ADMINISTRATION UNDER THE AAA'S MODEL PROCEDURES FOR DOCUMENTS ONLY ARBITRATION. A COPY OF THESE PROCEDURES CAN ALSO BE FOUND IN THE LIBRARY.

RESPONDENT'S ATTENTION IS DIRECTED TO SECTION 4 OF THE PROCEDURES. IF RESPONDENT DOES NOT ANSWER WITHIN SEVEN (7) DAYS, WE WILL ASSUME THAT THE CLAIM IS DENIED. IF RESPONDENT WISHES TO ANSWER AND/OR COUNTERCLAIM, COMPLETE THE APPROPRIATE FORM UNDER THE ACTIONS TAB.

WE ASK BOTH PARTIES TO COMPLETE THE CONFLICTS CHECKLIST FORM WITHIN SEVEN (7) DAYS. THE FORM CAN BE FOUND UNDER THE ACTIONS TAB. PLEASE LIST THOSE WITNESSES YOU EXPECT TO PRESENT, AS WELL AS ANY PERSONS OR ENTITIES WITH AN INTEREST IN THESE PROCEEDINGS. THIS FORM WILL HAVE RESTRICTED VIEWING AND WILL ONLY BE ACCESSIBLE BY THE SUBMITTING PARTY, THE ARBITRATOR, AND THE CASE MANAGER.

SINCERELY. CASE MANAGER Applicants : William K. Slate II et al. Confirmation No.: 3669 Docket No.: AAA-003

Application No.: 09/990,402 November 21, 2001

ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT For Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000 Agent

155/216

CLAIMANT (FILING PARTY)

(PLEASE NOTE THAT IF THERE IS NO AGREEMENT TO USE AMERICAN ARBITRATION ASSOCIATION SERVICES, WE WILL HAVE NO AUTHORITY TO PROCEED WITH THIS MATER UNLESS THE PARTIES AGREE TO SUBMIT THIS CASE TO THE AAA) DOES YOUR AGREEMENT STIPULATE THE USE OF THE AMERICAN ARBITRATION ASSOCIATION S*UPPLEMENTARY PROCEDURES F*OR ON*LINE ARBITRATION?* 🗀 IF NOT, CHECK HERE IF ALL PARTIES AGREE TO SUBMIT THIS CASE TO AAA

DOES YOUR AGREEMENT HAVE A CLAUSE PROVIDING FOR ADMINISTRATION BY THE AMERICAN ARBITRATION ASSOCIATION?

FORM DISPLAY > CLAIM FORN

O YES

ÀRBITRATION, WE WILL NOT BE ABLE TO PROCEED WITH THIS CLAIM USING AN ONLINE PROCESS UNLESS THE PARTIES AGREE TO USE THE AAA (PLEASE NOTE THAT IF THERE IS NO AGREEMENT TO USE AMERICAN ARBITRATION ASSOCIATION SUPPLEMENTARY PROCEDURES FOR ONLINE SUPPLEMENTARY PROCEDURES FOR ONLINE ARBITRATION

CLICK HERE TO REVIEW THESE PROCEDURES

☐ IF NOT, CHECK HERE IF ALL PARTIES AGREE TO USE THE AMERICAN ARBITRATION ASSOCIATION SUPPLEMENTARY PROCEDURES FOR ONLINE ARBITRATION

PLEASE NOTE THAT BY COMPLETING THIS FORM AND SUBMITTING THE CLAIM, YOU HAVE AUTHORIZED THE AMERICAN ARBITRATION ASSOCIATION TO BEGIN PROCEEDINGS

RESOLUTION PROCESS: DOCUMENTS-ONLY ARBITRATION 06/27/01 FILING DATE:

MEYERSON MANUFACTURING COMPANY:

3RUCE E. MEYERSON, ESQ. BRUCE E. MEYERSON, PLLC REPRESENTATIVE: FIRM NAME:

5225 N. CENTRAL AVENUE, SUITE 235 ADDRESS:

PHOENIX, AZ 85012 CITY/SATE/ZIP/COUNTRY:

802-277-4585 502-248-2999 PRORE

BMEYERSON@QWEST.NET E-MAIL:

MICHELLE GARRICK-NAVE, ESQ. MGN SUPPLIERS COMPANY: REPRESENTATIVE:

ATTORNEY AT LAW FIRM NAME:

CLEVELAND HEIGHTS, OH 44118 3374 RUMSON ROAD

RESPONDENT

For

Agent

Application No.: 09/990,402

: November 21, 2001

: William K. Slate II et al.

Confirmation No.: 3669

: ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT : Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000 Sheet 156 of 216

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LOGOUT

eCg SUBSCRIBER HOME LIBRARY DIRECTORIES MY CASES MY PEER GROUPS MEDIATOR CALENDARS MY ACCOUNT

WELCOME PHILIP POH: [PARTNER][USER] ON AAA eCg!

MY CASES > MOCK MEDIATION > SUMMARY

MY CASES

JOHN EMMERT VS. GEORGE G..

ABC VS. ZXC

TEST

MOCK ARBITRATION

MOCK MEDIATION

[CASE MANAGER]

EDIT

SITE MAP CONTACT US PRIVACY POLICY

SUMMARY | ACTIONS | POSTINGS | DOCUMENTS |

Docket No.: AAA-003

SUMMARY

NAME: MOCK MEDIATION

DESCRIPTION: FAILURE TO PAY FOR FIRST SHIPMENT OF GOODS,

SECOND DELIVERY SCHEDULED IN TWO WEEKS.

CASE TYPE: ON-CALL MEDIATION

CURRENT STATUS: OPEN

DATE FILED: JUNE 27, 2001 12:56PM

CASE MANAGER(S): ROB ZYLOWSKI CINDY RUMNEY PHILIP POH

YOUR STATUS: CASE MANAGER FILED BY: MGN SUPPLIERS

PARTICIPANTS

ADD | EDIT

BACK TO AAA HOME

ROB ZYLOWSKI

CINDY RUMNEY PHILIP POH

RAPIDAPP AAA **PARTNER**

CASE MANAGER CASE MANAGER CASE MANAGER

THE eCg STAT BOX

B2B ONLINE PURCHASING IN THE U.S. WILL GROW FROM 7% TO 40% OF TOTAL ORDERING BY 2004. (SOURCE: BOSTON CONSULTING GROUP)

: William K. Slate II et al. Applicants

Application No.: 09/990,402

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For

: ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT : Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000 Sheet 157 of 216 Agent

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SITE MAP CONTACT US PRIVACY POLICY LOGOUT

eCg SUBSCRIBER HOME LIBRARY DIRECTORIES MY CASES MY PEER GROUPS MEDIATOR CALENDARS MY ACCOUNT WELCOME PHILIP POH: [PARTNER][USER] ON AAA eCg!

MY CASES > MOCK MEDIATION > ACTIONS

ACTIONS

[CASE MANAGER]

SUMMARY | ACTIONS | POSTINGS | DOCUMENTS |

ABC VS. ZXC

JOHN EMMERT VS. GEORGE G.,

MOCK ARBITRATION

MOCK MEDIATION

TEST



BACK TO AAA HOME

THE eCg STAT BOX

B2B ONLINE PURCHASING IN THE U.S. WILL GROW FROM 7% TO 40% OF TOTAL ORDERING BY 2004. (SOURCE: BOSTON CONSULTING GROUP)

For

Application No.: 09/990,402 : November 21, 2001

: William K. Slate II et al.

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: ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT : Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000 Agent

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SITE MAP CONTACT US PRIVACY POLICY LOGOUT

eCg SUBSCRIBER HOME LIBRARY DIRECTORIES MY CASES MY PEER GROUPS MEDIATOR CALENDARS MY ACCOUNT WELCOME PHILIP POH: [PARTNER][USER] ON AAA eCg !

MY CASES > MOCK MEDIATION > POSTINGS

[CASE MANAGER]

SUMMARY | ACTIONS | POSTINGS | DOCUMENTS |

MY CASES

CINDY RUMNEY

POSTINGS

ADD TOPIC

I WOULD LIKE TO TAKE THIS OPPORTUNITY TO INTRODUCE MYSELF AS YOUR CASE MANAGER. I CAN BE REACHED AT 1-866-249-7472 DURING THE HOURS OF 8:30 TO 4:30 CENTRAL TIME. YOU CAN ALSO CONTRACT CASE MANAGER

UNDER THE ACTIONS TAB TO CONTACT ME. POSTED BY: CINDY RUMNEY ON 06/27/01

ABC VS. ZXC

JOHN EMMERT VS. GEORGE G..

MOCK ARBITRATION

MOCK MEDIATION **TEST**

CINDY RUMNEY

ANSWER | EDIT DELETE

ANSWER | EDIT DELETE

THE ASSOCIATION HAS APPOINTED PAUL NICOLAI TO SERVER AS THE MEDIATOR. PLEASE REVIEW THE MEDIATOR CALENDARS TO VIEW MR. NICOLAI'S AVAILABILITY AND ANSER THIS POSITING WITH YOUR PREFERENCE FOR SCHEDULING THE ON-

CALL MEDIATION SESSION.

POSTED BY: CINDY RUMNEY ON 07/03/01



BACK TO AAA HOME

THE eCg STAT BOX

B2B ONLINE PURCHASING IN THE U.S. WILL GROW FROM 7% TO 40% OF TOTAL ORDERING BY 2004. (SOURCE: BOSTON

CONSULTING GROUP)

Application No.: 09/990,402

: William K. Slate II et al.

Confirmation No.: 3669

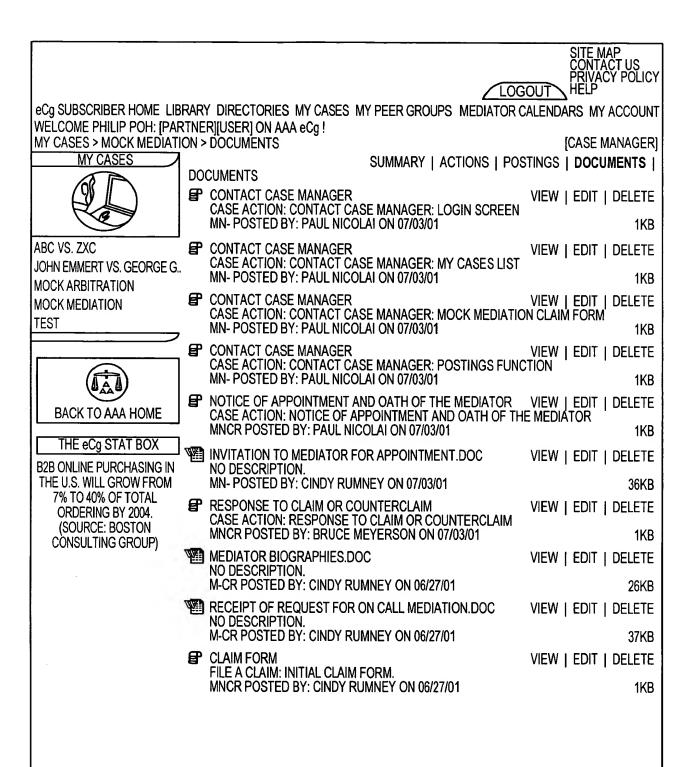
Filed For Agent : November 21, 2001

: ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT : Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000

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Applicants : William K. Slate II et al. Docket No.: AAA-003

Application No.: 09/990,402 Confirmation No.: 3669

Filed: November 21, 2001

For : ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT
Agent : Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000 Sheet 160 of 216

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PROVIDED TO THE AAA, IT MUST ALSO BE DISCLOSED. ANY DOUBT SHOULD BE RESOLVED IN FAVOR OF DISCLOSURE. IF YOU ARE AWARE OF SUCH A RELATIONSHIP, PLEASE RELATIONSHIP WITH THE WITNESSES, PARTIES, OR THEIR COUNSEL, DIRECT OR INDIRECT, WHETHER FINANCIAL, PROFESSIONAL, SOCIAL OR OF ANY OTHER KIND. IF ANY IT IS MOST IMPORTANT THE PARTIES HAVE COMPLETE CONFIDENCE IN THE ARBITRATOR'S IMPARTIALITY. THEREFORE, PLEASE DISCLOSE ANY PAST OR PRESENT RELATIONSHIP ARISES DURING THE COURSE OF THE ARBITRATION OR IF THERE IS ANY CHANGE AT ANY TIME TO THE BIOGRAPHICAL INFORMATION THAT YOU HAVE **DESCRIBE IT BELOW**

YOU HAVE BEEN SELECTED TO BE THE ARBITRATOR IN THE ABOVE CASE. IF YOU ARE ABLE TO ACCEPT THIS RESPONSIBILITY, PLEASE COMPLETE AND POST THIS

FORM DISPLAY > NOTICE OF APPOINTMENT AND OATH OF THE MEDIATOR

TO: PAUL NICOLAI

DOCUMENT

I HAVE NOTHING TO DISCLOSE.

O I HEREBY DISCLOSE THE FOLLOWING:

ASSOCIATION IS ACCURATE AND COMPLETE, AND WILL FAITHFULLY AND FAIRLY WORK TO SETTLE THE MATTERS IN CONTROVERSY BETWEEN THE PARTIES, IN ACCORDANCE ENDIT INCOLAI, BEING DULY SWORN, HEREBY ACCEPTS THIS APPOINTMENT, ATTESTS THAT THE PANEL BIOGRAPHY PROVIDED BY THE AMERICAN ARBITRATION WITH THEIR MEDIATION AGREEMENT, THE MODEL STANDARDS OF CONDUCT FOR MEDIATORS, AND THE RULES OF THE AMERICAN ARBITRATION ASSOCIATION

DATED: 07/03/01

CLOSE

FIG. 155

Applicants : William K. Slate II et al.

Confirmation No.: 3669

Application No.: 09/990,402

: November 21, 2001 Filed

: ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT

For : Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000 Sheet 161 of 216 Agent

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Docket No.: AAA-003

THE PARTIES TO THIS MATTER HAVE ASKED THAT WE CONTACT YOU TO DETERMINE YOUR AVAILABILITY TO SERVE AS MEDIATOR. THIS CASE HAS BEEN FILED IN ACCORDANCE WITH THE AAA'S MODEL PROCEDURES FOR ON CALL MEDIATION. A COPY OF THESE PROCEDURES CAN BE FOUND IN THE LIBRARY.

THE PARTIES HAVE AGREED TO SCHEDULE A MEDIATION SESSION ON BY CONFERENCE CALL. THE MEDIATOR CALENDAR HAS BEEN MODIFIED TO REFLECT THIS SCHEDULING.

SHOULD YOU DESIRE PRE-MEDIATION POSITION PAPER, PLEASE OUTLINE YOUR REQUIREMENTS IN A POSTING TO THE PARTIES UNDER THE POSTINGS TAB.

PLEASE REVIEW THE FOLLOWING INFORMATION THAT MAY BE FOUND UNDER THE DOCUMENTS TAB: CLAIM FORM, RESPONSE TO CLAIM OR COUNTERCLAIM (IF ANY), AND ANY CONFLICT CHECKLISTS SUBMITTED BY THE PARTIES.

WE ASK THAT YOU COMPLETE AND SUBMIT THE NOTICE OF APPOINTMENT, INCLUDING ANY DISCLOSURES YOU MAY HAVE, WITHIN ONE DAY. THIS FORM CAN BE FOUND UNDER THE ACTIONS TAB.

SHOULD YOU U DECLINE APPOINTMENT. PLEASE RESPOND THROUGH THE CONTACT CASE MANAGER FORM THAT CAN ALSO BE FOUND UNDER THE ACTIONS TAB.

SINCERELY. CASE MANAGER

AAA eCOMMERCE GROUP [WWW.ADR.ORG]

PAGE 1 OF 1

: William K. Slate II et al. Applicants

Application No.: 09/990,402 Confirmation No.: 3669

Filed November 21, 2001

: ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT : Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000 Sheet 162 of 2 For Sheet 162 of 216 Agent

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Docket No.: AAA-003

FORM DISPLAY > RESPONSE TO CLAIM OR COUNTERCLAIM

DATE: 08/07/2001

RESPONSE TYPE: RESPONDENT DENIES THE CLAIM IN ITS ENTIRELY

NATURE OF COUNTERCLAIM: NO COUNTER CLAIM

RELIEF SOUGHT \$:

COMMENT: PLEASE NOTIFY ME WHEN A MEDIATOR IS APPOINTED. WILL I GET LIST TO CHOOSE FROM?

Applicants : William K. Slate II et al. Docket No.: AAA-003

Application No.: 09/990,402 Confirmation No.: 3669

Filed: November 21, 2001

For : ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT
Agent : Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000 Sheet 163 of 216

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THIS WILL ACKNOWLEDGE RECEIPT OF A REQUEST FOR AN ON CALL MEDIATION, OF A CONTROVERSY ARISING OUT OF A CONTRACT BETWEEN THE ABOVE-CAPTIONED PARTIES, CONTAINING A CLAUSE PROVIDING FOR ADMINISTRATION BY THIS ASSOCIATION. A COPY OF OUR COMMERCIAL DISPUTE RESOLUTION PROCEDURES, AS AMENDED AND IN EFFECT SEPTEMBER 1, 2000, MAY BE FOUND IN THE LIBRARY.

ABSENT A RESPONSE WITHIN 24 HOURS OF THIS POSTING, WE ASSUME THAT THE PARTIES ARE IN AGREEMENT TO PROCEED WITH ADMINISTRATION UNDER THE AAA'S MODEL PROCEDURES FOR ON CALL MEDICATION. A COPY OF THESE PROCEDURES CAN ALSO BE FOUND IN THE LIBRARY.

PLEASE REVIEW THE RANK ARBITRATORS OR MEDIATORS FORM UNDER THE ACTIONS TAB AND FOLLOW THE DIRECTIONS ON THE FORM. NEUTRAL BIOGRAPHIES CAN BE FOUND UNDER THE DOCUMENTS TAB. WE ENCOURAGE THE PARTIES TO AGREE TO A MEDIATOR AND ADVISE THE ASSOCIATION OF YOUR SELECTION WITHIN 24 HOURS OF THIS POSTING. IF THE PARTIES ARE UNABLE TO AGREE UPON A MEDIATOR, THE AAA SHALL APPOINT ONE.

WE DIRECT THE PARTIES' ATTENTION TO THE MEDIATOR CALENDARS MENU ITEM ON THE TOOLBAR. THE AVAILABILITY OF EACH MEDIATOR CAN BE REVIEWED TO ASSIST THE PARTIES IN SELECTING A MEDIATOR, AND SCHEDULING A MEDIATION SESSION BY CONFERENCE CALL.

PLEASE UTILIZE CONTACT CASE MANAGER FORM UNDER THE ACTIONS TAB TO COMMUNICATE REGARDING MEDIATOR SELECTION AND SCHEDULING. THE CASE MANAGER IS AVAILABLE TO ASSIST THE PARTIES THROUGHOUT THIS PROCESS.

WE ASK BOTH PARTIES TO COMPLETE THE CONFLICTS CHECKLIST FORM WITHIN 24 HOURS. THE FORM CAN ALSO BE FOUND UNDER THE ACTIONS TAB. PLEASE LIST THOSE WITNESSES YOU EXPECT TO PRESENT, AS WELL AS ANY PERSONS OR ENTITIES WITH AN INTEREST IN THESE PROCEEDINGS. THIS FORM WILL HAVE RESTRICTED VIEWING AND WILL ONLY BE ACCESSIBLE BY THE SUBMITTING PARTY. THE MEDIATOR, AND THE CASE MANAGER.

SINCERELY, CASE MANAGER

AAA eCOMMERCE GROUP [WWW.ADR.ORG]

PAGE 1 OF 1

: William K. Slate II et al. Applicants

Filed

Agent

(PLEASE NOTE THAT IF THERE IS NO AGREEMENT TO USE AMERICAN ARBITRATION ASSOCIATION SERVICES, WE WILL HAVE NO AUTHORITY TO PROCEED

MITH THIS MATER UNLESS THE PARTIES AGREE TO SUBMIT THIS CASE TO THE AAA)

IF NOT, CHECK HERE IF ALL PARTIES AGREE TO SUBMIT THIS CASE TO AAA

CLICK HERE TO REVIEW THESE PROCEDURES

DOES YOUR AGREEMENT HAVE A CLAUSE PROVIDING FOR ADMINISTRATION BY THE AMERICAN ARBITRATION ASSOCIATION?

FORM DISPLAY > CLAIM FORM

DOES YOUR AGREEMENT STIPULATE THE USE OF THE AMERICAN ARBITRATION ASSOCIATION SUPPLEMENTARY PROCEDURES FOR ONLINE ARBITRATION?

For

Application No.: 09/990,402

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November 21, 2001

ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000

164/216

CLAIMANT (FILING PARTY)

ÀRBITRATION, WE WILL NOT BE ABLE TO PROCEED WITH THIS CLAIM USING AN ONLINE PROCESS UNLESS THE PARTIES AGREE TO USE THE AAA (PLEASE NOTE THAT IF THERE IS NO AGREEMENT TO USE AMERICAN ARBITRATION ASSOCIATION SUPPLEMENTARY PROCEDURES FOR ONLINE

☐ IF NOT, CHECK HERE IF ALL PARTIES AGREE TO USE THE AMERICAN ARBITRATION ASSOCIATION SUPPLEMENTARY PROCEDURES FOR ONLINE ARBITRATION

PLEASE NOTE THAT BY COMPLETING THIS FORM AND SUBMITTING THE CLAIM, YOU HAVE AUTHORIZED THE AMERICAN ARBITRATION ASSOCIATION TO BEGIN **PROCEEDINGS**

SUPPLEMENTARY PROCEDURES FOR ONLINE ARBITRATION

ON-CALL MEDIATION 06/27/01 RESOLUTION PROCESS: FILING DATE:

MGN SUPPLIERS COMPANY:

MICHELLE GARRICK-NAVE REPRESENTATIVE:

3374 RUMSON ROAD **ATTORNEY AT LAW** ADDRESS: FIRM NAME:

CLEVELAND HEIGHTS, OH 4418 216-397-0420 PHONE: CITY/SATE/ZIP/COUNTRY:

16-397-0933

MGARRICKNAVE@ATT.NET E-MAIL:

MEYERSON MANUFACTURING BRUCE E. MEYERSON, ESQ. COMPANY: REPRESENTATIVE:

5225 N. CENTRAL AVENUE, SUITE 235 BRUCE E. MEYERSON, PLLC ADDRESS: FIRM NAME:

PHOENIX, AZ 85012

RESPONDENT

Docket No.: AAA-003

Applicants : William K. Slate II et al. Docket No.: AAA-003

Application No. : 09/990,402 Confirmation No.: 3669

November 21, 2001

ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000 Sheet 165 of 2 For Agent

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2000-00001 FAILURE TO PAY FOR FIRST SHIPMENT OF GOODS, SECOND DELIVERY SCHEDULED IN TWO WEEKS.

CONTRACT/TRANSACTION ID: 2
NATURE OF DISPUTE: F
RELIEF SOUGHT: \$

BMEYERSON@QWEST.NET

602-248-2999

PHONE: FAX: E-MAIL:

CITY/STATE/ZIP/COUNTRY

PAYMENT INFORMATION'S

CREDIT CARD TYPE: OVISA OMC CAMEX

OPAYMENT BY CREDIT CARD:

CARDHOLDER:

CARD NUMBER: EXPIRATION DATE: / INITIAL FEE:

PAYMENT BY CREDIT CARD

■ INDICATE HERE TO CONFIRM THAT A CHECK FOR THE FILING FEE MADE PAYABLE TO THE AMERICAN ARBITRATION ASSOCIATION WAS MAILED ON TO:

AMERICAN ARBITRATION ASSOCIATION

eCOMMERCE GROUP 13455 NOEL ROAD SUITE 1750

JALLAS, TX 75240-6620

FIG. 160

: William K. Slate II et al. Applicants

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Agent

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: ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT

: Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000

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SITE MAP CONTACT US PRIVACY POLICY LOGOUT

eCg SUBSCRIBER HOME LIBRARY DIRECTORIES MY CASES MY PEER GROUPS MEDIATOR CALENDARS MY ACCOUNT WELCOME PHILIP POH: [PARTNER][USER] ON AAA eCg!

MY CASES > TEST > SUMMARY

[NEUTRAL]

MY CASES

SUMMARY

SUMMARY | ACTIONS | POSTINGS | DOCUMENTS |

NAME: TEST

DESCRIPTION: FAILURE TO DELIVER GOODS AS DEFINED BY THE

TERMS OF THE TRANSACTION.

ABC VS. ZXC CASE TYPE: DOCUMENTS-ONLY ARBITRATION CURRENT STATUS: CLOSED JOHN EMMERT VS. GEORGE G..

DATE FILED: MAY 25, 2001 10:02AM MOCK ARBITRATION

CASE MANAGER(S): ROB ZYLOWSKI CINDY RUMNEY CINDY RUMNEY.

ESQ. MOLLY BARGENQUEST

YOUR STATUS: NEUTRAL

FILED BY: ABC CORPORATION



MOCK MEDIATION

TEST

BACK TO AAA HOME

PARTICIPANTS

CINDY RUMNEY AAA CASE MANAGER CINDY RUMNEY, ESQ. AAA CASE MANAGER ROB ZYLOWSKI RAPIDAPP **NEUTRAL** PHILIP POH PARTNER **NEUTRAL AAA GUEST** AAA RESPONDENT

THE eCg STAT BOX

B2B ONLINE PURCHASING IN THE U.S. WILL GROW FROM 7% TO 40% OF TOTAL ORDERING BY 2004. (SOURCE: BOSTON CONSULTING GROUP)

Application No.: 09/990,402

: William K. Slate II et al.

Confirmation No.: 3669

Docket No.: AAA-003

For Agent : November 21, 2001

: ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT : Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000

CONTACT CASE MANAGER

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SITE MAP CONTACT US PRIVACY POLICY LOGOUT

eCg SUBSCRIBER HOME LIBRARY DIRECTORIES MY CASES MY PEER GROUPS MEDIATOR CALENDARS MY ACCOUNT WELCOME PHILIP POH: [PARTNER][USER] ON AAA eCg!

FILE NOTICE OF APPOINTMENT AND OATH OF THE ARBITRATOR

MY CASES > TEST > ACTIONS

[NEUTRAL]

MY CASES

ACTIONS

SUMMARY | ACTIONS | POSTINGS | DOCUMENTS |

ABC VS. ZXC

JOHN EMMERT VS. GEORGE G.,

MOCK ARBITRATION

MOCK MEDIATION

TEST



BACK TO AAA HOME

THE eCg STAT BOX

B2B ONLINE PURCHASING IN THE U.S. WILL GROW FROM 7% TO 40% OF TOTAL ORDERING BY 2004. (SOURCE: BOSTON CONSULTING GROUP)

: William K. Slate II et al. Applicants

Application No.: 09/990,402

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: November 21, 2001 Filed For

: ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT : Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000 Agent

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Docket No.: AAA-003

eCg SUBSCRIBER HOME LIBRARY DIRECTORIES MY CASES MY PEER GROUPS MEDIATOR CALENDARS MY ACCOUNT WELCOME PHILIP POH: [PARTNER][USER] ON AAA eCg!

MY CASES > TEST > ACTIONS

[NEUTRAL]

MY CASES

ABC VS. ZXC JOHN EMMERT VS. GEORGE G., MOCK ARBITRATION MOCK MEDIATION





BACK TO AAA HOME

THE eCg STAT BOX

B2B ONLINE PURCHASING IN THE U.S. WILL GROW FROM 7% TO 40% OF TOTAL ORDERING BY 2004. (SOURCE: BOSTON CONSULTING GROUP)

SUMMARY | ACTIONS | POSTINGS | DOCUMENTS | ACTIONS > FILE NOTICE OF APPOINTMENT AND OATH OF THE ARBITRATOR TO: PHILIP POH

YOU HAVE BEEN SELECTED TO BE THE ARBITRATOR IN THE ABOVE CASE. IF YOU ARE ABLE TO ACCEPT THIS RESPONSIBILITY, PLEASE COMPLETE AND POST THIS DOCUMENT.

IT IS MOST IMPORTANT THAT THE PARTIES HAVE COMPLETE CONFIDENCE IN THE ARBITRATOR'S IMPARTIALITY. THEREFORE, PLEASE DISCLOSE ANY PAST OR PRESENT RELATIONSHIP WITH THE WITNESSES, PARTIES, OR THEIR COUNSEL, DIRECT OR INDIRECT, WHETHER FINANCIAL, PROFESSIONAL, SOCIAL OR OF ANY OTHER KIND, IF ANY RELATIONSHIP ARISES DURING THE COURSE OF THE ARBITRATION OR IF THERE IS ANY CHANGE AT ANY TIME TO THE BIOGRAPHICAL INFORMATION THAT YOU HAVE PROVIDED TO THE AAA, IT MUST ALSO BE DISCLOSED. ANY DOUBT SHOULD BE RESOLVED IN FAVOR OF DISCLOSURE. IF YOU ARE AWARE OF SUCH A RELATIONSHIP, PLEASE DESCRIBE IT BELOW.

- I HAVE NOTHING TO DISCLOSE.
- OI HEREBY DISCLOSE THE FOLLOWING:

PHILIP POH, BEING DULY SWORN, HEREBY ACCEPTS THIS APPOINTMENT, ATTESTS THAT THE PANEL BIOGRAPHY PROVIDED BY THE AMERICAN ARBITRATION ASSOCIATION IS ACCURATE AND COMPLETE, AND WILL FAITHFULLY AND FAIRLY HEAR AND DECIDE THE MATTERS IN CONTROVERSY BETWEEN THE PARTIES, IN ACCORDANCE WITH THEIR ARBITRATION AGREEMENT, THE CODE ETHICS OF ARBITRATORS IN COMMERCIAL DISPUTES, AND THE RULES OF THE AMERICAN ARBITRATION ASSOCIATION, AND WILL MAKE AN AWARD ACCORDING TO THE BEST OF THE ARBITRATOR'S UNDERSTANDING.

DATED: 10/08/2001

SUBMIT CANCEL

বা

: William K. Slate II et al.

Confirmation No.: 3669

Docket No.: AAA-003

Filed

Agent

Application No.: 09/990,402 : November 21, 2001

For

: ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT : Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000

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SITE MAP CONTACT US PRIVACY POLICY LOGOUT

eCg SUBSCRIBER HOME LIBRARY DIRECTORIES MY CASES MY PEER GROUPS MEDIATOR CALENDARS MY ACCOUNT WELCOME PHILIP POH: [PARTNER][USER] ON AAA eCg!

MY CASES > TEST > POSTINGS

[NEUTRAL]

POSTINGS

SUMMARY | ACTIONS | POSTINGS | DOCUMENTS |

ADD TOPIC

ARBITRATOR

ANSWER I AM AVAILABLE FOR A CONFERENCE CALL ON MAY 27, 2001 BETWEEN THE HOURS

ABC VS. ZXC

JOHN EMMERT VS. GEORGE G.,

MOCK ARBITRATION

MOCK MEDIATION

TEST

POSTED BY: CINDY RUMNEY ON 06/19/01

RESPONDENT

CINDY RUMNEY

ANSWER

I WOULD LIKE TO TAKE THIS OPPORTUNITY TO INTRODUCE MYSELF AS YOUR CASE MANAGER. I CAN BE REACHED AT 1-866-249-7472 DURING THE HOURS OF 8:30 TO 4:30 CENTRAL TIME. YOU CAN ALSO CONTRACT CASE MANAGER UNDER THE ACTIONS TAB TO CONTACT ME.

OF 10:00 A.M. AND 5:00 P.M. CENTRAL TIME. PLEASE ADVISE OF YOUR AVAILABILITY.

POSTED BY: CINDY RUMNEY ON 06/01/01

BACK TO AAA HOME

THE eCg STAT BOX

B2B ONLINE PURCHASING IN THE U.S. WILL GROW FROM 7% TO 40% OF TOTAL ORDERING BY 2004. (SOURCE: BOSTON CONSULTING GROUP)

CINDY RUMNEY

ANSWER THIS WILL ACKNOWLEDGE RECIPT OF A REQUEST FOR ON-CALL MEDIATION FROM THE CLAIMANT. PLEASE REVIEW THE LIST FOR THE SELECTION OF MEDIATORS

UNDER THE ACTIONS TAB. FROM THIS AREA YOU CAN ALSO CHECK THE AVAILABILITY OF ANY OF THE MEDIATORS. PLEASE FOLLOW THE INSTRUCTIONS ON THE FORM. WE ASK THE PARTIES TO SUBMIT THEIR LISTS WITHIN 24 HOURS OF THIS POSTING.

POSTED BY: CINDY RUMNEY ON 06/01/01

: William K. Slate II et al.

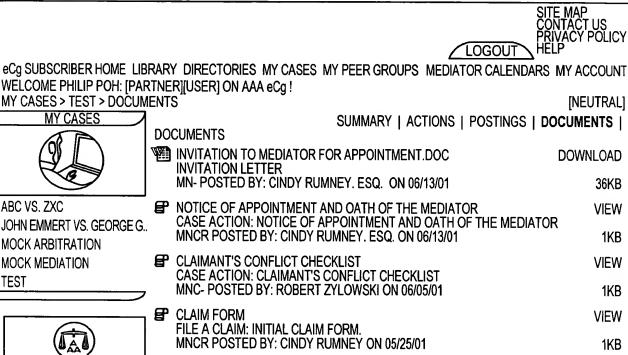
Docket No.: AAA-003

Application No.: 09/990,402 : November 21, 2001 Confirmation No.: 3669

For Agent

: ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT : Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000 Sheet 170 of 216

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BACK TO AAA HOME

THE eCg STAT BOX

B2B ONLINE PURCHASING IN THE U.S. WILL GROW FROM 7% TO 40% OF TOTAL ORDERING BY 2004. (SOURCE: BOSTON CONSULTING GROUP)

: William K. Slate II et al. Applicants

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: November 21, 2001 Filed For

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THE PARTIES TO THIS MATTER HAVE ASKED THAT WE CONTACT YOU TO DETERMINE YOUR AVAILABILITY TO SERVE AS MEDIATOR. THIS CASE HAS BEEN FILED IN ACCORDANCE WITH THE AAA'S MODEL PROCEDURES FOR ON CALL MEDIATION. A COPY OF THESE PROCEDURES CAN BE FOUND IN THE LIBRARY.

THE PARTIES HAVE AGREED TO SCHEDULE A MEDIATION SESSION ON BY CONFERENCE CALL. THE MEDIATOR CALENDAR HAS BEEN MODIFIED TO REFLECT THIS SCHEDULING.

SHOULD YOU DESIRE PRE-MEDIATION POSITION PAPER, PLEASE OUTLINE YOUR REQUIREMENTS IN A POSTING TO THE PARTIES UNDER THE POSTINGS TAB.

PLEASE REVIEW THE FOLLOWING INFORMATION THAT MAY BE FOUND UNDER THE DOCUMENTS TAB: CLAIM FORM, RESPONSE TO CLAIM OR COUNTERCLAIM (IF ANY), AND ANY CONFLICT CHECKLISTS SUBMITTED BY THE PARTIES.

WE ASK THAT YOU COMPLETE AND SUBMIT THE NOTICE OF APPOINTMENT, INCLUDING ANY DISCLOSURES YOU MAY HAVE, WITHIN ONE DAY. THIS FORM CAN BE FOUND UNDER THE ACTIONS TAB.

SHOULD YOU U DECLINE APPOINTMENT, PLEASE RESPOND THROUGH THE CONTACT CASE MANAGER FORM THAT CAN ALSO BE FOUND UNDER THE ACTIONS TAB.

SINCERELY, CASE MANAGER

AAA eCOMMERCE GROUP [WWW.ADR.ORG]

PAGE 1 OF 1

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RELATIONSHIP ARISES DURING THE COURSE OF THE ARBITRATION OR IF THERE IS ANY CHANGE AT ANY TIME TO THE BIOGRAPHICAL INFORMATION THAT YOU HAVE PROVIDED RELATIONSHIP WITH THE WITNESSES, PARTIES, OR THEIR COUNSEL, DIRECT OR INDIRECT, WHETHER FINANCIAL, PROFESSIONAL, SOCIAL OR OF ANY OTHER KIND. IF ANY IT IS MOST IMPORTANT THE PARTIES HAVE COMPLETE CONFIDENCE IN THE ARBITRATOR'S IMPARTIALITY. THEREFORE, PLEASE DISCLOSE ANY PAST OR PRESENT TO THE AAA, IT MUST ALSO BE DISCLOSED. ANY DOUBT SHOULD BE RESOLVED IN FAVOR OF DISCLOSURE. IF YOU ARE AWARE OF SUCH A RELATIONSHIP, PLEASE DESCRIBE IT BELOW

YOU HAVE BEEN SELECTED TO BE THE ARBITRATOR IN THE ABOVE CASE. IF YOU ARE ABLE TO ACCEPT THIS RESPONSIBILITY, PLEASE COMPLETE AND POST THIS

FORM DISPLAY > NOTICE OF APPOINTMENT AND OATH OF THE MEDIATOR

TO: PAUL NICOLAI

DOCUMENT

I HAVE NOTHING TO DISCLOSE

O I HEREBY DISCLOSE THE FOLLOWING:

বা Þ

ASSOCIATION IS ACCURATE AND COMPLETE, AND WILL FAITHFULLY AND FAIRLY HEAR AND DECIDE THE MATTERS IN CONTROVERSY BETWEEN THE PARTIES, IN ACCORDANCE MITH THEIR ARBITRATION AGREEMENT, THE CODE ETHICS OF ARBITRATORS IN COMMERCIAL DISPUTES, AND THE RULES OF THE AMERICAN ARBITRATION ASSOCIATION, AND EINDY RUMNEY, ESQ., BEING DULY SWORN, HEREBY ACCEPTS THIS APPOINTMENT, ATTESTS THAT THE PANEL BIOGRAPHY PROVIDED BY THE AMERICAN ARBITRATION WILL MAKE AN AWARD ACCORDING TO THE BEST OF THE ARBITRATOR'S UNDERSTANDING

DATED: 06/13/0

CLOSE

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FIG. 167

: William K. Slate II et al. Docket No.: AAA-003 Applicants Confirmation No.: 3669

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: November 21, 2001 Filed

: ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT For Agent : Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000

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CLOSE

CITY/STATE/COUNTRY LONDON

COMPANY/AFILIATION THE BEETLES

NAME SAM SMITH

REQUESTED TO LIST THE NAMES OF ALL PERSONS, INCLUDING WITNESSES, FIRMS, COMPANIES, OR OTHER ENTITIES THAT MAY BE INVOLVED IN THIS CASE. IN ORDER TO AVOID CONFLICTS OF INTEREST, THE PARTIES ARE ALSO REQUESTED TO LIST SUBSIDIARY AND OTHER RELATED ENTITIES.

N ORDER TO AVOID THE POSSIBILITY THAT POTENTIAL ARBITRATORS WILL HAVE CONFLICTS OF INTEREST WITH THE PARTIES IN THIS MATTER, YOU ARE

POSTED BY: ROBERT ZYLOWSKI [NEUTRAL] ON 06/05/01

FORM DISPLAY > CLAIMANT'S CONFLICT CHECKLIST

Applicants Application No.:

For

Agent

DOES YOUR AGREEMENT HAVE A CLAUSE PROVIDING FOR ADMINISTRATION BY THE AMERICAN ARBITRATION ASSOCIATION?

FORM DISPLAY > CLAIM FORM

☐ IF NOT, CHECK HERE IF ALL PARTIES AGREE TO SUBMIT THIS CASE TO AAA

CLICK HERE TO REVIEW THESE PROCEDURES

O YES

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CLAIMANT (FILING PARTY)

(PLEASE NOTE THAT IF THERE IS NO AGREEMENT TO USE AMERICAN ARBITRATION ASSOCIATION SERVICES, WE WILL HAVE NO AUTHORITY TO PROCEED WITH THIS MATER UNLESS THE PARTIES AGREE TO SUBMIT THIS CASE TO THE AAA) DOES YOUR AGREEMENT STIPULATE THE USE OF THE AMERICAN ARBITRATION ASSOCIATION SUPPLEMENTARY PROCEDURES FOR ONLINE ARBITRATION? (PLEASE NOTE THAT IF THERE IS NO AGREEMENT TO USE AMERICAN ARBITRATION ASSOCIATION SUPPLEMENTARY PROCEDURES FOR ONLINE

ARBITRATION, WE WILL NOT BE ABLE TO PROCEED WITH THIS CLAIM USING AN ONLINE PROCESS UNLESS THE PARTIES AGREE TO USE THE AAA

☐ IF NOT, CHECK HERE IF ALL PARTIES AGREE TO USE THE AMERICAN ARBITRATION ASSOCIATION SUPPLEMENTARY PROCEDURES FOR ONLINE ARBITRATION

SUPPLEMENTARY PROCEDURES FOR ONLINE ARBITRATION

PLEASE NOTE THAT BY COMPLETING THIS FORM AND SUBMITTING THE CLAIM, YOU HAVE AUTHORIZED THE AMERICAN ARBITRATION ASSOCIATION TO BEGIN **PROCEEDINGS**

DOCUMENTS-ONLY ARBITRATION 05/25/01 RESOLUTION PROCESS: FILING DATE:

ABC CORPORATION COMPANY:

ZYLOWSKI AND ASSOCIATES ROB ZYLOWSKI REPRESENTATIVE: FIRM NAME:

335 MADISON AVE, 10TH FLOOR **NEW YORK, NY 10017** CITY/SATE/ZIP/COUNTRY: ADDRESS:

212-716-5800 212-716-5902 PRONE

RZYLOWSKI@RCN.COM E-MAIL:

XYZ INC. COMPANY

LAW OFFICES OF G. STRATHMANN, P.C. **SERRY STRATHMANN** FIRM NAME: REPRESENTATIVE:

133 FEDERAL STREET, 10TH FLOOR ADDRESS:

BOSTON, MA 02110

RESPONDENT

Filed November 21, 2001 ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT For Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000 Agent 23 175/216 POSTED ON: 06/20/01 ONCE YOU JOIN A NEW PEER GROUP YOU WILL NEED TO CLICK AGAIN ON THE 'MY PEER GROUP' TAB TO REFRESH YOUR TO PEER GROUPS DISPLAYED ON THE LEFT MENU BAR. TO PARTICIPATE IN A PEER GROUP, GO TO THE 'JOIN A PEER GROUP' SECTION AND SELECT THE PEER GROUP THAT YOU HERE YOU CAN DISCUSS AREAS OF INTEREST THAT ARE COMMON TO YOUR PEERS AND COLLEAGUES. THINK THROUGH 0907 8 DIFFICULT ISSUES, PROPOSE OUT-OF-THE-BOX SOLUTIONS, STAY ON TOP OF INDUSTRY TRENDS. ecg subscriber home Library Directories my cases my peer groups mediator calendars my account 226 **2**080 9305 -9300 **7** 916 WELCOME PHILIP POH: IPARTNER][USER] ON AAA eCg! < ___ 902 96 ABOUT MY PEER GROUPS ABOUT MY PEER GROUPS MY PEER GROUPS > ABOUT PEER GROUPS <_ 909 **7** 914 ARE INTERESTED IN. 912 9310 32B ONLINE PURCHASING IN HE U.S. WILL GROW FROM **7 BACK TO AAA HOME** REQUEST A NEW GROUP MY PEER GROUPS ORDERING BY 2004 (SOURCE: BOSTON 'HE eCg STAT BO) **JISPLAY PEER GROUPS** 7% TO 40% OF TOTAL ABOUT PEER GROUPS OIN A PEER GROUP 9304

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Application No.:

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ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000

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FIG. 171

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APPLIED TO SUCCESSFULLY EXPLORE, DISCUSS AND EXCHANGE INSIGHTS AND KNOW-HOW AMONG EACH GROUP. QUESTIONS AND ANSWERS, SUPPORTING MATERIALS AND ELECTRONIC EVENTS WILL FACILITATE THE EXCHANGE AND DEVELOPMENT OF SEARCH BECAUSE PARTICIPATION IS LIMITED TO AAA ECOMMERCE GROUP SUBSCRIBERS, YOU MAY SHARE YOUR EXPERIENCES IN AN DPEN, NON-THREATENING ENVIRONMENT, INCREASING THE OPPORTUNITY FOR ALL PARTICIPANTS TO GAIN SHORT CUTS AND V.4.4.5 PEER GROUPS ARE GATHERINGS OF B2B eCOMMERCE PEERS WHO WISH TO COLLABORATE AND SHARE KNOW-HOW ON SPECIFIC ISSUES WITHIN VARIOUS AREAS OF THEIR FIELD. A PEER GROUP IS MANAGED BY A PEER GROUP COORDINATOR TO ENSURE THAT THE APPROPRIATE METHODOLOGY IS . | | | | | eCg SUBSCRIBER HOME LIBRARY DIRECTORIES MY CASES MY PEER GROUPS MEDIATOR CALENDARS MY ACCOUNT CORPORATE COMMUNICATIONS TEST **7** 9402 **TEST FROM MAC** OTHER CRITICAL KNOW-HOW FROM THEIR PEERS INFORMATION ON A PARTICULAR ISSUE COMMUNICATIONS TEST GROUP 19404 AVAILABLE PEER GROUP(S) LIBRARY RELATED * WELCOME PHILIP POH: [PARTNER][USER] ON AAA eCg ! MY PEER GROUPS > JOIN A PEER GROUP JOIN A PEER GROUP TEST ~ 9408 B2B ONLINE PURCHASING IN HE U.S. WILL GROW FROM (SOURCE: BOSTON CONSULTING GROUP) BACK TO AAA HOME REQUEST A NEW GROUP MY PEER GROUPS THE eCg STAT BOX **DISPLAY PEER GROUPS** 7% TO 40% OF TOTAL ORDERING BY 2004. ABOUT PEER GROUPS JOIN A PEER GROUP

Filed

Agent

For

Application No.: 09/990,402

:

: William K. Slate II et al. November 21, 2001

Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000

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_00901

9200

JOIN A PEER GROUP > COMMUNICATIONS TEST GROUP

MY PEER GROUPS

eCg SUBSCRIBER HOME LIBRARY DIRECTORIES MY CASES MY PEER GROUPS MEDIATOR CALENDARS MY ACCOUNT

WELCOME PHILIP POH: [PARTNER][USER] ON AAA eCg! MY PEER GROUPS > JOIN A PEER GROUP

NAME: COMMUNICATIONS TEST GROUP DESCRIPTION: CORPORATE COMMUNICATIONS TEST

COMPANY: AAA

TYPE: PUBLIC GATEGORY: SPEC TEAM

ABOUT PEER GROUPS JOIN A PEER GROUP

MAX. ALLOWED #: 20 PARTICIPANTS#:

COORDINATOR: KERSTEN NORLIN CREATION DATE: JULY 10, 2001 15.23 USED SPACE: 0KB (0%)

REQUEST A NEW GROUP

DISPLAY PEER GROUPS

BACK TO AAA HOME

B2B ONLINE PURCHASING IN THE U.S. WILL GROW FROM 7% TO 40% OF TOTAL ORDERING BY 2004. (SOURCE: BOSTON CONSULTING GROUP) THE eCg STAT BOX

Docket No.: AAA-003 Applicants : William K. Slate II et al. Confirmation No.: 3669

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For

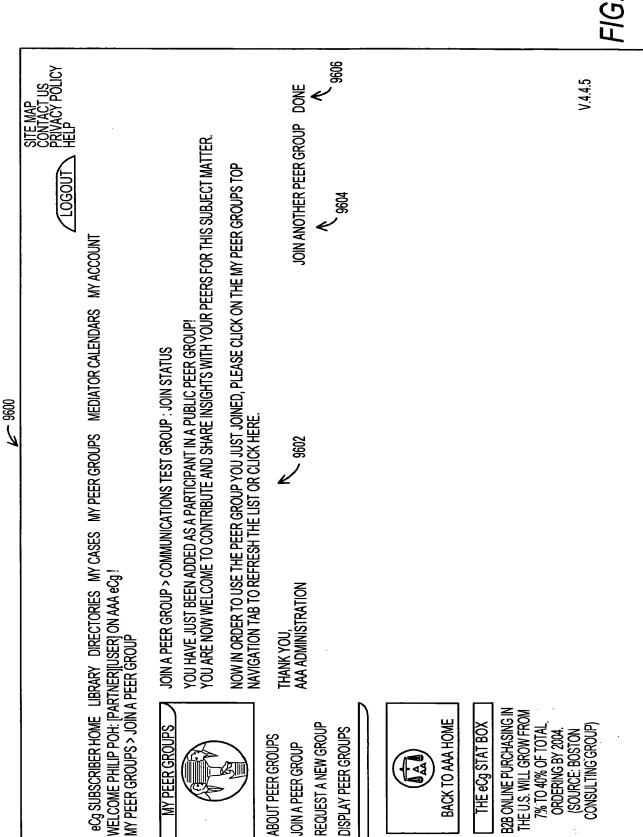
Agent

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ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000

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FIG. 173



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POSTED ON: 06/20/01

V.4.4.5

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eCg SUBSCRIBER HOME LIBRARY DIRECTORIES MY CASES MY PEER GROUPS MEDIATOR CALENDARS MY ACCOUNT

MY PEER GROUPS > ABOUT PEER GROUPS

WELCOME PHILIP POH: [PARTNER][USER] ON AAA eCg!

ABOUT MY PEER GROUPS ABOUT MY PEER GROUPS

MY PEER GROUPS

HERE YOU CAN DISCUSS AREAS OF INTEREST THAT ARE COMMON TO YOUR PEERS AND COLLEAGUES. THINK THROUGH DIFFICULT ISSUES, PROPOSE OUT-OF-THE-BOX SOLUTIONS, STAY ON TOP OF INDUSTRY TRENDS.

TO PARTICIPATE IN A PEER GROUP, GO TO THE 'JOIN A PEER GROUP' SECTION AND SELECT THE PEER GROUP THAT YOU ARE

INTERESTED IN ABOUT PEER GROUPS COMMUNICATION TEST GROUP ONCE YOU JOIN A NEW PEER GROUP YOU WILL NEED TO CLICK AGAIN ON THE 'MY PEER GROUP' TAB TO REFRESH YOUR LIST

JOIN A PEER GROUP R 9702 OF PEER GROUPS DISPLAYED ON THE LEFT MENU BAR.

REQUEST A NEW GROUP **ISPLAY PEER GROUPS**

BACK TO AAA HOME

B2B ONLINE PURCHASING IN HE U.S. WILL GROW FROM THE eCg STAT BOX

CONSULTING GROUP ORDERING BY 2004 SOURCE: BOSTON

7% TO 40% OF TOTAL

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PEER GROUP USER PEER GROUP HOME | PEER GROUP PROFILE | SEARCH PEER GROUP | MY PREFERENCES V.4.4.5 COMPANY AAA PARTNER _00001 eCg SUBSCRIBER HOME LIBRARY DIRECTORIES MY CASES MY PEER GROUPS MEDIATOR CALENDARS MY ACCOUNT 開発 086 NORLINK@ADR.ORG PPOH@FISHNEAVE.COM E-MAIL ADDRESS MESSAGES FROM PEER GROUP COORDINATOR WELCOME PHILIP POH: [PARTNER][USER] ON AAA eCg! PEER GROUP MEMBERS MY PEER GROUPS > COMMUNICATIONS TEST GROUP PEER GROUP EVENTS NAME KERSTEN NORLIN PHILIP POH NONE AVAILABLE. NONE AVAILABLE. B2B ONLINE PURCHASING IN THE U.S. WILL GROW FROM 7% TO 40% OF TOTAL ABOUT THIS PEER GROUPS (SOURCE: BOSTON CONSULTING GROUP) **BACK TO AAA HOME** THE eCg STAT BOX MY PEER GROUPS ORDERING BY 2004. NO TOPICS AVAILABLE.

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: William K. Slate II et al.

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FIG. 176

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PEER GROUP USER PEER GROUP HOME | PEER GROUP PROFILE | SEARCH PEER GROUP | MY PREFERENCES V.4.4.5 700001 eCg SUBSCRIBER HOME LIBRARY DIRECTORIES MY CASES MY PEER GROUPS MEDIATOR CALENDARS MY ACCOUNT DESCRIPTION: CORPORATE COMMUNICATIONS TEST NAME: COMMUNICATIONS TEST GROUP COORDINATOR: KERSTEN NORLIN CREATION DATE: JULY 10, 2001 15:23 USED SPACE: 0KB (0%) ALLOCATED SPACE: 10MB TYPE: PUBLIC CATEGORY: SPEC TEAM COMPANY: AAA MAX. ALLOWED #: 20 WELCOME PHILIP POH: [PARTNER][USER] ON AAA eCg! PEER GROUP SUMMARY MY PEER GROUPS > COMMUNICATIONS TEST GROUP **OVERVIEW OF TOPICS** THE U.S. WILL GROW FROM 7% TO 40% OF TOTAL ORDERING BY 2004. (SOURCE: BOSTON CONSULTING GROUP) B2B ONLINE PURCHASING IN ABOUT THIS PEER GROUPS BACK TO AAA HOME THE eCq STAT BOX MY PEER GROUPS NO TOPICS AVAILABLE.

: William K. Slate II et al. Applicants

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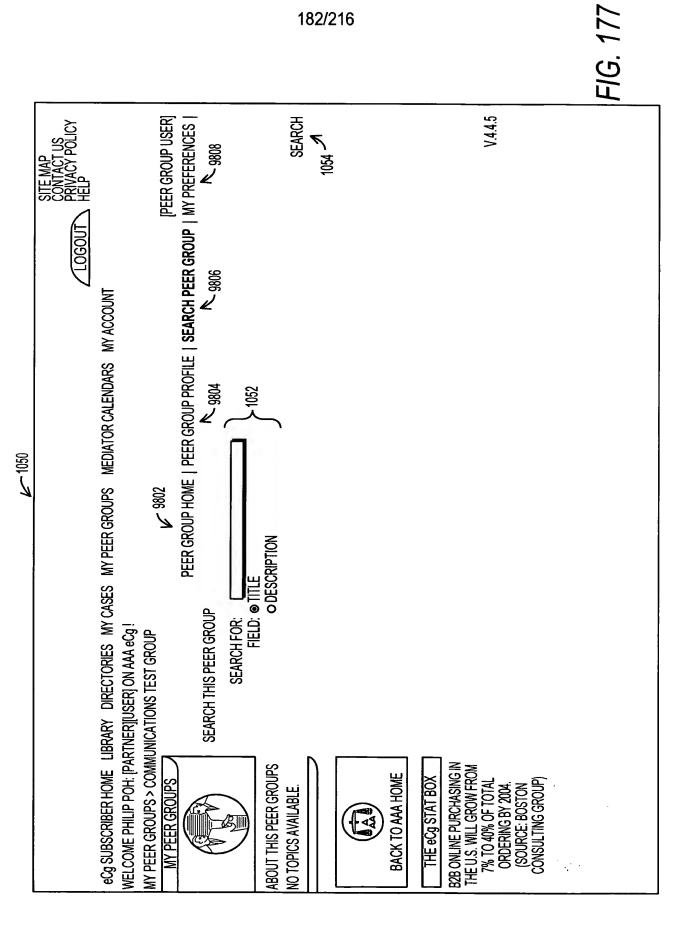
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FIG. 178

V.4.4.5 PEER GROUP USER] PEER GROUP HOME | PEER GROUP PROFILE | SEARCH PEER GROUP | MY PREFERENCES eCg SUBSCRIBER HOME LIBRARY DIRECTORIES MY CASES MY PEER GROUPS MEDIATOR CALENDARS MY ACCOUNT - 1150 WHEN NEW SUPPORTING MATERIAL IN POSTED: WHEN NEW EVENTS ARE ADDED TO SCHEDULE: WHEN NEW MESSAGES ARE POSTED: PREFERENCES FOR THIS PEER GROUP SEND ME EMAIL NOTIFICATION: WELCOME PHILIP POH: [PARTNER][USER] ON AAA eCg! MY PEER GROUPS > COMMUNICATIONS TEST GROUP **B2B ONLINE PURCHASING IN** ABOUT THIS PEER GROUPS THE U.S. WILL GROW FROM 7% TO 40% OF TOTAL **BACK TO AAA HOME** THE eCq STAT BOX MY PEER GROUPS ORDERING BY 2004 NO TOPICS AVAILABLE.

Applicants : William K. Slate II et al.

09/990,402 Confirmation No.: 3669

Application No.: 09/990,402 Filed: November 21, 2001

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G. 179

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7.4.4.5 THIS FORM ALLOWS YOU TO REQUEST THE CREATION OF A NEW PEER GROUP FROM YOUR PORTAL ADMINISTRATOR WITHIN 24 HOURS. APPLY ecg subscriber home library directories my cases my peer groups mediator calendars my account PLEASE WRITE A SHORT DESCRIPTION OF THIS PEER GROUP, INCLUDING ITS PURPOSE. . WHAT WOULD YOU LIKE TO CALL YOUR NEW PEER GROUP? 1250 REQUEST A NEW PEER GROUP WIZARD WELCOME PHILIP POH: [PARTNER][USER] ON AAA eCg! MY PEER GROUPS > REQUEST A NEW GROUP 9310 B2B ONLINE PURCHASING IN THE U.S. WILL GROW FROM 7% TO 40% OF TOTAL REQUEST A NEW GROUP ' BACK TO AAA HOME ORDERING BY 2004. (SOURCE: BOSTON CONSULTING GROUP) MY PEER GROUPS THE eCg STAT BOX **DISPLAY PEER GROUPS ABOUT PEER GROUPS** JOIN A PEER GROUP **.IBRARY RELATED**

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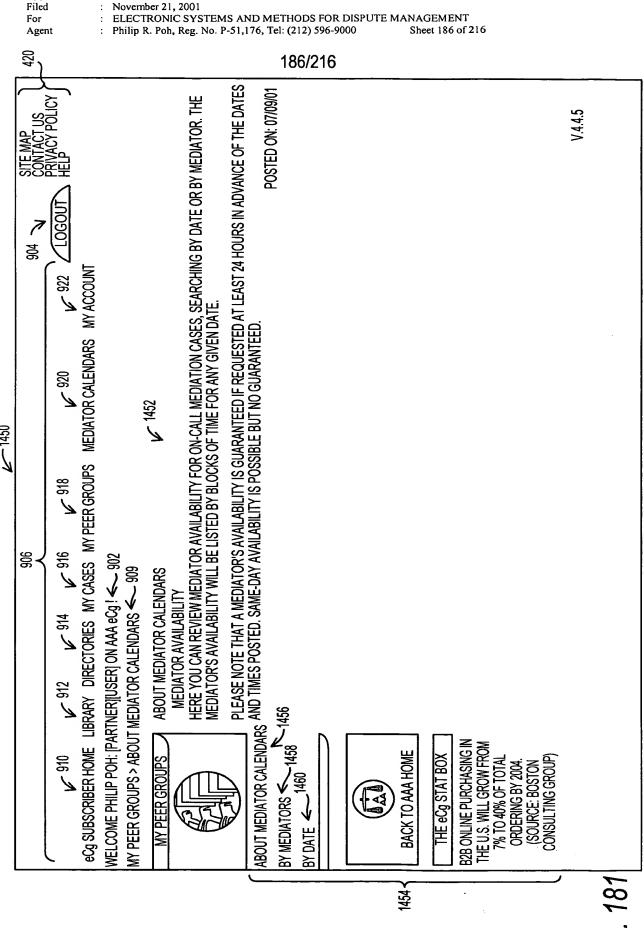
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V.4.4.5 **APPLY** IF FOR ANY REASON YOU WOULD LIKE TO HIDE A PEER GROUP TITLE BEING DISPLAYED, YOU MAY DE-SELECT IT BELOW. YOU <u>100901</u> ALWAYS HAVE THE ABILITY TO RETURN AND SELECT IT TO BE DISPLAYED AGAIN AT A LATER TIME eCg SUBSCRIBER HOME LIBRARY DIRECTORIES MY CASES MY PEER GROUPS MEDIATOR CALENDARS MY ACCOUNT WELCOME PHILIP POH: [PARTNER][USER] ON AAA eCg! PEER GROUPS DISPLAY 9312 THE U.S. WILL GROW FROM 7% TO 40% OF TOTAL ORDERING BY 2004. **B2B ONLINE PURCHASING IN BACK TO AAA HOME** REQUEST A NEW GROUP THE eCg STAT BOX MY PEER GROUPS CONSULTING GROUP **JISPLAY PEER GROUPS** ABOUT PEER GROUPS JOIN A PEER GROUP MY PEER GROUPS



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For

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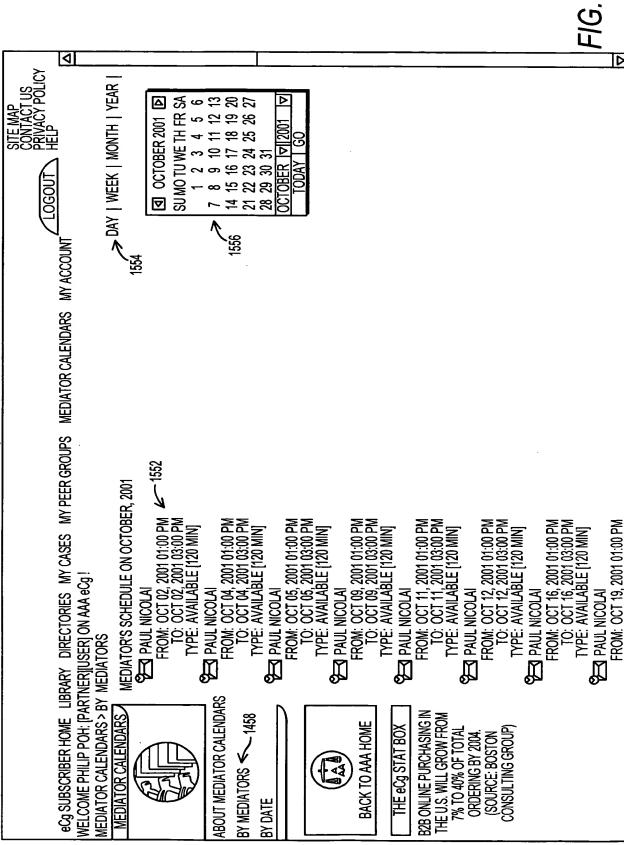
Confirmation No.: 3669

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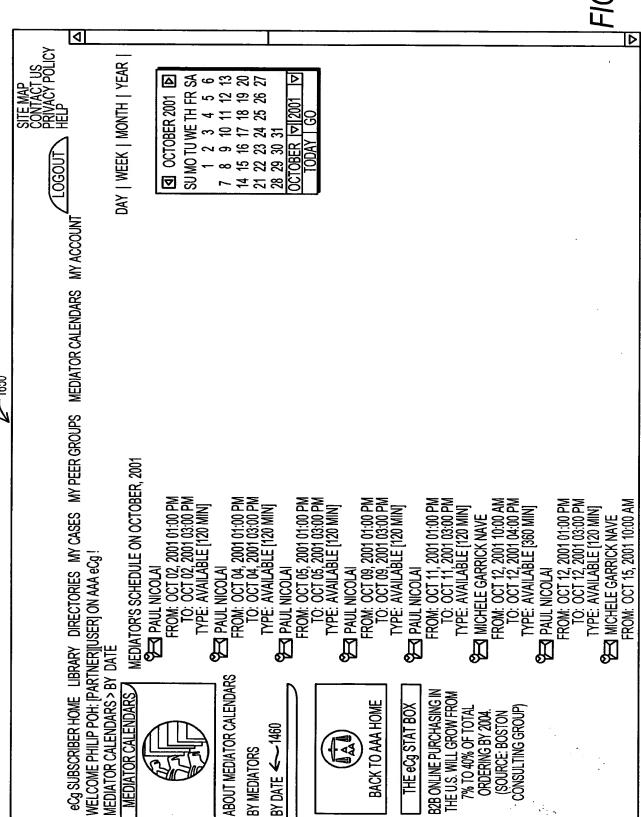
November 21, 2001

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For : ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT

Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000 Sheet 189 of 216 Agent 189/216 4 8 eca subscriber home library directories my cases my peer groups mediator calendars my account **1** 925 **III** MY INTEREST MY BACKGROUND&INTERESTS ARE IN (SELECT AS MANY AS APPLICABLE) DISPUTE CONTAINMENT ☑ ☑ DISPUTE ASSESSMENT ☑ ☑ DISPUTE RESOLUTION ☑ ☑ DISPUTE PREVENTION **2**000 INY BACKGROUND SPECIAL INTERESTS COLOR CODES 918 HOW MANY YEARS I PRESENT DISCIPLINE: 1. COMPANY SPONSORING MY ACCOUNT: PARTNER 906 WELCOME PHILIP POH: [PARTNER][USER] ON AAA eCg! < ← 902 E PHARMACEUTICALS 2. LOGIN/E-MAIL ADDRESS: PPOH@FISHNEAVE.COM 6. DEPARTMENT/DIVISION 914 5. POSITION PROFILE **CI CI AUTOMOTIVE** ☐ ☐ TECHNOLOGY **INSURANCE** ☑ ☑ CHEMICAL ☑ EINANCE PROFILE FORM 3. FIRST NAME 4. LAST NAME: **区区**OTHER INDUSTRIES MY ACCOUNT > PROFILE FORM < 909 **1** 912 1758 GLOBAL SETTINGS ← 1760 B2B ONLINE PURCHASING IN THE U.S. WILL GROW FROM 7% TO 40% OF TOTAL **7 BACK TO AAA HOME** PROFILE FORM 4-1756 CHANGE PASSWORD 4 THE eCg STAT BOX CONSULTING GROUP ORDERING BY 2004. SOURCE: BOSTON MY ACCOUN

1754

Applicants Application No.:

Filed

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For

09/990,402

: William K. Slate II et al.

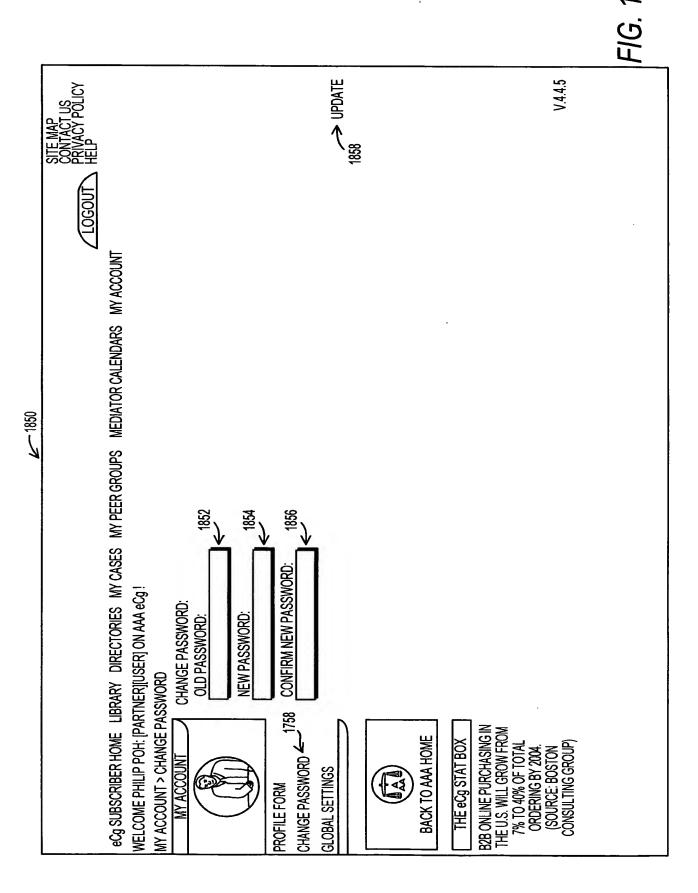
Confirmation No.: 3669

November 21, 2001 :

ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000

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: William K. Slate II et al.

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eCg SUBSCRIBER HOME LIBRARY DIRECTORIES MY CASES MY PEER GROUPS MEDIATOR CALENDARS MY ACCOUNT 1950 GLOBAL SETTINGS FOR MY eCg ACCOUNT WELCOME PHILIP POH: [PARTNER][USER] ON AAA eCg! MY ACCOUNT > GLOBAL SETTINGS B2B ONLINE PURCHASING IN THE U.S. WILL GROW FROM 7% TO 40% OF TOTAL ORDERING BY 2004. (SOURCE: BOSTON **BACK TO AAA HOME** THE eCg STAT BOX CHANGE PASSWORD GLOBAL SETTINGS PROFILE FORM

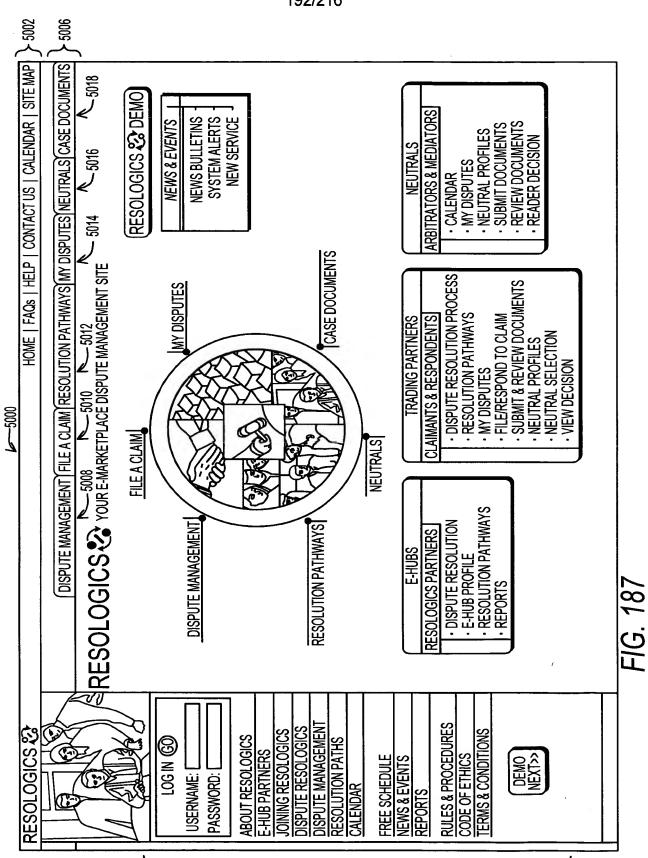


Docket No.: AAA-003 Applicants : William K. Slate II et al. Confirmation No.: 3669

Application No.: 09/990,402

November 21, 2001 Filed

ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT For Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000 Sheet 192 of 216 Agent



Applicants : William K. Slate II et al.
Application No. : 09/990,402
Filed : November 1 Confirmation No.: 3669

: November 21, 2001
: ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT
: Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000 Sheet 193 of 2 For Sheet 193 of 216 Agent

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Docket No.: AAA-003

| | | | | | | | | | _ | | |
|------|---|---|--|---|--|---|---|-----------------------------|---|--|--|
| 5030 | HOME FAQS HELP CONTACT US CALENDAR SITE MAP | DISPUTE MANAGEMENT FILE A CLAIM RESOLUTION PATHWAYS MY DISPUTES NEUTRALS CASE DOCUMENTS | RESOLOGICS 🗞 YOUR E-MARKETPLACE DISPUTE MANAGEMENT SITE | DISPUTE MANAGEMENT > E-HUB DISPUTE MANAGEMENT PROFILE | THE <i>eMARKETPLACE</i> DISPUTE MANAGEMENT PROFILE | AS PARTY OF ITS TERMS AND CONDITIONS FOR PARTICIPATION IN ITS eCOMMERCE SITE, eMARKETPLACE REQUIRES THAT PARTICIPANTS RESOLVE THEIR DISPUTES THROUGH RESOLOGICS' DISPUTE MANAGEMENT SYSTEM. (SEE COMPLETE TERMS AND CONDITIONS). DISPUTES ARE SENT TO VARYING DISPUTE RESOLUTION PATHWAYS, OR SMARTPATHS, BASED ON SEVERAL CHARACTERISTICS OF THE DISPUTE AND THE RELATIONSHIP BETWEEN THE PARTIES. THESE CHARACTERISTICS ARE AS FOLLOWS: | SIZE AND COMPLEXITY OF THE DISPUTE ■ A DISPUTE IN WHICH NO CLAIM EXCEEDS \$100,000 IS CONSIDERED A SMALL DISPUTE. ■ A DISPUTE IN WHICH THE CLAIMS RANGE BETWEEN \$100,000 AND \$1,000,000 IS CONSIDERED A MEDIUM DISPUTE. | · · | MATURE OF DAMAGES DISPUTES CAN HAVE EITHER CLAIMS WITH ONGOING OR ACCRUING DAMAGES OR CLAIMS WITH STATIC DAMAGES (EXCLUSIVE OF INTEREST). | NATURE OF THE DISPUTE DISPUTES CAN EITHER BE ABOUT BUSINESS RELATIONSHIPS (MULTIPLE TRANSACTIONS OR A LONG-TERM CONTRACT) OR A SINGLE TRANSACTION. | USING THE ABOVE CRITERIA AND INPUT PROVIDED BY THE PARTIES ONCE A DISPUTE HAS ARISEN, DIFFERENT BINDING AND /OR NON-BINDING PROCESSES ARE SELECTED FOR INCLUSION IN THE SMARTPATH TO RESOLUTION FOLLOWS: |
| | RESOLOGICS 🕸 | (A) (B) | The state of the s | NSO | USERNAME: | ABOUT RESOLOGICS E-HUB PARTNERS JOINING RESOLOGICS DISPUTE MANAGEMENT RESOLUTION PATHS | CALENDAR FREE SCHEDULE NEWS & EVENTS | REPORTS PILITER & PROCENIES | CODE OF ETHICS TERMS & CONDITIONS | DEMO NEXT>> | |

Applicants

Filed

Agent

For

Application No.:

: William K. Slate II et al.

Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000

09/990,402 November 21, 2001

Confirmation No.: 3669

ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT

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| TO VARYING DISPUTE RESOLUTION PATHWAYS, OR SMARTPATHS, BASED ON SEVERAL CHARACTERISTICS OF THE DISPUTE AND THE RELATIONSHIP BETWEEN THE PARTIES. | REHUB DISPUTE MANAGEMENT PROFILE BELOW: | INDICATE HOW YOU DEFINE THE SIZE AND COMPLEXITY OF YOUR DISPUTES, AND WHETHER A BINDING STEP IS NEEDED. | COMPLEXITY: SELECT ONE To BINDING STEP NEEDED | S/COMPLEXITY: SELECT ONE The state of the state o | DMPLEXITY: — SELECT ONE ▼ | CANCEL SUBMIT | 7.6.7 |
|--|--|---|---|--|-----------------------------------|---------------|-------|
| O VARYING DISPUTE RESOLUTION PATHWAYS, OR SMART DISPUTE AND THE RELATIONSHIP BETWEEN THE PARTIES. | ESTABLISH YOUR E-HUB DISPUTE MANAGEMENT PROFILE BELOW: | INDICATE HOW YOU DEFINE THE SIZE AND COMPLEXIT STEP IS NEEDED. | SMALL VALUE/COMPLEXITY: SELECT ONE | MEDIUM VALUE/COMPLEXITY: SELECT ONE | HIGH VALUE/COMPLEXITY: SELECT ONE | | |
| CALENDAR | FREE SCHEDULE NEWS & EVENTS | URES | TERMS & CONDITIONS | NEXT> | - | | |

5035

RESOLOGICS 22

HOME | FAQs | HELP | CONTACT US | CALENDAR | SITE MAP

DISPUTE MANAGEMENT FILE A CLAIM RESOLUTION PATHWAYS MY DISPUTES NEUTRALS CASE DOCUMENTS

DISPUTE MANAGEMENT > CREATE E-HUB DISPUTE MANAGEMENT PROFILE

RESOLOGICS YOUR E-MARKETPLACE DISPUTE MANAGEMENT SITE

DISPUTE MANAGEMENT PROFILE FOR EMARKETPLACE

SPUTE MANAGEMENT

JOINING RESOLOGICS

ABOUT RESOLOGICS E-HUB PARTNERS

: William K. Slate II et al. Applicants Application No.:

09/990,402

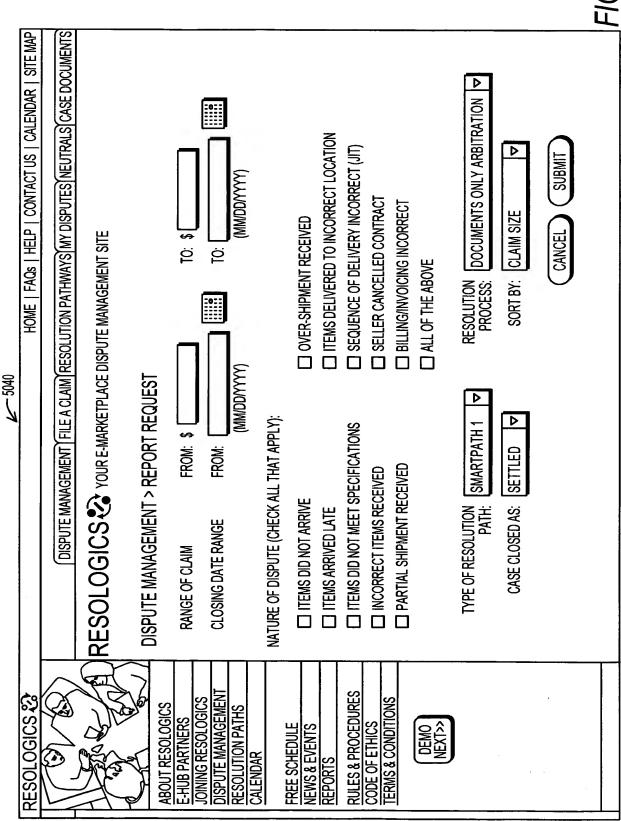
Confirmation No.: 3669

November 21, 2001

ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT For Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000 Agent

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Application No.: 09/990,402

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For : ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT
Agent : Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000 Sheet 196 of 216

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| 44 DAYS 8 DAYS | 36 DAYS 22 DAYS | 2 DAYS | 197 DAYS | 24 DAYS | 16 DAYS | 27 DAYS | 39 DAYS | |
|-----------------------------------|--|---|--|---|--|--|--|--|
| S IS | ₩ | ⋈ | ₩ | ¥ | 띴 | ≸ | SET | |
| DOA | RTP MED DOA | DOA | RTP ARB | DOA | OCM | DOA | RTP MED | |
| DOA OCM, RTP ARB | RTP MED DOA | DOA | RTP MED, RTP ARB | DOA | OCM, RTP ARB | DOA | RTP MED, DOA | |
| LATE DELIVERY REFUSED SHIPMENT | ITEMS DAMAGED LATE DELIVERY | LATE DELIVERY | OVERSHIPMENT | OTHER | INCORRECT DEL SEQ (JIT) | LATE PAYMENT | INCORRECT ITEMS REC'D | |
| \$9,678 \$1,009,800 | \$104,998 \$29,688 | \$19,811 | \$442,791 | \$18,789 | \$334,991 | \$1,998 | \$84,208 | 4 |
| 04/04/2001 | 04/12/2001 04/14/2001 | 04/17/2001 | 04/17/2001 | 04/24/2001 | 04/26/2001 | 04/27/2001 | 04/29/2001 | |
| | \$9,678 LATE DELIVERY DOA DOA AWI \$1,009,800 REFUSED SHIPMENT OCM, RTP ARB OCM SET | \$9,678 LATE DELIVERY DOA DOA AWW ST,009,800 REFUSED SHIPMENT OCM, RTP ARB OCM SET \$1,004,998 ITEMS DAMAGED RTP MED RTP MED DOA DOA AWW \$29,688 LATE DELIVERY DOA AWW | \$9,678 LATE DELIVERY DOA DOA SET ST,009,800 REFUSED SHIPMENT OCM, RTP ARB OCM SET SET ST04,998 ITEMS DAMAGED RTP MED RTP MED DOA BOA AWW \$19,688 LATE DELIVERY DOA DOA WIT | \$9,678 LATE DELIVERY DOA AW/ \$1,009,800 REFUSED SHIPMENT OCM, RTP ARB OCM SET \$104,998 ITEMS DAMAGED RTP MED RTP MED IMP \$29,688 LATE DELIVERY DOA DOA AW/ \$19,811 LATE DELIVERY DOA WIT \$442,791 OVERSHIPMENT RTP MED, RTP ARB RTP ARB AW/ | \$9,678 LATE DELIVERY DOA DOA AW/I \$1,009,800 REFUSED SHIPMENT OCM, RTP ARB OCM SET \$104,998 ITEMS DAMAGED RTP MED RTP MED IMP \$29,688 LATE DELIVERY DOA DOA AW/I \$19,811 LATE DELIVERY DOA WIT \$442,791 OVERSHIPMENT RTP MED, RTP ARB RTP ARB AW/I \$18,789 OTHER DOA AW/I | \$9,678 LATE DELIVERY DOA DOA AWW \$1,009,800 REFUSED SHIPMENT OCM, RTP ARB OCM SET \$104,998 ITEMS DAMAGED RTP MED RTP MED IMP, \$29,688 LATE DELIVERY DOA DOA AWW \$19,811 LATE DELIVERY DOA DOA WITT \$442,791 OVERSHIPMENT RTP MED, RTP ARB RTP ARB AWW \$18,789 OTHER DOA S334,991 INCORRECT DEL SEQ (JIT) OCM, RTP ARB OCM SET | \$9,678 LATE DELIVERY DOA DOA AWW \$1,009,800 REFUSED SHIPMENT OCM, RTP ARB OCM \$104,998 ITEMS DAMAGED RTP MED RTP MED IMP, \$29,688 LATE DELIVERY DOA DOA AWW \$19,811 LATE DELIVERY DOA DOA WITT \$442,791 OVERSHIPMENT RTP MED, RTP ARB RTP ARB AWW \$18,789 OTHER DOA BOA AWW \$334,991 INCORRECT DEL SEQ (JIT) OCM, RTP ARB OCM SET \$1,998 LATE PAYMENT DOA AWW | 04/04/2001 \$9,678 LATE DELIVERY DOA DOA AWARDED 44 DAYS 04/05/2001 \$1,009,800 REFUSED SHIPMENT OCM, RTP ARB OCM SETTLED 8 DAYS 04/12/2001 \$104,998 ITEMS DAMAGED RTP MED RTP MED RTP MED RTP MED BOA AWARDED 22 DAYS 04/17/2001 \$19,811 LATE DELIVERY DOA DOA WITHDRAWN 2 DAYS 04/17/2001 \$19,811 LATE DELIVERY DOA DOA WITHDRAWN 2 DAYS 04/17/2001 \$19,811 LATE DELIVERY DOA AWARDED 24 DAYS 04/17/2001 \$18,789 OTHER DOA AWARDED 24 DAYS 04/26/2001 \$13,998 LATE PAYMENT DOA AWARDED 27 DAYS 04/29/2001 \$4,208 INCORRECT ITEMS REC'D RTP MED, DOA AWARDED 27 DAYS 04/29/2001 \$4,208 INCORRECT ITEMS REC'D RTP MED, DOA AWARDED 39 DAYS |

DEMO NEXT>>

FIG. 191

E-HUB REPORTS - REPORT REQUEST

CLOSED CASE REPORT: eMARKETPLACE - APRIL 2001

Applicants

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For

Application No.:

:

: William K. Slate II et al.

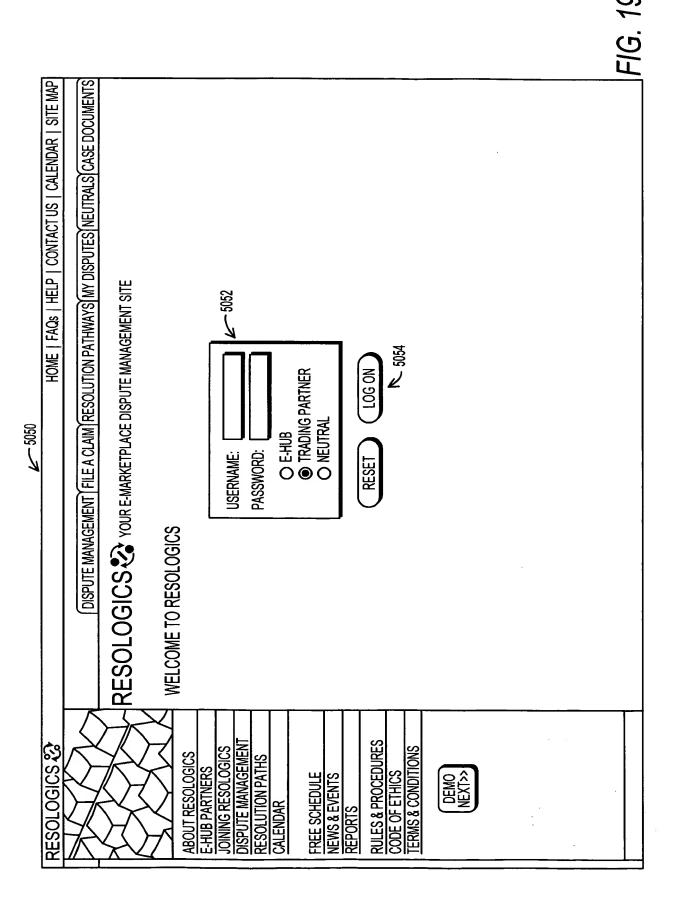
Confirmation No.: 3669

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ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000

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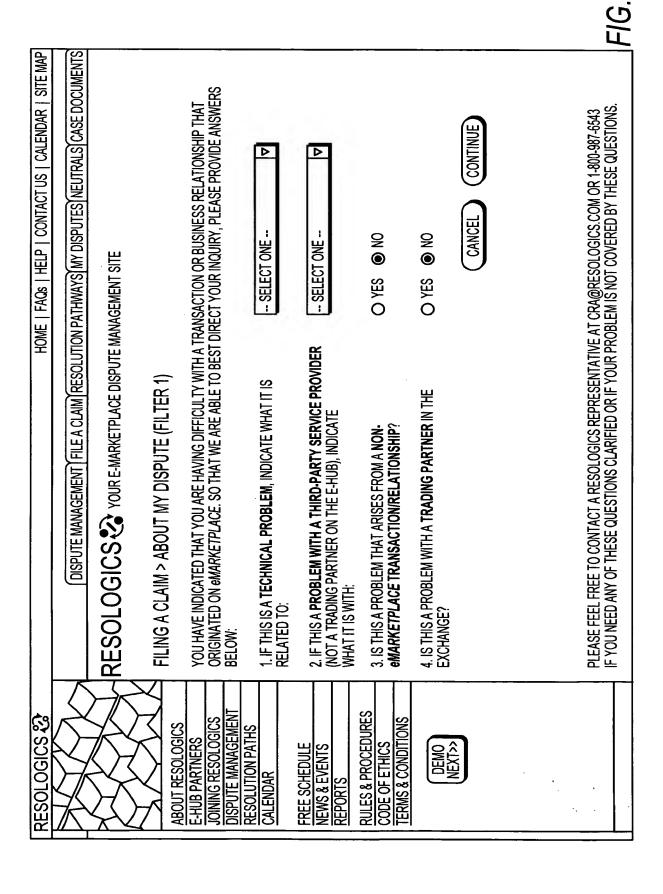
Application No.: 09/990,402 Filed

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ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000

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09/990,402

: William K. Slate II et al.

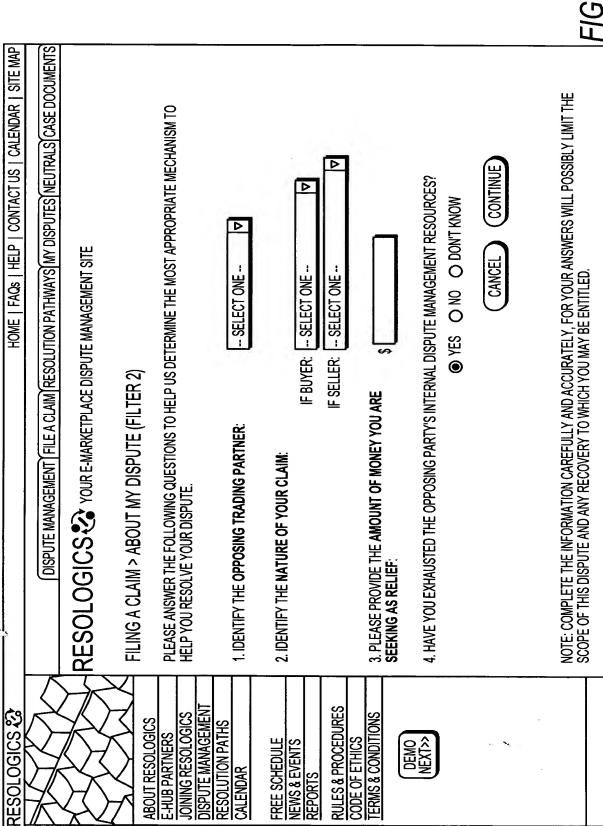
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Applicants : William K. Slate II et al.
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|---|---|---|--|---|---|---|--------------------------|--------------------|---|----------------|---|-----------------|---|---|
| HOME FAQS HELP CONTACT US CALENDAR SITE MAP | DISPUTE MANAGEMENT FILE A CLAIM RESOLUTION PATHWAYS MY DISPUTES NEUTRALS CASE DOCUMENTS | RESOLOGICS YOUR E-MARKETPLACE DISPUTE MANAGEMENT SITE | FILING A CLAIM > ABOUT MY DISPUTE (FILTER 2) | PLEASE ANSWER THE FOLLOWING QUESTIONS TO HELP US DETERMINE THE MOST APPROPRIATE MECHANISM TO HELP YOU RESOLVE YOUR DISPUTE. | 5. IS THIS DISPUTE SPECIFIC TO ONE TRANSACTION OR DOES IT ARISE FROM THE GENERAL BUSINESS RELATIONSHIP? | ONE TRANSACTION O GENERAL BUSINESS RELATIONSHIP | E DAMA | © YES O NO KAIE: | 7. DOES THE DISPUTE ARISE OUT OF A LONG-TERM CONTRACT OR A ONE-TIME PURCHASE? © LONG TERM O ONE-TIME | | | CANCEL CONTINUE | NOTE: COMPLETE THE INFORMATION CAREFULLY AND ACCURATELY, FOR YOUR ANSWERS WILL POSSIBLY LIMIT THE SCOPE OF THIS DISPLITE AND ANY RECOVERY TO WHICH YOU MAY BE ENTITLED. | |
| RESOLOGICS & | | | ABOUT RESOLOGICS | E-HUB PARTNERS JOINING RESOLOGICS | DISPUTE MANAGEMENT RESOLUTION PATHS CALENDAR | FREE SCHEDULE | NEWS & EVENTS REPORTS | RULES & PROCEDURES | CODE OF ETHICS TERMS & CONDITIONS | DEMO NEXT>> | · | | | |

For Agent

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Applicants : William K. Slate II et al.
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: 09/990,402
 : November 21, 2001
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|---|--|--|---|--|----------------|--------------|
| HOME FAQS HELP CONTACT US CALENDAR SITE MAP | RESOLOGICS TO YOUR E-MARKETPLACE DISPUTE MANAGEMENT SITE RESOLOGICS A RESOLUTION DATH IS CHOSEN | BASED ON THE DISPUTE MANAGEMENT PROFILE ESTABLISHED BY YOUR EMARKETPLACE, AND THE DISPUTE INFORMATION THAT THE PARTIES PROVIDED, YOUR CASE WILL BE ASSIGNED A RESOLUTION PATH. RESOLOGICS WILL DETERMINE THE APPROPRIATE PATH BASED ON THE SIZE, COMPLEXITY AND NATURE OF YOUR DISPUTE, THAT THERE MAY BE TWO PHASES TO RESOLVING YOUR DISPUTE. TWO EXAMPLE PATHS INCLUDE: | SMARTPATH 3: ON CALL MEDIATION + REFER TO PARTNER ARBITRATION SMARTPATH 5: DOCUMENTS ONLY ARBITRATION | | | |
| RESOLOGICS 🕸 | | ABOUT RESOLOGICS E-HUB PARTNERS JOINING RESOLOGICS DISPUTE MANAGEMENT RESOLUTION PATHS | CALENDAR FREE SCHEDULE NEWS & EVENTS REPORTS | RULES & PROCEDURES CODE OF ETHICS TERMS & CONDITIONS | DEMO NEXT>> | |

For

Agent

Applicants : William K. Slate II et al.
Application No. : 09/990,402
Filed : November 1

Confirmation No.: 3669

: ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT : Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000 Sheet 202 of 2 Sheet 202 of 216

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| | | | 7. |
|---|---|---|--------|
| HOME FAQS HELP CONTACT US CALENDAR SITE MAP | DISPUTE MANAGEMENT FILE A CLAIM RESOLUTION PATHWAYS MY DISPUTES NEUTRALS CASE DOCUMENTS | RESOLUTION PATHS > SMARTPATH 3: ON CALL MEDIATION + REFER TO PARTNER BASED ON THE DISPUTE MANAGEMENT PROFILE ESTABLISHED BY YOUR EMARKETPLACE, AND THE DISPUTE INFORMATION HAT THE PARTIES PROVIDED, YOUR CASE (#2004)) HAS BEEN ASSIGNED TO SMARTPATH 3: ON CALL MEDIATION (OCM) + REFER TO PARTNER ARBITRATION (RTP) WHY SMARTPATH 3? RESOLOGICS HAS DETERMINED, BASED ON THE SIZE, COMPLEXITY AND NATURE OF YOUR WHY SMARTPATH 3? RESOLOGICS HAS DETERMINED, BASED ON THE SIZE, COMPLEXITY AND NATURE OF YOUR WHY SMARTPATH 3? RESOLOGICS HAS DETERMINED, BASED ON THE SIZE, COMPLEXITY AND NATURE OF YOUR RESOLUTION CALL MEDIATION. IN THE CASE WILL MOVE TO PHASE II, AND WILL BE REFERRED TO A RESOLUTION CANNOT BE REACHED THROUGH OOM, THE CASE WILL MOVE TO PHASE II, AND WILL BE REFERRED TO A RESOLUTION CANNOT BE REACHED THROUGH YOU MAY VIEW THE EVENTS AND TASKS ASSOCIATED WITH PHASES THAT COMPRISE SMARTPATH 3 BELOW: SMARTPATH 3: PHASE I - ON CALL MEDIATION CASE RESOLUTION ADVOCATES ACCEPT CASE MONITOR CASE ASSIGN MEDIATOR NOTIFY PARTIES SCHEDULE MEDIATION FOLLOW UP | FIG. 1 |
| RESOLOGICS & | | ABOUT RESOLOGICS E-HUB PARTNERS JOINING RESOLOGICS DISPUTE MANAGEMENT RESOLUTION PATHS CALENDAR FREE SCHEDULE NEWS & EVENTS REPORTS REPORTS RULES & PROCEDURES CODE OF ETHICS TERMS & CONDITIONS TERMS & CONDITIONS TERMS & CONDITIONS TERMS & CONDITIONS | |

: William K. Slate II et al. Applicants Application No.:

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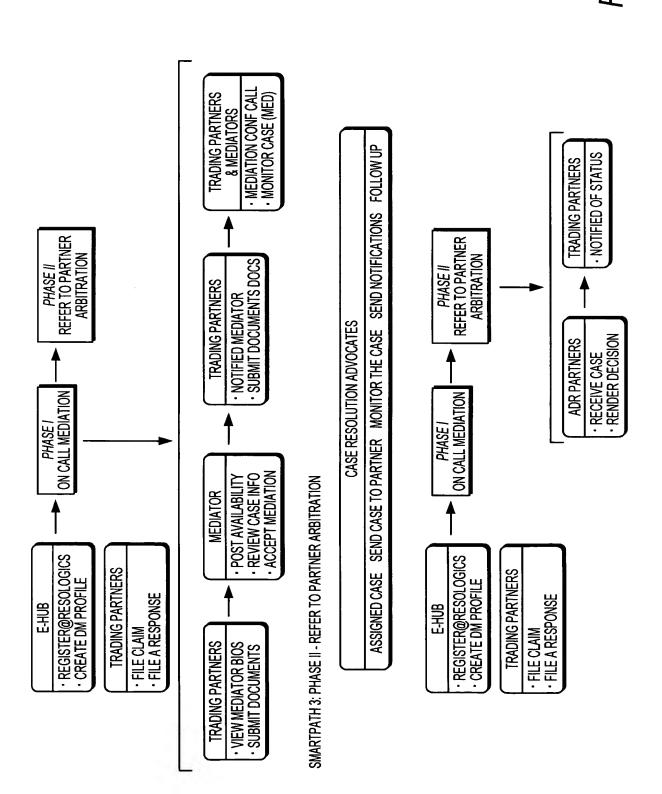
09/990,402 Confirmation No.: 3669

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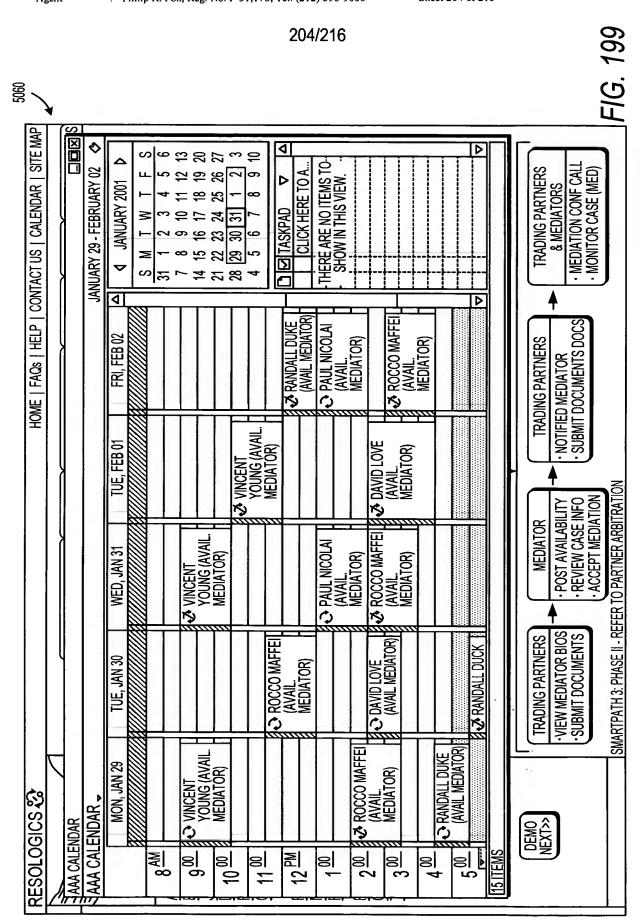
09/990,402

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Filed ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT For Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000 Sheet 204 of 216 Agent



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ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000

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Docket No.: AAA-003

DAVID LOVE

MEDIATOR BIO

DAVID LOVE, C.P.A., J.D.

ATTORNEY, CERTIFIED PUBLIC ACCOUNT, BUSINESS CONSULTANT, ARBITRATOR, MEDIATOR **PROFESSION**

ATTORNEY/CERTIFIED PUBLIC ACCOUNTANT, 1990-97; MANAGING PARTNER/PARTNER, BOSTON OFFICE, LAVENTHOL & HORWATH (AND PREDECESSOR FIRMS), 1960-90; NDEPENDENT ATTORNEY/CPA/BUSINESS CONSULTANT/ARBITRATOR, 1997-PRESENT; PRINCIPAL, TOFIAS FLEISHMAN SHAPIRO & CO., P.C. AND SELF-EMPLOYED IAX DEPARTMENT, ERNST & ERNST, 1959-60 **WORK HISTORY**

EXPERIENCE

MATTERS, INSURANCE DISPUTES AND MANY OTHER COMMERCIAL MATTERS. AS A BUSINESS AND FINANCIAL ADVISOR, MANAGED A LARGE ACCOUNTING FIRM OFFICE AS A PART-TIME NEUTRAL, EQUALLY EXPERIENCED IN ARBITRATION AND MEDIATION. PRIMARILY HANDLES COMMERCIAL CASES INVOLVING BUSINESS FREEZE-OUTS, DISSOLUTIONS AND BREAK-UPS, BUSINESS VALUATIONS, CONTRACT DISPUTES, REAL ESTATE DISPUTES, EMPLOYMENT DISPUTES, ACCOUNTING AND AUDITING AND NEGOTIATED MERGERS AND BUY-OUTS.

UNIVERSITY OF PENNSYLVANIA (BS-1956); HARVARD UNIVERSITY (JD-1959) **EDUCATION**

PRINT BIO

MEDIATOR BIOGRAPHIES

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Application No.:

For

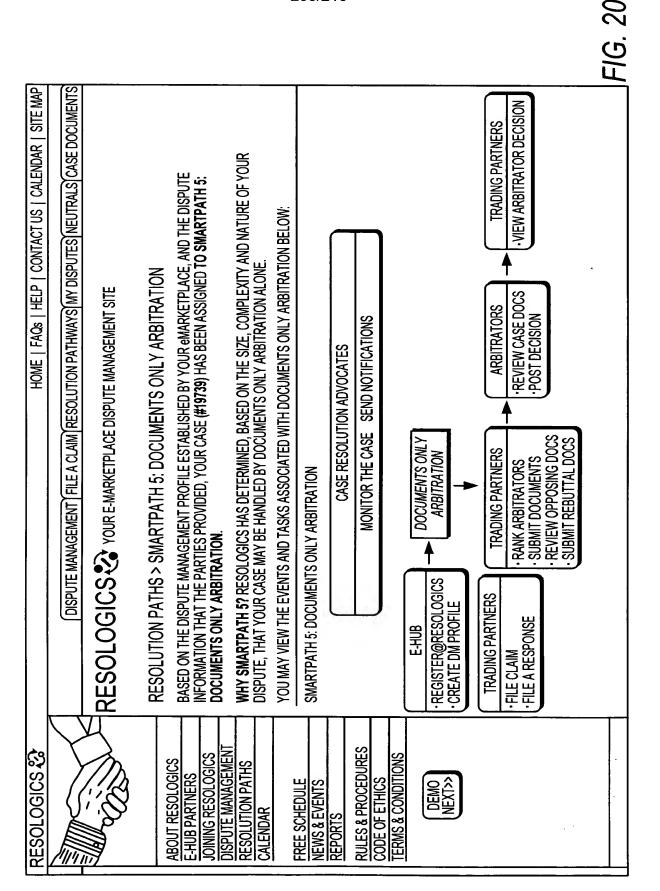
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09/990,402

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ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000 Sheet 206 of 216



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| RESOLOGICS 23 | HOME FAQS HELP CONTACT US CALENDAR SITE MAP |
|---------------------------------|--|
| | DISPUTE MANAGEMENT FILE A CLAIM RESOLUTION PATHWAYS MY DISPUTES NEUTRALS CASE DOCUMENTS |
| | RESOLOGICS 💸 YOUR E-MARKETPLACE DISPUTE MANAGEMENT SITE |
| | DOCUMENTS ONLY ARBITRATION > RANKING ARBITRATORS |
| ABOUT RESOLOGICS E-HUB PARTNERS | TO BEGIN DOCUMENTS ONLY ARBITRATION , BOTH CLAIMANT AND RESPONDENT FIRST NEED TO RANK ORDER THEIR DESIRED ARRITRATOR THE ARRITRATOR THAT IS RANKED THE HIGHEST BY ROTH CLAIMANT AND RESPONDENT WILL |
| JOINING RESOLOGICS | THEN BE ASSIGNED TO THE CASE. YOU WILL BE NOTIFIED BY EMAIL OF THE ARBITRATOR ASSIGNED TO YOUR CASE. |
| RESOLUTION PATHS | RANK ORDER YOUR DESIRED ARBITRATOR |
| CALENDAR | VIEW ARBITRATOR - WITH 1 BEING THE MOST DESIRABLE AND 10 BEING THE LEAST DESIRABLE. ONCE YOU HAVE |
| FREE SCHEDULE | ASSIGNED RANKINGS, CLICK ON THE SUBMIT RANKING BUTTON. |
| NEWS & EVENTS | RANKING ARBITRATOR NAME |
| REPORTS | WILLIAM E. BERINGER, ATTORNEY |
| RULES & PROCEDURES | WICE PRESIDENT AIND GENERAL COUNSEL OF SIEMENS ENERGY & AUTOMATION |
| CODE OF ETHICS | RICHARD E. CHEKIN, A I I ORNEY PARTNER, GIBBONS, DEL DEO, DOLAN, GRIFFINGER & VECCHIONE |
| | ROBERT A. HUDSON, ATTORNEY |
| DEMO | DENNI MOONWAN, F.C. |
| NEVI % | ESSEX BOAT WORKS, INC. |
| | ELIZABETH LANG-MIERS, ATTORNEY - CORPORATE LITIGATION |
| | PARTNER, LOCKE LIDDELL & SAPP LLP |
| | ALAN R. MILLER, ATTORNEY PRESIDENT OF ALAN R. MILLER, P.C. |
| | LAWRENCE V. ROBERTSON JR., ATTORNEY, ARBITRATOR, MEDIATOR |
| | FRESIDEN!, ADA RESOURCES, INC. |
| | A. BRUCE SCHIMBERG, ATTORNEY, ARBITRATOR, MEDIATOR |
| | SIDLEY & AUSTIN - REJIKED PARTINER |

Applicants Application No.:

For

Agent

09/990,402

: William K. Slate II et al.

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ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000 Sheet 208 of 216

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FEARS, RESPONSIBLE FOR OVERALL MANAGEMENT OF LEGAL DEPARTMENT COMPRISED OF OVER 150 LAWYERS AND SUPPORT PROFESSIONALS WORLDWIDE. LEGAL WORK ALL ON BEHALF OF ISSUERS (PEPSICO, INC.). AS SECRETARY OF PEPSICO, INC. FOR 27 YEARS, RESPONSIBLE FOR PARTICIPATION IN ALL BOARD MEETINGS, RECORDING OF ENCOMPASSED FULL ARRAY OF MATERS INCLUDING ACQUISITIONS/DIVESTITURES, JOINT VENTURES, INTERNATIONAL TRANSACTIONS, AND LITIGATIONS. SECURITIES (30%) MINUTES AND CORPORATE GOVERNANCE.

ALTERNATIVE DISPUTE RESOLUTION EXPERIENCE

ARBITRATOR FOR SECURITIES ARBITRATION INVOLVING PRUDENTIAL-BACHE, 1991; CO-CHAIR, AAA NATIONAL MEDIATION COMMITTEE, 1995-96; MEMBER, LARGE COMPLEX CASE PANEL AND MASS TORTS PANEL, AAA

EDUCATION

HOLY CROSS COLLEGE (BA-1960); GEORGETOWN UNIVERSITY (JD-1964).

EXT EXT PRINT BIO

FIG. 203

RANKING ARBITRATORS - ARBITRATOR BIOGRAPHIES

EDWARD V. LAHEY, JR.

CURRENT EMPLOYER-TITLE

ESSEX BOAT WORKS, INC., GENERAL COUNSEL

PROFESSION

ATTORNEY - CORPORATE, INTERNATIONAL, ANTITRUST, SECURITIES

NORK HISTORY

GENERAL COUNSEL, ESSEX BOAT WORKS, 1998-PRESENT; SENIOR VICE PRESIDENT/GENERAL COUNSE/SECRETARY/VICE PRESIDENT/ASSISTANT GENERAL COUNSEL/ATTORNEY, PEPSICO, INC., 1965-1998; ATTORNEY, HESTER, OWEN & CROWDER, 964-65.

EXPERIENCE

PREVIOUSLY ENGAGED IN CORPORATION, INTERNATIONAL, ANTITRUST AND SECURITIES LAW FOR A MAJOR BEVERAGE CORPORATION. AS GENERAL COUNSEL FOR 25

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Applicants : William K. Slate II et al.

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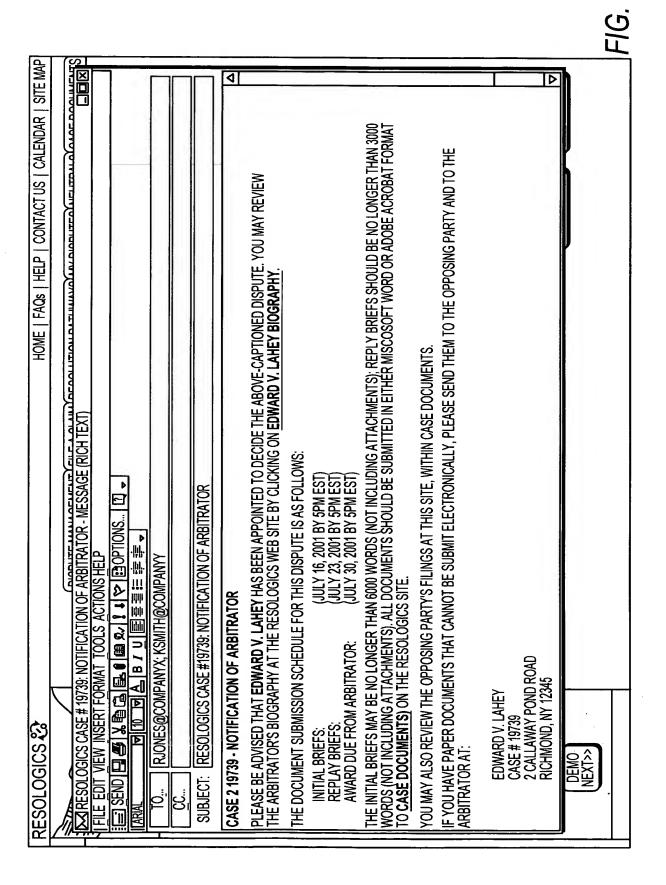
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Docket No.: AAA-003



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Applicants : William K. Slate II et al. Application No. : 09/990,402 Confirmation No.: 3669

: November 21, 2001

: ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT : Philip R. Poh, Reg. No. P-51,176, Tel: (212) 596-9000 Sheet 210 of 2 For Sheet 210 of 216 Agent

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| MAP 5070 | SEN | | | | · | | | | | | | 75.0 |
|---|--|---|--|--|---|--|--|---|---|---|---|------|
| HOME FAQS HELP CONTACT US CALENDAR SITE MAP | DISPUTE MANAGEMENT) FILE A CLAIM RESOLUTION PATHWAYS MY DISPUTES NEUTRALS CASE DOCUMENTS | RESOLOGICS VOUR E-MARKETPLACE DISPUTE MANAGEMENT SITE | CASE #19739 - NOTIFICATION OF ARBITRATOR | PLEASE BE ADVISED THAT EDWARD V. LAHEY HAS BEEN APPOINTED TO DECIDE THE ABOVE-CAPTIONED DISPUTE. YOU MAY REVIEW THE ARBITRATOR'S BIOGRAPHY AT THE RESOLOGICS WEB SITE BY CLICKING ON EDWARD V. LAHEY BIOGRAPHY. | THE DOCUMENT SUBMISSION SCHEDULE FOR THIS DISPUTE IS AS FOLLOW: | INITIAL BRIEFS: (JULY 16, 2001, 5PM EST) REPLAY BRIEFS: (JULY 23, 2001, 5PM EST) AWARD DUE FROM ARBITRATOR: (JULY 30, 2001, 5PM EST) | THE INITIAL BRIEFS MAY BE NO LONGER THAN 6000 WORDS (NOT INCLUDING ATTACHMENTS); REPLAY BRIEFS SHOULD BE NO LONGER THAN 3000 WORDS (NOT INCLUDING ATTACHMENTS). ALL DOCUMENTS SHOULD BE SUBMITTED IN EITHER MICROSOFT WORD OR ADOBE ACROBAT FORMAT TO CASE DOCUMENTS ON THE RESOLOGICS SITE. | YOU MAY ALSO REVIEW THE OPPOSING PARTY'S FILINGS AT THIS SITE, WITHIN CASE DOCUMENTS. | IF YOU HAVE PAPER DOCUMENTS THAT CANNOT BE SUBMITTED ELECTRONICALLY, PLEASE SEND THEM TO THE OPPOSING PARTY AND TO THE ARBITRATOR AT: | EDWARD V. LAHEY CASE # 19739 3 CALLAWAY POND ROAD RICHMOND, NY 12345 | NOTE THAT ANY PAPER DOCUMENTATION MUST BE RECEIVED BY THE DEADLINE FOR THE SUBMISSION OF MATERIALS. | |
| RESOLOGICS 🕄 | | | ABOUT RESOLOGICS E-HUB PARTNERS | JOINING RESOLOGICS DISPUTE MANAGEMENT RESOLUTION PATHS CALEMAR | | FREE SCHEDULE NEWS & EVENTS REPORTS | RULES & PROCEDURES CODE OF ETHICS TERMS & CONDITIONS | DEMO | NEXI>> | : · · . | | |

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| | | | | | | , | £ 1 1/£ | 10 | | | | | 20 |
|---|---|---|--|--------------------------------------|------------------------|------------------------|--------------------------------|---------|-----------------------------------|--------------------|----------------|--|---------|
| 5075 | 7 | | | | | | | | | | | | FIG. 20 |
| HOME FAOS HELP CONTACT US CALENDAR SITE MAP | DISPUTE MANAGEMENT FILE A CLAIM RESOLUTION PATHWAYS MY DISPUTES NEUTRALS CASE DOCUMENTS | | | NEUTRAL | NEUTRAL FOZE | NEUTRAL (30.73 | NEUTRAL | | | | | | |
| CONTACT US | DISPUTES NEUTR | | | CLAIM/RESP | CLAIM/RESP | CLAIM/RESP | CLAIM/RESP | | | | | | |
| ME FAQs HELF | N PATHWAYS MY | RESOLOGICS 🗞 YOUR E-MARKETPLACE DISPUTE MANAGEMENT SITE | NES | RES PATH | PARTIAL DESCRIPTION | PARTIAL DESCRIPTION | PARTIAL DESCRIPTION | | | | | | |
| HOM | AIM RESOLUTION | ACE DISPUTE MA | MY DISPUTES > CLAIMANT CASE LIST SUMMARY - R JONES | DESCRIPTION | Partial Shipment | ITEM Damaged | ITEM LATE | | | | | | |
| e' | FILE A CL | MARKETPL | LIST SUA | STATUS | ACTIVE | ACTIVE | ACTIVE | | | | | | : |
| | AGEMENT | YOUR E-I | IT CASE | TYPE | DOA | DOA | DOA | | | | | | |
| | DISPUTE MAN | SICS | > CLAIMAN | DATE CREATED | 01 JUL2001 | 19MAY2001 | 04JUN2001 | | | | | | |
| | | 000 | ISPUTES | F. | EMKT | EMKT | EMKT | | | | | | |
| | | RES | MY D | CASE # | 19652 | 19658 | 19739 | | | | | | |
| RESOLOGICS & | | | AROLIT RESOLOGICS | E-HUB PARTNERS JOINING RESOLOGICS | RESOLUTION PATHS | CALENDAR | FREE SCHEDULE NEWS & EVENTS | REPORTS | RULES & PROCEDURES CODE OF ETHICS | TERMS & CONDITIONS | DEMO NEXT>> | | |

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| 5080 | 7 | | | | | | | | FIG |
|---|--|---|---|--|--|----------------|------------|---|--|
| SITE MAP | CUMENTS | | | . T | | | i | | |
| CALENDAR | ALS CASE DO | | | JASA > NEXI DOA ATH: PATH | | COMPLETED | 01JUL2001 | | |
| HOME FAQS HELP CONTACT US CALENDAR SITE MAP | ISPUTES NEUTR | | | PKEV < CASE # 19/39 > NEXI DISPUTE TYPE: DO RESOLUTION PATH: PA | | REVISED | | | |
| AQS HELP | HWAYS MY D | EMENT SITE | | | NOI | TARGET Date | | | |
| HOME F | RESOLUTION PATI | JISPUTE MANAGE | JONES | DATE CREATED: 01JUL2001 RESPONDENT: COMPANYY ARBITRATOR: E LAHEY | LONG DESCRIPT | STATUS | COMPLETE | | |
| | FILE A CLAIM F | AARKETPLACE I | DETAILS - R , | DATE CREATEC RESPONDENT: ARBITRATOR: | . \$20,000 CLAIM | COMMENT | COMMENT | | |
| | DISPUTE MANAGEMENT) FILE A CLAIM RESOLUTION PATHWAYS MY DISPUTES NEUTRALS CASE DOCUMENTS | RESOLOGICS YOUR E-MARKETPLACE DISPUTE MANAGEMENT SITE | MY DISPUTES > CLAIMANT CASE DETAILS - R JONES | : EMARKETPLACE ANT: COMPANYX TOR: | DESCRIPTION: ITEMS DID NOT ARRIVE. \$20,000 CLAIM LONG DESCRIPTION | † TASK | FILE CLAIM | RANK ARBITRATORS NOTIF OF ARB ASSIGNED NOTIF TO SUBMIT DOCS DOC SUBMIT DEADLINE NOTIF TO REVIEW AVAIL DOCS NOTIF TO SUBMIT REBUTTAL REBUTTAL SUBMIT DEADLINE | ARB DECISION DEADLINE NOTIF TO VIEW DECISION CASE CLOSED |
| | | ZES. | MY D | E-HUB: CLAIMANT: MEDIATOR: | DESCR | TASK# | | D1.6 D1.10 D1.12 D2.1 D2.3 | 02.7 02.10 02.12 |

ERMS & CONDITIONS

RESOLOGICS 🟖

E-HUB PARTNERS

ABOUT RESOLOGICS

OINING RESOLOGICS

RESOLUTION PATHS

ALENDAR

FREE SCHEDULE **NEWS & EVENTS** RULES & PROCEDURES CODE OF ETHICS Applicants Application No.: 09/990,402

Agent

: William K. Slate II et al.

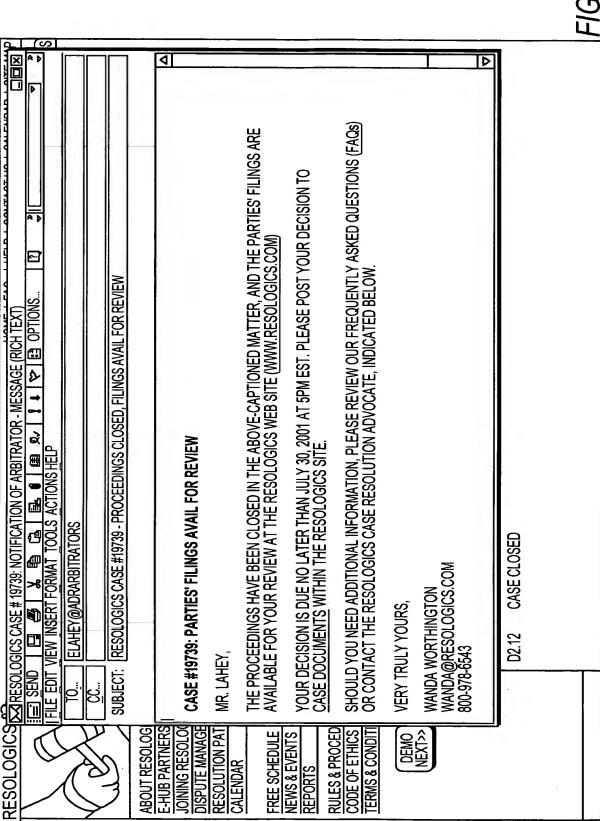
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: ELECTRONIC SYSTEMS AND METHODS FOR DISPUTE MANAGEMENT

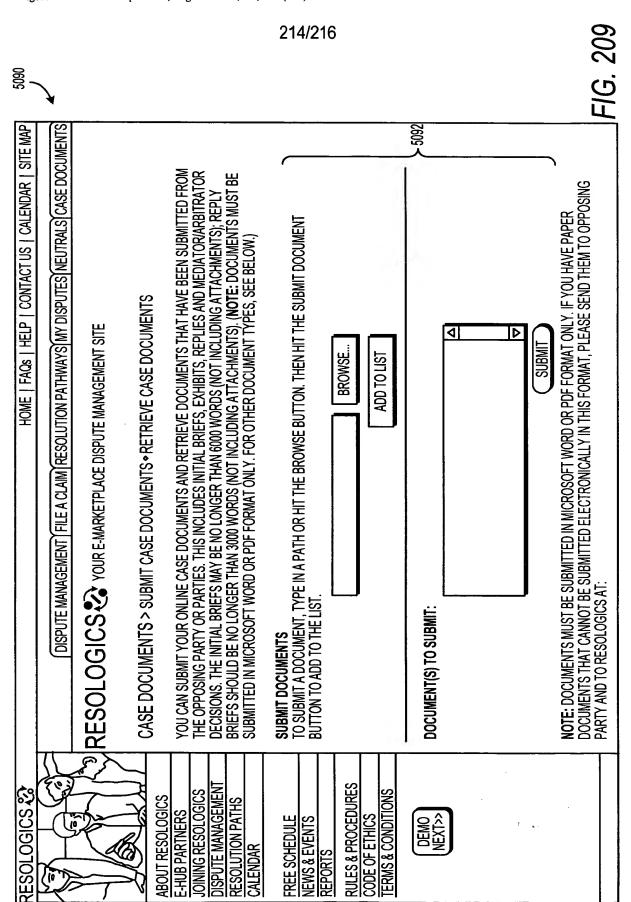


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| 5100 | ` | | FIG. 2 |
|---|--|--|----------------------------------|
| ITE MAP | UMENTS | 25102 | |
| HOME FAQS HELP CONTACT US CALENDAR SITE MAP | OPPOSING PARTY OR ENTS INCLUDE RENDERED AND POSTED | DATE 04JUL2001 04JUL2001 | |
| FAQs HELP CONT | PATHWAYS MY DISPUTE AGEMENT SITE CASE DOCUMENTS TO YOUR CASE, DOCUM S AND ANY DECISIONS F | ROLE CLAIMANT CLAIMANT CLAIMANT CLAIMANT RESPONDENT | ARBITRATOR |
| HOME | A CLAIM RESOLUTION I ETPLACE DISPUTE MAN UMENTS • RETRIEVE SITRATOR) ASSIGNED I SE NOTES, REBUTTALS | SUBMITTED BY R JONES R JONES R JONES K SMITH K SMITH | K SMITH E LAHEY |
| | RESOLOGICS ANY YOUR E-MARKETPLACE DISPUTE MANAGEMENT SITE CASE DOCUMENTS > SUBMIT CASE DOCUMENTS • RETRIEVE CASE DOCUMENTS RETRIEVE CASE DOCUMENTS FROM THIS PAGE, YOU CAN RETRIEVE DOCUMENTS THAT HAVE BEEN SUBMITTED FROM THE OPPOSING PARTY OR PARTIES AND THE NEUTRAL (MEDIATOR OR ARBITRATOR) ASSIGNED TO YOUR CASE. DOCUMENTS INCLUDE PRELIMINARY CASE DOCUMENTS, EXHIBITS, CASE NOTES, REBUTTALS AND ANY DECISIONS RENDERED AND POSTED | PHASE INITIAL BRIEF EXHIBIT A REPLAY BRIEF INITIAL BRIEF DOC 1 INITIAL BRIEF DOC 2 | REPLAY BRIEF ARBITRATOR DECISION |
| | RESOLOG CASE DOCUM RETRIEVE CASE FROM THIS PAGE PARTIES AND THE | CASE DOCUMENT | |
| RESOLOGICS & | ABOUT RESOLOGICS E-HUB PARTNERS JOINING RESOLOGICS DISPUTE MANAGEMENT RESOLUTION PATHS CALENDAR | FREE SCHEDULE NEWS & EVENTS REPORTS CODE OF ETHICS TERMS & CONDITIONS DEMO NEXT>> | |

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, THE UNDERSIGNED ARBITRATOR, HAVING REVIEWED THE PARTIES' FILINGS IN THE ABOVE CAPTIONED DISPUTE, SUCH DISPUTE HAVING ARISEN FROM TRANSACTION #56-7888-01 ON THE eMARKETPLACE eCOMMERCE SITE AND BEING GOVERNED BY THE DISPUTE MANAGEMENT PROVISIONS OF THE SITE, DO HEREBY AWARD AS -OLLOWS:

INTERCON MEDIASYSTEMS INTERNATIONAL - AND - NUSTEEL WIRE AND CABLE, INC. (CASE # 17939)

AWARD OF THE ARBITRATOR

IN THE MATTER OF THE ARBITRATION BETWEEN

DOCUMENTS ONLY ARBITRATION

RESOLOGICS

400 SPOOLS OF GRADE 3 MEDIA CABLE ON OR BEFORE JULY 1, 2001, AND 400 SPOOLS OF GRADE 3 MEDIA CABLE ON OR BEFORE JUNE 1, 2001

800 SPOOLS OF GRADE 3 MEDIA CABLE ON OR BEFORE AUGUST 1, 2001,

THE RESPONDENT AT ITS SOLE OPTION MAY ACCELERATE THIS DELIVERY SCHEDULE.

DAYS AFTER EACH ACTUAL DELIVERY

THIS AWARD IS IN FULL AND FINAL SETTLEMENT OF ALL CLAIMS AND/OR COUNTERCLAIMS SUBMITTED TO THIS ARBITRATION

EDWARD LAHEY

ARBITRATOR

THE CLAIMANT SHALL PAY TO THE RESPONDENT \$1,450 (US DOLLARS) PER SPOOL OF CABLE, SUCH PAYMENT TO BE MADE NO LATER THAN TEN CALENDAR

FEBRUARY 3, 2001

CLOSE PRINT

NEXT NEXT

FIG. 211